



ASIAN-AFRICAN PHILOSOPHY

Course Code: M23PH01DE

Discipline Specific Elective Course

Postgraduate Programme in Philosophy

Self Learning Material



SREENARAYANAGURU
OPEN UNIVERSITY

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The State University for Education, Training and Research in Blended Format, Kerala

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Pathway

Access and Quality define Equity.

Asian-African Philosophy

Course Code: M23PH01DE

Semester - III

Discipline Specific Elective Course
Postgraduate Programme in Philosophy
Self Learning Material
(With Model Question Paper Sets)



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ASIAN-AFRICAN PHILOSOPHY

Course Code: M23PH01DE

Semester- III

Discipline Core Course

Postgraduate Programme in Philosophy

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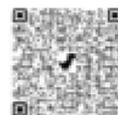
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MESSAGE FROM VICE CHANCELLOR

Dear learner,

I extend my heartfelt greetings and profound enthusiasm as I warmly welcome you to Sreenarayanaguru Open University. Established in September 2020 as a state-led endeavour to promote higher education through open and distance learning modes, our institution was shaped by the guiding principle that access and quality are the cornerstones of equity. We have firmly resolved to uphold the highest standards of education, setting the benchmark and charting the course.

The courses offered by the Sreenarayanaguru Open University aim to strike a quality balance, ensuring students are equipped for both personal growth and professional excellence. The University embraces the widely acclaimed “blended format,” a practical framework that harmoniously integrates Self-Learning Materials, Classroom Counseling, and Virtual modes, fostering a dynamic and enriching experience for both learners and instructors.

The University aims to offer you an engaging and thought-provoking educational journey. The postgraduate programme in Philosophy is designed to be a continuation of the undergraduate programme in Philosophy. It maintains a close connection with the content and teaching methods of the undergraduate programme. It advances the more nuanced aspects of philosophical theories and practices. The university has recognised that empirical methods have limitations when explaining philosophical concepts. As a result, they have made a deliberate effort to use illustrative methods throughout their content delivery. The Self-Learning Material has been meticulously crafted, incorporating relevant examples to facilitate better comprehension.

Rest assured, the university’s student support services will be at your disposal throughout your academic journey, readily available to address any concerns or grievances you may encounter. We encourage you to reach out to us freely regarding any matter about your academic programme. It is our sincere wish that you achieve the utmost success.



Warm regards.
Dr. Jagathy Raj V. P.

24-05-2025

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BLOCK 1

East Asian Buddhism

UNIT 1

Introduction to East Asian Buddhism

Learning Outcomes

After the completion of this unit, the learner will be able to:

- recognize the role of translators, monks, and political figures in the adaptation of Buddhism in East Asia
- analyze how Buddhist traditions were adapted to align with indigenous philosophies
- evaluate the influence of Buddhism on art, architecture, literature, and aesthetics in East Asian countries
- investigate how East Asian Buddhism responded to political upheavals, modernization, colonialism, and ideological suppression
- understand how Buddhist values influenced ethical practices, governance, and social behavior in East Asian societies

Background

Buddhism became relevant in East Asia due to its spiritual, ethical, and cultural contributions. It provided answers to deep human concerns such as suffering, the meaning of life, and the path to inner peace. Its emphasis on compassion, mindfulness, and non-violence appealed to individuals across all levels of society. As it entered China, Korea, and Japan, Buddhism influenced local customs, art, and education. Through its adaptable nature, Buddhism was able to harmonize with existing belief systems like Confucianism, Daoism, and Shintoism, enriching the cultural and philosophical landscape of East Asia.

The need for Buddhism in East Asia arose from periods of political instability, moral uncertainty, and social transition. As local traditions sometimes failed to address inner suffering or offered limited spiritual depth, Buddhism introduced a universal message of liberation and ethical living. It not only provided personal guidance but also promoted

social harmony and reform through values like compassion and equality. The arrival of Buddhism through trade routes like the Silk Road marked a significant moment of cultural exchange, allowing East Asian societies to grow intellectually and spiritually while fostering regional connections.

Keywords

Four Noble Truths, Bodhisattva, Zen Buddhism, Nichiren Buddhism, Han Dynasty, Tang Dynasty, Daoism, Confucianism, Soka Gakkai

Discussion

- East Asian Buddhism emphasizes the Bodhisattva ideal.

The core of Buddhism is found in the Four Noble Truths, which explain that suffering (dukkha) is a part of life and show how it can be ended. To reach Nirvana or freedom from the cycle of birth and rebirth (samsara), Buddhism teaches the Eightfold Path, which guides right living. Important ideas in Buddhist thought include karma (the law of cause and effect), dependent origination (how all things are connected), and mindfulness (being aware and present in the moment). In East Asian Buddhism, especially in the Mahayana tradition, the Bodhisattva ideal is emphasized. A Bodhisattva is someone who seeks enlightenment not only for themselves but also for the benefit of all living beings. Mahayana Buddhism values teachings that focus on wisdom and compassion, and important texts like the Lotus Sutra and Heart Sutra reflect these values. As Buddhism spread across East Asia, its teachings were adapted to match local beliefs and customs.

1.1.2 Historical context of Buddhism in East Asia

1.1.2.1 Buddhism in China

- Initially, Buddhism struggled to gain acceptance in China due to its foreign origin.

Buddhism entered China during the Han Dynasty (202 BCE–220 CE) through the Silk Road, a major trade route that connected China with Central Asia and India. This route facilitated the movement of Buddhist monks, traders, and texts, promoting both cultural and religious exchange. Although Buddhism initially struggled to gain acceptance due to its foreign origins and perceived incompatibility with Daoist and Confucian traditions, it gradually found a place in Chinese society. Key translators like Kumarajiva and Xuanzang



played a vital role in making Buddhist teachings accessible by translating them into Chinese and aligning them with local philosophical ideas.

- Buddhism merged into Chinese culture and flourished in the Tang Dynasty.

The integration of Buddhism was significantly aided by the translation of Buddhist scriptures into Chinese. Early translators like Kumarajiva and An Shigao modified Buddhist teachings for the Chinese market, bringing them into line with Daoist concepts of harmony and Confucian ideals like filial piety. Further localizing the faith was the emergence of Chinese-specific schools such as Pure Land and Chan (Zen). During the Tang Dynasty (618–907 CE), which is regarded as the Chinese Buddhist golden age, Buddhism’s influence grew significantly. Monasteries developed into hubs for education, the arts, and politics, and Chinese knowledge of Buddhist theory was enhanced by Xuanzang and other pilgrims’ trips to India.

Several schools of Buddhism developed in China, including;

- Chan Buddhism (Zen), emphasized meditation, mindfulness, and direct experience of enlightenment through simplicity and inner awareness.
- Pure Land Buddhism, which focused on devotion to Amitabha Buddha and the hope for rebirth in the Pure Land, a realm free from suffering.

Buddhism had a deep impact on Chinese art, literature, and governance, leading to the growth of monasteries and the development of new artistic forms inspired by Buddhist themes.

1.1.2.2 Buddhism in Korea: A Political and Cultural Force

In the fourth century CE, during the Three Kingdoms Period (57 BCE–668 CE), Buddhism was introduced to Korea. It was adopted as the official religion in the kingdoms of Goguryeo, Baekje, and Silla to promote political unity and cultural development. In Silla, Buddhism was closely linked to military strength, as seen in the Hwarang. The Hwarang, meaning ‘Flowering Knights,’ were a group of elite young warriors trained in martial arts, leadership, and ethics. They were deeply influenced by Buddhist teachings, which emphasized values like loyalty, discipline, compassion, and self-sacrifice. Prominent monks like Wonhyo focused on uniting different Buddhist

- Buddhism was adopted as the official religion in the kingdoms of Goguryeo, Baekje, and Silla.

schools and spreading the teachings to the general public.

- Buddhism influenced Korean art and architecture

Buddhism also impacted Korean art and architecture; the Bulguksa Temple and Seokguram Grotto are prominent examples. However, during the Joseon Dynasty (1392–1897), Confucianism became the dominant ideology, leading to a decline in government support for Buddhist institutions. Despite this, monastic traditions and grassroots efforts allowed Buddhism to endure. In the sixth century CE, Buddhism was introduced to Japan through Korean emissaries and later developed into distinct schools, supported by imperial sponsorship during the Asuka Period.

- Seon Buddhism stress, medication and discipline

Korean Seon Buddhism (the counterpart of Japanese Zen) emphasizes the arts, such as calligraphy and tea ceremonies, and centers on meditative practice, especially hwadu (koan-like questions). It places more emphasis on monastic discipline than on lay artistic expression.

- Korean temples show Buddhist cultural influence

Nichiren Buddhism emphasizes chanting the Lotus Sutra, whereas Korean Buddhism, particularly the Cheontae school, also values the Lotus Sutra, but integrates it with meditative and doctrinal practices without focusing solely on chanting.

Shingon Buddhism is known for its esoteric rituals influenced by Indian and Chinese traditions. Similarly, Korean esoteric Buddhism (like that found in the Beopsang school, influenced by Chinese Huayan and Esoteric traditions) once had a strong presence. However, it eventually merged into more mainstream Seon traditions.

- Prince Shōtoku promoted Buddhism in Japan's governance.

Like Japan, Korea's art, architecture, and rituals were deeply shaped by Buddhism, seen in temple layouts, Buddhist sculpture, pagodas, and rituals. Temples like Bulguksa and Seokguram exemplify this integration of Buddhist aesthetics with Korean cultural identity.

1.2.2.3 The Arrival and Evolution of Buddhism in Japan

In the sixth century CE, Korean emissaries brought Buddhism to Japan, especially during the Asuka Period (538–710 CE). Initially, it was a means of political consolidation, as the religion was employed by ruling clans to justify their power. The establishment of Buddhism as a state religion and its assimilation into Japanese culture and governance were



greatly aided by Prince Shōtoku (574–622 CE).

Japan developed its unique Buddhist schools, such as:

- Introduced from China, Zen Buddhism emphasises simplicity and meditation, influencing Japanese calligraphy, tea ceremonies, and garden design.
- Nichiren Buddhism, a distinctly Japanese school, focused on chanting the Lotus Sutra.
- Pure Land Buddhism, popular among the general public, emphasized faith in Amitabha Buddha and offered a simpler path to salvation.

During the Kamakura Period (1185–1333 CE), both samurai and commoners were devoted to Buddhism. Despite opposition from governmental Shintoism during the Meiji Restoration (1868–1912), Buddhism adapted and endured.

1.1.3 Modern Developments in East Asian Buddhism

Buddhism in East Asia faced various challenges and transformations in the modern era. Colonialism, wars, and modernization brought major changes. In China, Buddhism was suppressed during the Cultural Revolution, but efforts to revive it followed later. In Japan, traditional monastic life declined, while laity Buddhist movements like Soka Gakkai gained popularity. Korean Buddhism adapted to modern society with a renewed focus on education and international engagement. East Asian Buddhism has also influenced global culture, especially through Zen practices, which gained popularity in the West during the 20th century. Figures like Thich Nhat Hanh have promoted a socially engaged Buddhism, emphasizing peace, compassion, and environmental awareness.

- Modern East Asian Buddhism adapts to change

1.1.4 Impact of Buddhism on East Asian Societies

The arrival of Buddhism had a major impact on the cultures and societies of East Asia.

In China, Buddhism greatly influenced art and literature. Buddhist themes appeared in poetry during the Tang Dynasty, and famous artworks like the Dunhuang cave paintings reflect their deep influence. The Buddhist focus on compassion and interdependence also encouraged more humane government

- Buddhism deeply shaped the art, culture, ethics, and traditions of China, Korea, and Japan

policies. In Korea, Buddhism shaped the country's cultural identity, especially through traditional arts and temple architecture. It also influenced ethical values, promoting peaceful behaviour and moral discipline. In Japan, Zen Buddhism influenced not just religious practices but also aesthetics. It helped develop simple architecture, tea ceremonies, and martial arts. Today, many Buddhist customs and festivals remain part of Japanese culture.

1.1.5 Challenges and Adaptations

In East Asia, Buddhism faced many challenges, including ideological conflicts and political chaos. In China, it encountered resistance during the Song Dynasty's Confucian renaissance and was heavily suppressed during the Cultural Revolution (1966–1976). Despite these hardships, Buddhist revival movements emerged, helping the religion maintain its relevance in modern times.

- Buddhism in China endured repression and revival

In Korea, Buddhism was sidelined during the Joseon Dynasty due to the dominance of Confucian policies. However, it survived through the strong support of local communities and the resilience of monastic traditions. In modern times, Korean Buddhism has focused on global outreach and social involvement. In Japan, Buddhist institutions faced persecution during the Meiji era when the government promoted Shintoism. Despite this, Zen Buddhism regained popularity and influence due to its broad appeal.

- Korean Buddhism survived through monastic resilience and outreach

Summarized Overview

Buddhism reached East Asia through trade routes like the Silk Road and became a powerful spiritual, cultural, and ethical force in China, Korea, and Japan. In each region, it adapted to local traditions—merging with Daoism and Confucianism in China, shaping political unity and cultural identity in Korea, and influencing governance and aesthetics in Japan. Key figures like Kumarajiva, Xuanzang, and Prince Shōtoku played major roles in its spread. Over time, East Asian countries developed unique Buddhist schools such as Chan (Zen), Pure Land, and Nichiren, each emphasizing values like meditation, compassion, and inner peace. Buddhism also inspired significant developments in art, literature, and architecture, leaving a lasting cultural legacy. Despite facing suppression during periods like China's Cultural Revolution and Japan's Meiji Restoration, Buddhism proved resilient. Korean monastic communities and new Japanese lay movements helped keep the tradition alive. In the modern era, East Asian Buddhism continues to evolve, with a stronger focus on education, global engagement, and social responsibility. It remains



influential in promoting ethical values, environmental awareness, and cultural practices, especially through the global popularity of Zen and engaged Buddhism.

Self-Assessment

1. What challenges did Buddhism face when it first entered China, and how were they overcome?
2. Compare the main features of Chan (Zen), Pure Land, and Nichiren Buddhism.
3. How did Buddhism influence Korea's art, literature, and architecture?
4. How did Confucianism impact the status of Buddhism during the Joseon Dynasty in Korea?
5. What role did Buddhist values play in shaping the ethical and social behavior of East Asian societies?
6. How did Prince Shōtoku contribute to the promotion of Buddhism in Japan?
7. How did modern lay movements like Soka Gakkai contribute to the evolution of Buddhism in contemporary Japan?
8. In what ways has modern East Asian Buddhism responded to current issues such as gender equality, social justice, and environmental protection?

Assignments

1. Design a comparative study of how Zen Buddhism influenced art and daily life in both Japan and Korea. Focus on practices such as calligraphy, tea ceremony, and architecture.
2. Discuss the role of translation and localization in the successful spread of Buddhism in East Asia. How can these methods inform current cross-cultural educational or spiritual outreach efforts?
3. Evaluate the strategies used by Buddhist institutions in East Asia to survive periods of political suppression and ideological opposition. How can these strategies be applied to preserve religious traditions today?

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Suggested Reading

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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.

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UNIT 2

Tibetan Buddhism

Learning Outcomes

After the completion of this unit, the learner will be able to:

- identify the four primary schools of Tibetan Buddhism and their distinct characteristics
- explain the role of Vajrayana and the significance of practices like Dzogchen, Mahamudra, and Lamdre
- describe the contributions of key figures such as Padmasambhava, Tsongkhapa, Marpa, and Milarepa
- understand the central concepts of teacher-student transmission and the bodhisattva ideal
- analyze how Tibetan Buddhism integrates philosophy, meditation, and ritual practice
- assess the cultural and historical spread of Tibetan Buddhism beyond Tibet

Background

Tibetan Buddhism began to take root in the early 7th century during the reign of King Srongtsan Gampo, a powerful ruler who forged strong cultural ties with India, Nepal, and China. Through diplomatic marriages to Buddhist princesses from Nepal and China, both of whom were devout Buddhists, important Buddhist images, texts, and rituals were introduced into Tibet. The king supported the construction of temples, including the Jokhang Temple in Lhasa, to house these sacred images. Inspired by the influence of his queens, he adopted Buddhist moral principles and laid down ethical guidelines for his people. To facilitate the study of Buddhist scriptures, he sent Thonmi Sambhota to India to learn Sanskrit and Buddhist philosophy. Sambhota developed the Tibetan script based on Indian models and began translating Buddhist texts into Tibetan, initiating a new era in Tibetan literary and religious history.



The growth of Tibetan Buddhism continued under later rulers, especially King Khri-srong-lde-btsan in the 8th century. He invited Indian scholars such as Śāntarakṣita, who introduced Indian philosophical traditions, and Padmasambhava, credited with integrating tantric practices and subduing local hostile forces. Together, they founded Tibet's first monastery at Samye, a key center for Buddhist learning and translation. Although opposition from the native Bon religion and political instability led to some decline, Tibetan Buddhism experienced a strong revival in the 11th century through the arrival of the Indian master Atiśa. He reformed monastic practices and inspired new translations and spiritual activity. Later scholars like Bu-ston (Bu-ston Rinchen Drub) played a vital role in systematizing the Buddhist canon into two collections: the Kangyur (words of the Buddha) and Tengyur (commentaries). Over time, Tibetan Buddhism evolved into a distinct and deeply rooted tradition, blending Indian Mahāyāna and Vajrayāna teachings with Tibetan spiritual and cultural elements.

Keywords

Hinayana, Mahayana, Vajrayana, Bodhisattva ideal, Lama, Mindfulness, Tibetan diaspora, Monastic discipline

Discussion

- Tibetan Buddhism preserves India's Buddhist teachings and traditions

Tibetan Buddhism is traditionally divided into four major schools: Nyingma, Sakya, Kagyu, and Gelugpa. It has preserved the whole treasure of Indian Buddhist teachings, including the three primary vehicles: the Foundational Vehicle (Hinayana), Mahayana, and Vajrayana. While Tibetan Buddhism encompasses all three, it is most commonly associated with Vajrayana, also known as Tantric Buddhism. The term 'yana', meaning 'vehicle,' can also be understood as 'path' or 'journey.' Historically, the Nyingma and Kagyu schools emphasized faith and meditative practice, whereas the Sakya and Gelugpa schools emphasized a balance between study and practice. However, these distinctions have become less rigid in contemporary times, and all schools now incorporate rigorous study and profound practice.

The earliest school of Tibetan Buddhism is the Nyingma tradition. A central figure in this lineage is Padmasambhava, the renowned Indian tantric master who introduced Buddhism to Tibet. Dzogchen, or the 'Great Perfection,' is a unique and profound meditation practice and poetic literary expression within Nyingma and is considered one of the highest forms of Buddhist practice. Although Dzogchen is often compared to

- Nyingma emphasizes Dzogchen, introduced by Padmasambhava

- Gelug school prioritizes monastic discipline and scholarly study

- Tibetan Buddhism follows the Bodhisattva path of compassion

Zen due to their similarities, it is rooted in tantric foundations. The Sakya tradition traces its origins to the Indian yogi Virupa. Its distinctive meditative system is Lamdre, meaning ‘the path and its result.’ The Kagyu school, alongside the Karmapa lineage, traces its heritage to the Indian masters Tilopa and Naropa and the Tibetan figures Marpa and Milarepa. Marpa was a well-known Tibetan translator and teacher, and Milarepa was Tibet’s most revered and beloved yogi.

The Gelug school of Tibetan Buddhism specializes in the Mahamudra teachings and structured meditation practices. Lama Tsongkhapa, a great yogi-scholar and reformer, founded the Gelug tradition, giving particular importance to monastic discipline, philosophical study, and systematic training. While the Dalai Lamas are closely associated with the Gelug school and have played a significant role in its propagation, they have studied and contributed to all four major Tibetan Buddhist traditions. The Ganden Tripa (Ganden Throne Holder), not the Dalai Lama, is the official head of the Gelug school. However, His Holiness the Dalai Lama is widely recognized as the spiritual leader of Tibet and a global ambassador of Tibetan Buddhism. Tibetan Buddhism extends beyond Tibet into the broader Himalayan region, including areas like Ladakh and Sikkim, where its rich traditions thrive.

In Bhutan, Buddhism is the official religion, deeply integrated into the country’s cultural and political life. Tibetan Buddhism also spread to Mongolia and parts of Russia, including Kalmykia, Buryatia, and Tuva, where it remains a significant spiritual tradition. Following the Chinese occupation of Tibet, many Tibetan exiles settled in India, re-establishing monastic centers and preserving their traditions within vibrant Tibetan communities. In recent decades, Tibetan Buddhism has gained considerable popularity in the West, where its teachings on mindfulness, compassion, and ethical living have found a broad audience. At the heart of Tibetan Buddhist practice is the bodhisattva ideal- the selfless path of one who aspires to attain enlightenment not merely for personal liberation, but for the benefit of all sentient beings.

Central to Tibetan Buddhist practice is the aspiration for all beings to attain enlightenment- a reflection of the bodhisattva ideal. The teacher-student relationship is deeply revered in all Tibetan traditions, rooted in the Indian concept of the guru, referred to as Lama in Tibetan. This bond is considered essential for the authentic transmission of teachings. The Vajrayana,



- The teacher-student relationship is deeply revered in all Tibetan traditions

or Tantric path, though distinct in method, is grounded in the altruistic motivation of the Mahayana and is not viewed as separate from it. Instead, it represents a specialized and transformative path within Mahayana Buddhism, wherein one works closely with a qualified teacher to engage more profound levels of consciousness. Through visualization, mantra, and subtle energy practices, Tantra aims to transform the ego and emotional patterns into enlightened awareness.

- Tibetan Buddhism integrates deities as enlightened qualities

The deities represent a distinctive aspect of Tibetan Buddhism. These deities are not worshipped as actual gods but are understood as manifestations of enlightened qualities, such as compassion and wisdom. Avalokiteshvara, the Buddha of Compassion- known as Chenrezig in Tibetan- is regarded as the patron deity of Tibet. Cultivating boundless compassion for all sentient beings is central to this tradition and is often expressed through the popular Sanskrit mantra Om Mani Padme Hum. Tibetan Buddhist practice is highly individualized, allowing for diverse approaches based on personal inclination and spiritual needs. Its teachings range from foundational sutra-based practices to advanced paths such as Tantra, and the non-dual teachings of Mahamudra and Dzogchen reflect the tradition's rich and integrative nature.

- Tibetan Buddhism emphasizes meditation and understanding the nature of death

Tibetan Buddhism places great importance on meditation. The tradition is rich with inspiring stories of highly accomplished yogis, yoginis, and deeply respected Buddhist teachers. Their lives and teachings show how effective the practices can be. Another important feature of Tibetan Buddhism is its detailed teachings on death and dying, perhaps more prevalent than in any other culture. These teachings are not meant to be frightening. Instead, they help people understand the process of dying, so they can live more meaningful, richer, peaceful, and joyful lives. This understanding is seen as an essential part of Buddhist practice.

- The Dalai Lama often describes Tibetan Buddhism as a 'science of the mind'

Specifically in the Gelug tradition, the core areas of monastic study include Pramana (Buddhist logic and epistemology), Madhyamaka (the Middle Way philosophy), Abhidharma (Buddhist psychology and cosmology), Prajnaparamita (the Perfection of Wisdom), and Vinaya (the monastic code of discipline). Gelug monks may also pursue the Geshe degree, a rigorous academic qualification that enables them to teach Buddhist philosophy and practice. His Holiness the Dalai Lama often describes Tibetan Buddhism as a 'science of the mind,' emphasizing that 'my religion is kindness.' The ultimate goal of the path to enlightenment is to understand the mind's true

nature and cultivate it to benefit all sentient beings.

1. Nyingma: Early Translations School
2. Kagyu: Oral Transmission (or “Whispered Transmission”) School
3. Sakya: “Pale Earth” (or “Grey Earth”) School
4. Gelug: “Virtuous Ones” School

- Tibetan Buddhism has diverse schools with unique traditions

Buddhism is a deep spiritual tradition that encompasses a rich history, many important texts, and a wide range of teachings and practices. Understanding the different schools and teachings in Tibetan Buddhism can be challenging without knowing some basic terms and ideas. This brief overview of the four main schools- Nyingma (Ancient), Kagyu (Oral Transmission), Sakya (Grey Earth), and Gelug (Monastic or Virtuous)- is meant to help make the tradition easier to understand. Each school of Tibetan Buddhism has the same aim: to help the bodhisattva-someone seeking enlightenment- in attaining spiritual freedom. However, each school understands and practices the teachings, texts, and path to liberation. Learning about these four main traditions can deepen understanding and guide awakening.

1.2.1 Nyingma: Early Translations School

- Nyingma comes from the Tibetan word ‘nying’, which means ‘ancient’

The Nyingma school is the oldest of the four main schools of Tibetan Buddhism. It developed during the 8th century CE, when Buddhist teachings were first translated from Sanskrit into Tibetan. ‘Nyingma’ comes from the Tibetan word ‘nying’, meaning ‘ancient’ or ‘old.’ The term is best understood as the ‘Ancient Translation School,’ which refers to the early period of translating Buddhist texts, rather than the age of the practitioners themselves.

- Padmasambhava played a central role in establishing Vajrayan Buddhism in Tibet

To spread the teachings of the Buddha among the Tibetan people and to drive out the evil spirits of the country, King Trisong Detsen invited Padmasambhava, a revered Buddhist tantric master, to Tibet around the 8th century CE. Padmasambhava, also known as Guru Rinpoche or ‘the Second Buddha,’ played a key role in spreading Buddhist teachings and is considered one of the founders of Tibetan Buddhism. He helped establish Samye Monastery, Tibet’s first Buddhist monastery, a major center for Buddhist learning and practice for several centuries. Padmasambhava is believed to have hidden spiritual teachings called Terma (hidden treasures), intended to be discovered later by special individuals known as tertons at the appropriate time.



- Dzogchen teaches the mind's purity beyond dualistic concepts

The Nyingma school is different from the other Tibetan Buddhist schools because it does not have a single head or centralized leadership. Instead, it is guided by various senior teachers or delegates. A key focus of this tradition is 'Dzogchen', often translated as 'the Great Perfection,' which is regarded as the highest and most profound teaching in the Nyingma tradition. Practitioners of Dzogchen, the central practice in the Nyingma tradition, are encouraged to recognize the natural purity of the mind, which goes beyond dualistic thinking and fixed concepts. The Nyingma Gyubum- a large collection of texts that includes teachings, rituals, and commentaries- is an important part of this tradition. Like the other Tibetan Buddhist schools, Nyingma also uses ritual instruments, deity visualizations, and mantra recitation in its spiritual practices.

- Nyingma's Nine Yanas offer progressive paths to enlightenment

One of the distinctive features of the Nyingma tradition is the 'Nine Yanas' system. The term yana means 'vehicle' or 'path,' and refers to different levels of spiritual practice. Each Yana represents a method or approach suited to different capacities and goals of practitioners. The Nine Yanas are structured as a progressive series of teachings and practices, guiding individuals toward enlightenment. This layered system reflects the inclusive and comprehensive nature of the Nyingma school.

1.2.2 Kagyu: Oral Transmission (or “Whispered Transmission) School

- Kagyu emphasizes oral transmission and Mahamudra realization practice

‘Kagyu’ originates from the Tibetan meaning ‘oral lineage’ or ‘whispered transmission,’ highlighting its emphasis on direct oral teachings passed from master to disciple. While its roots trace back to Buddha Shakyamuni, the Kagyu lineage formally begins with the Tibetan translator Marpa Lotsawa and his renowned disciple, the yogi Milarepa, as well as the great Indian siddhas Tilopa and Naropa. With Mahamudra as the ultimate realization, the Kagyu tradition emphasizes attaining enlightenment through direct meditative experience, distinguishing itself by prioritizing experiential wisdom over scholastic study.

The meditation technique Mahamudra, or the ‘Great Seal,’ aims to directly and immediately recognize the mind’s true nature. Under the guidance of a qualified teacher, practitioners follow a structured sequence of meditative practices intended to realize the ultimate truth. The Kagyu School is primarily known for emphasizing the direct, experiential realization of enlightenment over academic or theoretical approaches. Within

- Karma Kagyu lineage follows Karmapa, an enlightened spiritual guide

the Kagyu tradition, several sub-schools exist, each with its own customs and spiritual focus. The Karma Kagyu lineage is especially prominent, led by the Karmapa, who is revered as an enlightened guide on the path to realization. A distinctive feature of the Kagyu School is its rich collection of sacred texts, such as the Vajra Songs of Milarepa, the collected works of Gampopa, teachings of the Karmapas, and writings by renowned masters like Drikung Kyobpa Jigten Sumgon and Drukpa Kunkhyen Pema Karpo. These texts reflect the tradition's deep focus on meditative practice and spiritual insight.

1.2.3 Sakya: Pale Earth (or 'Grey Earth') School

- Sakya emphasizes scholarly study and family lineage transmission.

The term 'Sakya', meaning 'Pale (or Grey) Earth' in Tibetan, refers to the region near Shigatse in Tibet where this school was founded. The Sakya School is primarily distinguished by its focus on methodical scholarly study, elaborate ceremonial practices, and the transmission of knowledge through a hereditary lineage, preserving the integrity of its teachings across generations. The Sakya school engages deeply with the threefold Buddhist corpus- Sutra, Tantra, and Shastra- emphasizing a balanced integration of doctrinal study and meditative practice. The Tantras contain esoteric rituals and advanced meditative practices, and the Sastras are philosophical commentaries, including influential works by Indian masters and prominent Sakya scholars. The school emphasizes the harmonious integration of these three to offer a comprehensive understanding of Buddhist philosophy.

- Lamdre guides practitioners through structured Buddhist philosophical training

Central to the Sakya tradition is the doctrine of Lamdre, meaning 'The Path and its Fruit.' This teaching offers a complete and structured path to enlightenment, synthesizing philosophical theory with meditative practice. The Sakya School is led by the Sakya Trizin, or 'Holder of the Sakya Throne,' a role traditionally held by direct male descendants of the Khon family lineage. Once a lifelong position, the 'Holder of the Throne' now alternates between the next generation of male descendants from two families for three years.

1.2.4 Gelug: 'Virtuous Ones' School

The Gelug School is the largest and most recent of the four primary Tibetan Buddhist schools. Founded in the 14th century by the revered scholar and teacher Tsongkhapa, it is distinguished by its strict monastic discipline and rigorous philosophical study to cultivate a morally upright and enlightened mind. Tsongkhapa sought to restore strict adherence to the Vinaya ethical code and to revitalize the Buddhist monastic tradition. Central to the



- Gelug emphasizes monastic discipline and deep philosophical study

Gelug teachings is the Lamrim, or the ‘Stages of the Path to Enlightenment,’ a systematic presentation of the spiritual path primarily derived from the teachings of the Indian master Atisha in the 11th century. The Gelug tradition places great importance on the classical texts of Madhyamaka philosophy, particularly those by the Indian philosopher Nagarjuna. A defining feature of the Gelug School is its focus on Prasangika Madhyamaka, a sophisticated sub-school of Madhyamaka philosophy that emphasizes rigorous logical analysis and the refutation of intrinsic existence as a means to realize emptiness.

- Each Tibetan school offers unique paths to enlightenment

Each of the four schools of Tibetan Buddhism contributes uniquely to the rich and diverse landscape of Buddhist thought through its distinct teachings and practices. Tibetan Buddhism offers a wide array of paths to enlightenment- whether one is drawn to the disciplined monasticism of the Gelug, the experiential meditation practices of the Kagyu, the scholastic traditions of the Sakya, or the nine yanas system culminating in Dzogchen as taught by the Nyingma. These schools have preserved and propagated the Buddha’s wisdom through generations of dedicated practice, leaving a profound and lasting influence on the spiritual traditions of Tibet and beyond.

Summarized Overview

The four major schools of Tibetan Buddhism, Nyingma, Kagyu, Sakya, and Gelug, have distinct historical roots, teachings, and practices. Nyingma, the oldest school, traces its origins to Padmasambhava and emphasizes Dzogchen (Great Perfection), a direct and experiential path to enlightenment. Kagyu, known for its oral transmission lineage, centers on Mahamudra meditation and the Six Yogas of Naropa, strongly focusing on the guru-disciple relationship. It was established by Marpa, Milarepa, and Gampopa, who shaped its meditative traditions.

Sakya, which rose to prominence in the 11th century, follows a scholarly and systematic approach, emphasizing Lamdre (the ‘Path and its Result’) and philosophical study. It played a key role in Tibetan governance during the Mongol era. Gelug, founded by Je Tsongkhapa in the 14th century, is renowned for its strict monastic discipline and deep engagement with Madhyamaka philosophy. The Dalai Lama lineage comes from this school, which is highly influential in Tibet’s spiritual and political spheres. While each school has unique practices and emphases, they all uphold the core principles of Mahayana Buddhism and Vajrayana (Tantric) teachings.

Self-Assessment

1. Discuss the historical significance of Padmasambhava in the development of Tibetan Buddhism and his role in the foundation of the Nyingma tradition.
2. Explain the integration of the Foundational Vehicle, Mahayana, and Vajrayana within Tibetan Buddhism. How does this tri-fold structure shape its philosophy and practice?
3. Compare the Mahamudra and Dzogchen meditative systems. How do they reflect the distinctive approaches of the Kagyu and Nyingma schools, respectively?
4. Describe the core principles and institutional contributions of the Gelug school. How did Tsongkhapa shape its doctrinal and monastic framework?
5. What is the Lamdre (Path and Fruit) teaching in the Sakya tradition? Analyze its significance in combining the sutra and tantra methods.
6. Evaluate the role of the teacher-disciple (lama) relationship in Vajrayana Buddhism. Why is it considered central to spiritual realization?
7. Tibetan Buddhism emphasizes both wisdom and compassion. How are these principles expressed in the bodhisattva ideal and daily practices?

Assignments

1. Critically examine how integrating Foundational Vehicle, Mahayana, and Vajrayana elements within Tibetan Buddhism can offer a holistic model for spiritual practice in the modern world.
2. Analyze the relevance of the teacher-disciple (lama) relationship in Vajrayana Buddhism for today's educational or spiritual mentorship models. How can this relationship inform present-day approaches to personal transformation and ethical guidance?
3. Compare the meditative frameworks of Mahamudra and Dzogchen in the context of mental well-being. How can these ancient techniques be adapted or interpreted to address contemporary issues like stress, distraction, or existential anxiety?



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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.

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UNIT 3

Buddhism in China and Japan

Learning Outcomes

After the completion of this unit, the learner will be able to:

- explain how Buddhism was introduced and developed in China and Japan
- identify key Chinese and Japanese Buddhist schools such as Chan, Pure Land, Zen, and Nichiren
- describe how Buddhism adapted to local traditions like Daoism, Confucianism, and Shinto
- compare the role of Buddhism in the political, cultural, and social life of China and Japan
- analyze the philosophical teachings of major Buddhist traditions in both countries
- recognize the modern global influence of Chinese and Japanese Buddhism, especially in art, meditation, and ethics

Background

Unlike many religious traditions rooted in one culture, Buddhism transformed each time it crossed a border. It did not merely spread, it migrated, adapted, and evolved, responding to new environments and shaping them in return. It arrived as a foreign religion with unfamiliar texts and monastic customs in China. Yet, instead of remaining an outsider, it sparked rich philosophical dialogue with Confucianism and Daoism. The Chinese were not passive recipients; they reinterpreted Buddhist ideas through their intellectual and spiritual lenses. This led to uniquely developing Chinese schools like Chan, Tiantai, and Huayan, emphasizing meditation, cosmic harmony, and the interdependence of all phenomena.

In Japan, Buddhism entered a world immersed in Shinto spirituality, which honored

nature spirits and ancestral deities. Rather than displacing these beliefs, Buddhism wove itself into them. It shaped the moral code of samurai warriors, inspired the minimalist elegance of Zen aesthetics, and introduced new ideas about death, rebirth, and salvation. Schools like Zen, Pure Land, and Nichiren reflected Japanese concerns, be it the discipline of warriors, the devotion of laypeople, or the voice of reformers in turbulent times. Here, discuss how Chinese and Japanese societies did not simply adopt Buddhism but actively shaped it in unique and lasting ways.

Keywords

Sinicization, Samurai, Syncretism, Reincarnation, Monasticism, Bodhisattva, Daoism, Confucianism

Discussion

1.3.1 Buddhism in China

- Han culture greatly enriched China's artistic and intellectual traditions.

China was an ancient civilization that had already developed a rich culture by the beginning of the Christian era. The Han dynasty (206 BCE – 220 CE) shaped Chinese society. It significantly contributed to various fields such as art, literature, government, science, and industry. The Han period was marked by creativity and innovation. Manuscripts were collected and preserved in an imperial library, and the first official historical records were written on paper. The Han also produced glazed pottery, and Chinese literature became more expressive and refined.

- The Tang dynasty is regarded as the golden age in Chinese history

After the fall of the Han dynasty, China went through several centuries of political instability. A significant revival came during the Tang dynasty (618–907 CE), which is often regarded as a golden age in Chinese history. The Tang rulers addressed the increasing influence of Buddhism and other religions by reinforcing Confucian principles. However, they also allowed general religious tolerance. During the height of the Tang Empire in the 8th century, it extended beyond China Proper to include South and Central Manchuria and large parts of Central Asia (Turkestan). The period saw outstanding achievements in sculpture, architecture, and urban planning. The Tang capital, Chang'an, was a model city and inspired the layout of Nara, Japan's first permanent capital. Block printing



was also invented during this time, paving the way for the spread of knowledge.

After the decline of the Tang dynasty, China again experienced disorder. Stability was restored with the rise of the Song dynasty (960–1279 CE), also known as the Sung dynasty. Despite some interruptions in its rule, the Song period marked a renaissance in arts, education, and philosophy. A new interpretation of Confucianism, Neo-Confucianism, became dominant and shaped Chinese thought for centuries. In the late 13th century, China was conquered by the Mongols under Kublai Khan, who founded the Yuan dynasty. During this time, Yunnan was brought under control, Annam (modern Vietnam) became a vassal state, and attempts were made to invade Japan, though unsuccessfully. China became part of the larger Mongol world empire, which connected Asia and Europe in new ways. Following the decline of Mongol power, the Ming dynasty (1368–1644) restored native Chinese rule. The Ming era is noted for strengthening the central government, expanding maritime trade, and promoting cultural revival. In the mid-17th century, the Ming were overthrown by the Manchus, who established the Qing dynasty (1644–1912), China's last imperial dynasty. The Qing rulers expanded China's territory and maintained a relatively stable administration until the early 20th century.

- The Ming and Qing dynasties left a lasting legacy in art, architecture, governance, and philosophy, shaping modern China.

1.3.1.1 The Chinese Buddhist Canon

The Buddhist scriptures preserved in the Chinese language are collectively known as the San Tsang, which means Three Storehouses- the equivalent of the Tripiṭaka. This vast collection includes translations of Indian Buddhist texts from various periods and schools of thought and some original Chinese compositions. A detailed description of this collection is found in the catalogue compiled by the scholar Bunyiu Nanjio, which lists 1,662 works divided into four main sections:

Sūtra (Ching) – Discourses of the Buddha

Vinaya – Monastic discipline

Abhidharma – Philosophical and psychological analysis

Miscellaneous – Mainly original Chinese Buddhist works

The first three divisions- Sūtra, Vinaya, and Abhidharma- consist entirely of translations from Indian texts. The fourth division contains indigenous Chinese Buddhist writings.

The Sūtra division, called Ching in Chinese, makes up

nearly two-thirds of the entire collection. It includes 1,081 works and is further categorized into:

(a) Mahāyāna Sūtras

(b) Hīnayāna Sūtras

(c) Combined Mahāyāna and Hīnayāna Sūtras – These are later translations from both traditions.

The Hīnayāna Sūtras show the early doctrinal structure and are classified into two types:

(a) Āgama (A-han): These are analogous to the Pāli Nikāyas and include translations of four significant collections of early Buddhist discourses. Most of these were translated in the early 5th century, while some individual sūtras were translated earlier. This section includes texts such as the Sūtra of Forty-Two Sections and the Jātaka-Nidāna.

(b) Sūtras of One Translation: These are individual texts with only one known Chinese translation. Their original sources are unclear or unidentified.

Unlike the Pāli Canon, a fixed monastic canon used by the Theravāda tradition, the Chinese Tripiṭaka is better described as a literary and bibliographic collection. It serves as an authorized reference library for Buddhist scholars and practitioners, offering Chinese translations of Indian works considered authoritative and historically significant. The Mahāyāna Sūtras section, which contains many of the most highly regarded texts by Chinese Buddhists, is divided into seven main groups:

• Sutras are divided into Mahayana and Hinayana categories

1. Pan-jo (Prajñāpāramitā) – The perfection of wisdom teachings
2. Pao-chi (Ratnakūṭa) – The ‘Heap of Jewels’ collection
3. Ta-chi (Mahāsannipāta) – The ‘Great Assembly’ sutras
4. Hua-yen (Avataṃsaka) – The Flower Garland Sūtra, a key text in Chinese Huayan Buddhism
5. Nich-pan (Parinirvāṇa) – Teachings given during the Buddha’s final days
6. Sūtras with multiple translations not included in the above five classes
7. Sūtras with only a single known translation



- The first five classes of Buddhist texts include significant works such as the Diamond Sutra and Sukhāvātīvyūha

The first five classes of Buddhist texts each represent a single major work, although they have been translated into Chinese multiple times, both in full and in part. The first class begins with the Mahāprajñāpāramitā (Great Perfection of Wisdom), followed by several shorter versions. Among these are two translations of the well-known Heart of Prajñāpāramitā Sūtra and six different translations of the Vajracchedikā (Diamond Sutra), also called Chinkang in Chinese. This Diamond Sutra is the ninth sūtra within the Mahāprajñāpāramitā collection. The second class, Pao-chi or Ratnakūṭa (Heap of Jewels), is a compilation of forty-nine sūtras. It includes the longer version of the Sukhāvātīvyūha (Sutra on the Land of Bliss). Many other texts in this class are alternate translations of individual sūtras already included in the collection.

- The fourth class contains key translations of the Flower Garland Sutra.

The third class, Ta-chi or Mahāsannipāta (Great Collection), follows a similar pattern. It is a collection of related sūtras that also appear in multiple translated versions. The fourth class, Hua-yen or Avataṃsaka (Flower Garland Sutra), includes two main translations of the exact core text, emphasizing the interpenetration of all phenomena and central to the Hua-yen school of thought in East Asia.

- The fifth class covers Buddha's death and teachings.

The fifth class, Nich-pan or Parinirvāṇa (Nirvana Sutra), contains thirteen works centered on the death of the Buddha and his final teachings. These texts often focus on the eternal nature of the Buddha and the potential for all beings to achieve Buddhahood. The sixth class includes various important Mahāyāna sūtras in several Chinese translations. These include six versions of the Saddharmapuṇḍarīka (Lotus Sutra), and three translations each of the Suvarṇaprabhāsa (Golden Light Sutra), Lalitavistara (Life Story of the Buddha), Laṅkāvatāra (Descent into Lanka), and the Shorter Sukhāvātīvyūha. The Longer Sukhāvātīvyūha belongs to the Ratnakūṭa class. This sixth class also includes shorter texts like the Jātakas (stories of the Buddha's past lives) and other works that discuss rituals, image worship, and dhāraṇīs (spells or chants).

- The Vinaya Piṭaka, divided into Mahāyāna and Hīnayāna sections

The seventh class comprises sūtras that have been translated only once into Chinese. Among these, the Śūraṅgama Sūtra and the Mahāvairocana Sūtra are especially well known. The Mahāvairocana text is foundational for the Mantra or Esoteric School, particularly in Japan's Shingon tradition. The Vinaya Piṭaka, or Lu-tsang in Chinese, is the collection of monastic discipline texts. It is divided into Mahāyāna and Hīnayāna sections. Most Mahāyāna Vinaya texts are attributed to the revelations of Maitreya and are extracts from the

Yogācārabhūmi Śāstra. The Fan-wang-ching is one of the most essential Mahāyāna Vinaya texts and serves as a key manual for monastic conduct. The Hīnayāna Vinaya consists of five main recensions of the full code of discipline and additional extracts, summaries, and manuals. These five major recensions are:

- a. Shih-sung-lu – associated with the Sarvāstivādin school.
- b. Vinaya of the Mūlasarvāstivādins – brought from India by the monk I-Ching; this is the version used in Tibetan Buddhism.
- c. Shih-fen-lu-tsang – linked to the Dharmaguptaka school.
- d. Mi-sha-so wu-fen Lu – representing the Mahīśāsaka tradition.
- e. Mo-ko Sēng-chi Lu – associated with the Mahāsāṅghika school and brought to China by Fa-Hien.

- The Hinayana Vinaya includes five major recension texts

Although traditionally Chinese Buddhists categorize these as five separate Hīnayāna Vinayas, the monk I-Ching notes that there should only be four main divisions. This discrepancy shows the diversity in transmission and classification of Vinaya texts across Buddhist traditions.

- Lun-tsang includes philosophical and psychological analysis

Lun-tsang, also known as the Abhidharma Piṭaka, is the section of the Buddhist Canon that deals with philosophical and psychological analysis. It is divided into Mahāyāna and Hīnayāna texts. Both types of texts- those from the Mahāyāna and the Hīnayāna traditions- were added to the Chinese Canon after around 960 A.D. The Mahāyāna Abhidharma texts are mainly philosophical treatises and are not directly related to the Pāli Abhidhamma of the Theravāda school. These Mahāyāna texts usually do not even include the word 'Abhidharma' in their Sanskrit titles. Instead, they represent a separate stream of Buddhist thought and are considered important works in Mahāyāna scholasticism.

These texts are attributed to great Mahāyāna thinkers such as Aśvagoṣa, Nāgārjuna, Asaṅga, Vasubandhu, and others. Among them, three significant texts are believed to have been revealed to Asaṅga by the future Buddha Maitreya. These teachings are highly regarded in the Yogācāra school of Mahāyāna Buddhism. The most important text in this group is the Yogācārabhūmi-śāstra (Treatise on the Stages of the Yogācāra



- Abhidharma texts include works by Nagarjuna and Asanga

- The Hīnayāna texts in the Chinese Canon consist of seven primary works

- The Tripiṭaka also has monk biographies, travel records, catalogues, and encyclopedic texts

Path). This extensive work outlines the stages of spiritual development for a Bodhisattva and is considered foundational for the Yogācāra tradition. Some parts of the Yogācārabhūmi deal with monastic discipline and ethical training, which is why certain sections are also classified under Vinaya literature in the broader Mahāyāna context.

The Hīnayāna texts in the Chinese Buddhist Canon are based on the Abhidharma works of the Sarvāstivādin school and do not show any direct connection with the Pāli Canon. This collection includes seven primary texts, notably the Jñānaprasthānaśāstra by Kātyāyanīputra, along with six supplementary treatises, commonly called the Abhidharma Pādas. Also included are the Mahāvibhāṣāśāstra, a comprehensive commentary, and the influential Abhidharmakośa by Vasubandhu.

The third division of the Abhidharma section includes:

- A manual of Buddhist terminology by Paṅspa.
- The Sāṅkhyakārikābhāṣya, a compilation on Sāṅkhya philosophy, reflecting inter-school dialogue.

The fourth division contains miscellaneous works, some translated from Sanskrit, others composed in Chinese. Among them are four Chinese translations of the Dhammapada, the Buddhacarita by Aśvaghōṣa, biographies of key Indian philosophers such as Aśvaghōṣa, Nāgārjuna, and Vasubandhu, and the Suḥṛllekha (Letter to a Friend).

The Tripiṭaka includes about two hundred texts and collections of monastic biographies, such as the Kao-seng chuan (Memoirs of Eminent Monks), histories of Chinese patriarchs, and travel accounts of Chinese pilgrims to India. There are also thirteen catalogues containing translator biographies, notes, and references. Several encyclopedic texts compile extracts from the Canon.

The Canon also preserves literature from various Chinese Buddhist schools:

- The Tiantai School is represented by sixty works, including the San-ta-pu (Three Great Treatises) attributed to its founder, and the ecclesiastical history by Chih-p'an.
- The Huayan School includes writings of four patriarchs and five monks.
- The Vinaya School (Lü School) has eight works linked to its founder.
- The Contemplative or Chan School includes a sūtra attribut-

ed to Huineng, the Sixth Patriarch.

- Dhammapada shares core content with the Pāli version despite differences in language and length

Among the four Chinese versions of the Dhammapada, all are said to be based on a compilation by Dharmatrāta, who is also credited with the Tibetan Udānavarga. Although Dharmatrāta is not mentioned in the Pāli tradition, two Chinese versions, the Fa-chu-ching and the Ch'u-yao-ching, show a close relationship to the Pāli Dhammapada. These verse collections differ in length and language, with some in Pāli, and others in Sanskrit or Chinese, but they share much of the same content.

1.3.1.2 Schools of Chinese Buddhism

Chinese Buddhism comprises various schools, each with its unique doctrines and practices. These schools were shaped by native Chinese developments and Indian Buddhist traditions brought through translation efforts and cultural exchange.

- **T'ien-Tai School**

- The T'ien-Tai school made lasting contributions to Chinese Buddhist literature and the Tripitaka.

The T'ien-Tai school played a major role in developing Chinese Buddhist thought and literature. Founded by Chih-I, its teachings emphasized the Lotus Sūtra as the highest expression of the Buddha's teachings. Chih-I's ideas were compiled by his disciple Kuan-Ting into three primary texts, collectively called the Three Great Books. Later, Chan-Jan, the ninth patriarch, expanded on these works. Though the school declined after the 10th century, it made significant contributions to the Tripitaka. T'ien-Tai also reached Korea, where it was revived by the monk Ti-Kuan.

- **Ch'ēng-Shih and San-lun Schools**

- Ch'ēng-Shih-tsung and San-lun-tsung were Indian-origin schools

These two schools originated from Indian traditions and were introduced to China through translation efforts. The Ch'ēng-Shih-tsung was based on the Sautrāntika school of Hīnayāna and had limited influence. The San-lun-tsung, however, followed the Mahāyāna tradition, drawing upon texts by Nāgārjuna and his disciple Deva. It focused on the philosophy of emptiness and was influential during its time.

- **Contemplative and Ch'an (Zen) Schools**

The Contemplative School, introduced by Bodhidharma, emphasized meditation and intuitive wisdom (Prajñā) over scriptural study. His teachings, though Indian in origin, harmonized well with Taoist ideas in China. However, the school eventually lost its distinct identity by absorbing elements



- The Contemplative School merged with Taoist thought and evolved into Ch'an Buddhism

from other traditions. Ch'an Buddhism (Zen in Japan) traces its lineage to Huineng, the sixth patriarch. His disciples founded the Nan Yüeh and Ch'ing Yuan schools, which later developed into five significant branches collectively called the Wu-tsung or Five Houses. Among these, the Lin-Chi (Rinzai) school became especially prominent.

- The Lotus School promoted faith in Amitābha Buddha and chanting for salvation.

- **Lotus (Pure Land) School**

The Lotus School emphasized faith in Amitābha Buddha and chanting his name as the path to salvation, making it accessible to ordinary people. Hui-Yuan founded it, which was also known as the White Lotus School, and was named after the lotus pond near his monastery. This school's simple, devotional approach gained widespread popularity.

- The Chu-she-tsung and Fa-Hsiang schools focused on philosophical analysis

- **Chü-she and Fa-Hsiang Schools**

The Chu-she-tsung school was based on Vasubandhu's Abhidharmakośa and focused on philosophical analysis, especially of the Sarvāstivāda doctrines. It declined quickly due to its academic nature and lack of ritual appeal. The Fa-Hsiang school, also of Indian origin, was rooted in Yogācāra philosophy. It used texts such as the Ch'eng wei shih lun, translated and interpreted by Hsuan Chuang. Despite its initial influence, it too declined by the 10th century.

- The Hua-yen school taught universal interdependence

- **Hua-yen School**

The Hua-yen school centered around the Avatamsaka Sūtra and presented a vision of the cosmos as an interdependent whole. Founded by Tu-Shun, it introduced profound metaphysical ideas, though it also eventually declined after flourishing in the Tang dynasty.

- The Lu-tsung school focused on monastic discipline

- **Lu-tsung (Vinaya) School**

Founded by Tao Hsuan, the Lu-tsung school emphasized monastic discipline and moral conduct, drawing from the Dharmagupta Vinaya. Tao Hsuan also contributed to Buddhist historiography and literature, compiling biographies and catalogues of sacred texts.

- **Chen-yen (Tantric) School**

The Chen-yen school, corresponding to Mantrayāna or Tantrayāna, emerged in the 8th century. It blended Tantric practices with Buddhist doctrines and worshipping of the cosmic Buddha Vairocana. Founded by the Indian monk Vajrabodhi,

- The Chen-yen school integrated Tantric practices with the worship of Vairocana

it taught that all phenomena are manifestations of Vairocana, viewed through two aspects—material (Garbhadhātu) and indestructible (Vajradhātu). Though it influenced ritual practices, it did not evolve into a distinct school after the 8th century.

1.3.2 Buddhism in Japan

1.3.2.1 Historical background

Buddhism was introduced to Japan from Korea in 552 A.D. by the kings of Kudara, despite initial resistance. Prince Shotoku, the second son of Emperor Yomei, became an early supporter and played a significant role in spreading Buddhism. He promoted Buddhist teachings through art, sculpture, and architecture, and helped harmonize Buddhism with Japan's native religion, Shintoism. Empress Suiko also supported Buddhism, and the artistic style of that period came to reflect this religious influence. After the fall of the anti-Buddhist faction in 587 A.D., Prince Shotoku declared Buddhism the state religion. He invited Korean monks to Japan to teach various subjects and sent Japanese students to China to study Buddhism. This led to a rich cultural exchange, especially in art and architecture, which were influenced by Chinese and Korean styles. The arrival of the Indian monk Bodhisena in 736 A.D. further enriched the Buddhist culture of Japan, especially in music and visual arts.

- Buddhism reached Japan from Korea and gained royal support from Prince Shotoku

- **Nara Period (708–794 A.D.)**

During the Nara period, Japan experienced a golden age of Buddhist culture. Strong ties with China led to cultural advancements, and Buddhism's influence inspired creativity across various art forms. This period emphasized peace, learning, and spiritual growth through Buddhism.

- The Nara period marked a golden age of Buddhist culture in Japan

- **Heian Period (794–1185 A.D.)**

In the early Heian period, new Buddhist sects emerged, and older sects from the Nara period declined. Saichō and Kūkai (Kōbō Daishi) introduced the Tendai and Shingon sects, respectively, which became dominant. These sects established mountain monasteries, influencing religious art and architecture. Over time, Japanese art became more independent, and Kyoto became a major artistic center.

- In the early Heian period, the Tendai and Shingon sects rose to prominence

- **Kamakura Period (1185–1333 A.D.)**

This period marked the rise of warrior culture, and art reflected values like simplicity and strength. Buddhism spread



- Warrior culture and Zen Buddhism shaped Kamakura-era art

among the general public through new sects focused on lay practice. Zen Buddhism, introduced from China, gained popularity and shaped aesthetics in painting, sculpture, and architecture. Kamakura emerged as a second artistic hub after Kyoto.

- Zen patronage under Ashikaga shoguns brought art into secular life

- **Muromachi Period (1336–1573 A.D.)**

Zen Buddhism became the leading cultural force during this period. The Ashikaga Shoguns, especially Yoshimitsu and Yoshimasa, supported the arts. Art moved beyond temples into the residences of political leaders. Zen's emphasis on simplicity and inner experience deeply influenced artistic expression.

- Under the shoguns, grand castles and decorative arts flourished

- **Momoyama Period (1573–1603 A.D.)**

Under the rule of Oda Nobunaga, Toyotomi Hideyoshi, and Tokugawa Ieyasu, grand castles were built, and the decorative arts flourished. Interior design reflected both luxury and the emerging culture of the tea ceremony. This period also marked Japan's first contact with Western civilization, giving rise to the 'Nanban' (Southern Barbarian) style influenced by European art.

- In the Edo period, Confucianism became a dominant influence

- **Edo Period (1603–1867 A.D.)**

Japan isolated itself from the outside world during this time, and Confucianism became a dominant influence. Religious sculpture declined, but the minor arts- such as painting, crafts, and design- developed in new directions. The Kano school led much of the artistic activity. Social unrest and the fall of the Tokugawa shogunate eventually led to the restoration of imperial rule in 1867, ushering in the Meiji period.

1.3.2.2 Major Japanese Buddhist Traditions

Japan has thirteen major Buddhist sects, many of which originated from Chinese traditions. Some, like the Kegon, Ritsu, and Hosso, preserved their original forms, while others were modified or developed locally over time.

- The Tendai sect combined Chinese Tiantai teachings with elements of Tantric and Zen Buddhism

1. Tendai Sect

The Tendai sect was founded by Saichō (also known as Dengyō Daishi) in 804 A.D. After studying at the Tiantai school in China, he returned to Japan and established the sect at Enryakuji Temple on Mount Hiei, which became a major center of Buddhist learning. Although based on the Chinese Tiantai tradition, Tendai in Japan incorporated ideas from other

Buddhist schools, including Tantric and Zen teachings. Saichō emphasized a practical approach to enlightenment, including a method called Kanjin (introspection or intuition of the mind).

2. Shingon Sect

The Shingon sect was founded by Kūkai (also known as Kōbō Daishi), a scholar and calligrapher who studied Tantric Buddhism in China. Upon his return, he established the Shingon tradition at Mount Kōya. The sect is based on texts such as the Mahāvairocana Sūtra and emphasizes esoteric or mystical practices, including mantra recitation. According to the Shingon sect's doctrine, enlightenment can be achieved by reciting a mantra or Dhāraṇī. Shingon preserved Tantric Buddhist ideals in a structured and sustained way, unlike in India or Tibet, where the tradition eventually declined.

- The Shingon sect focuses on esoteric practices like mantra recitation for enlightenment.

3. Pure Land Buddhism

Pure Land Buddhism focuses on faith in Amitābha (Amida) Buddha for salvation and rebirth in the Pure Land (Sukhāvātī). This tradition includes several sects: Jōdo, Jōdo Shin, Yūzū Nenbutsu, and Ji.

Jōdo Sect: Hōnen (also known as Genkū) founded the Jōdo sect in Japan in 1175. His teachings were based on Pure Land Buddhist doctrine, emphasizing salvation through faith in Amitābha (Amida) Buddha. The primary scriptures of the sect include the Larger and Smaller Sukhāvātīvyūha Sūtras and the Amitāyurdhyāna Sūtra, which highlight the power of Amitābha's vow to save all beings. Hōnen taught that by sincerely reciting the name of Amitābha (the nembutsu), one could be reborn in the Pure Land (Sukhāvātī) after death.

Jōdo Shin Sect: Shinran, a disciple of Hōnen, established this sect and introduced reforms. He taught that all beings, regardless of status, could attain salvation through Amitābha's vow. He emphasized personal humility, seeing himself as a follower, not a teacher.

Yūzū Nenbutsu and Ji Sects: Founded by Ryōnin and Ippen, respectively, these sects focused on communal chanting and wandering preaching. While less influential than other Pure Land traditions, they were shaped by ideas from Kegon philosophy.

- Pure Land Buddhism emphasizes faith in Amitābha Buddha for salvation and rebirth in the Pure Land



4. Zen Buddhism

- Zen Buddhism in Japan emphasizes meditation over scriptures and was supported by the samurai and shoguns

Zen Buddhism in Japan is represented mainly by three sects: Rinzai, Sōtō, and Ōbaku. Eisai founded the Rinzai sect, Dōgen founded the Sōtō sect, and the Chinese monk Ingen introduced the Obaku sect. The word 'Zen' comes from 'Zena', a transliteration of the Sanskrit word dhyāna, meaning meditation or contemplation. Zen Buddhism teaches that enlightenment is attained through meditation rather than relying on scriptures or rituals. It was supported by the samurai class and shoguns, which helped spread its influence across Japan. Zen Buddhism played a key role in shaping Japanese art, architecture, tea ceremonies, and martial traditions.

5. Nichiren Buddhism

- The Nichiren sect centered on the Lotus Sutra and chanting its title for salvation

The Nichiren sect was founded by the Japanese monk Nichiren, who believed that the Lotus Sutra (Saddharma Puṇḍarīka Sūtra) was the supreme teaching of the Buddha. He held that Śākyamuni Buddha is the eternal and absolute Buddha, and salvation could be achieved through the chanting of the title of the Lotus Sutra (Namu Myōhō Renge Kyō). Nichiren emphasized personal devotion and social action, and his sect remains influential in modern Japan.

In addition to the major sects, there were other early Buddhist schools in Japan, such as Sanron, Kusha, and Jōjitsu, which were primarily based on Indian and Chinese doctrines but later declined in influence.

6. Kuśa-shū (Abhidharma Kośa School)

- The Kuśa sect taught three paths- Śrāvakas, Pratyekabuddhas, and Bodhisattvas

The Kuśa sect was based on the Abhidharma Kośa, a key philosophical text written by Vasubandhu, a 4th-century Indian scholar. This work is part of the Abhidharma tradition of the Sarvāstivāda school and analyzes mental and physical phenomena to help practitioners understand reality and attain Nirvāṇa. The Kuśa doctrine classifies spiritual seekers into three paths:

- Śrāvakas (listeners), who meditate on cause and effect
- Pratyekabuddhas (solitary Buddhas), who reflect on the twelve links of dependent origination
- Bodhisattvas, who practice the six perfections (pāramitās) to become Buddhas for the benefit of all beings.

The school aimed to eliminate the false idea of a permanent self (Atman) and taught that all phenomena are conditioned and impermanent.

7. Jōjitsu-shū (Satyasiddhi School)

- The Jōjitsu sect asserts the reality of the present moment

The Jōjitsu sect was based on the Satyasiddhi Śāstra ('Treatise on the Completion of Truth') written by Harivarman, an Indian scholar. Though rooted in Hīnayāna, its teachings influenced Mahāyāna thought as well. The text explains the emptiness of self (Atman) and phenomena (Dharma) and affirms the reality of the Four Noble Truths. It asserts that only the present moment is real, while the past and future are illusions. The Jōjitsu teachings were introduced to Japan along with the Sanron school, and later became a sub-branch. Over time, the Kusa, Sanron, and Jōjitsu sects declined and lost independent influence, but they played an essential role in shaping Japan's early Buddhist philosophy.

1.3.3 Buddhism's Journey in China and Japan

- Chinese Buddhism evolved through sinicization, blending with Daoist and Confucian values

Chinese Buddhism evolved through a process of sinicization, where it merged with native traditions like Daoism and Confucianism. This helped Buddhism align with the spiritual and philosophical values of Chinese society. A key factor in this development was the translation of important Buddhist texts by scholars such as Xuanzang and Kumarajiva. Their work played a significant role in shaping Chinese Buddhist philosophy.

- Despite persecution and competition from Confucianism, Chinese Buddhism endured through revivals.

In China, Buddhism encountered many difficulties, including persecution during the later years of the Tang Dynasty and intense competition from Confucianism, especially during the Song and Ming Dynasties. Despite these setbacks, Buddhism survived through periodic revivals, such as those during the Yuan Dynasty, which helped maintain its relevance and continuity in Chinese society.

- Buddhism in Japan evolved by integrating Shinto elements

Buddhism was introduced to Japan during the Asuka Period in the sixth century CE, likely through Korea. Initially, it faced opposition from Shinto followers. However, with imperial support, especially from Prince Shōtoku, who promoted Buddhist teachings and linked them with governance, Buddhism gradually gained acceptance. As in China, Buddhism in Japan adapted to local beliefs. Japanese Buddhists incorporated Shinto practices, resulting in a unique syncretic tradition. Over time, Japanese Buddhist schools developed in ways that reflected the



nation's social and cultural identity.

1.3.4 Comparative Analysis: China and Japan

Similarities

- Both nations integrated Buddhism into existing belief systems, creating syncretic traditions.
- Monasteries in both regions became centers of education and cultural exchange.
- Major schools like Zen and Pure Land developed in both countries and shaped spiritual life.

Differences

- Integration with Local Traditions: Japanese Buddhism merged with Shinto, while Chinese Buddhism integrated Daoist and Confucian ideas.
- Political Role: Buddhism coexisted with Confucianism in state governance in China. In Japan, both imperial and samurai elites used Buddhism to legitimize authority.
- Artistic Expression: While both regions produced Buddhist art, Japanese Zen aesthetics emphasized simplicity and impermanence more strongly than Chinese traditions.

- Buddhism has seen a revival in modern China. Japanese Buddhism has impacted Western art and spirituality while addressing contemporary issues

Modern Influence and Global Impact of Buddhism

Despite challenges like the Cultural Revolution, Buddhism has revived in modern China. It continues to offer spiritual insight and has contributed significantly to global Buddhist thought. Japanese Buddhism, especially Zen, has influenced Western art, psychology, and spiritual practices. It continues to address modern issues and offers guidance to different communities.

Summarized Overview

Buddhism in China and Japan evolved through a rich interplay of indigenous traditions and Buddhist teachings, shaping religious practices and cultural identities. In China, Buddhism arrived through the Silk Road and underwent sinicization, blending with Daoism and Confucianism. Major schools like Chan (Zen), Pure Land, and Tiantai offered various paths to enlightenment, including meditation, faith in Amitābha Buddha, and philosophical teachings. Despite periods of persecution, Buddhism survived and thrived, primarily through the translation work of scholars such as Xuanzang and Kumarajiva.

In Japan, Buddhism was introduced in the Asuka period, initially facing opposition from Shinto beliefs but gradually gaining acceptance with imperial support, particularly from Prince Shōtoku. Japanese Buddhism developed distinct schools such as Tendai, Shingon, Zen, and Pure Land, influencing different aspects of Japanese culture, including art, architecture, and the tea ceremony. Figures like Hōnen, Shinran, and Dōgen played pivotal roles in shaping these schools, contributing to Japan's unique religious and cultural landscape. Combining Buddhism with local practices and developing new traditions allowed Chinese and Japanese Buddhism to remain vital forces in their societies.

Self-Assessment

1. How did introducing Buddhism to China influence the development of Chinese philosophical and religious traditions?
2. In what ways did the process of sinicization help Buddhism integrate with native Chinese beliefs like Daoism and Confucianism?
3. What are the key teachings of the Chan (Zen) school, and how did it shape both religious practices and cultural life in China?
4. How did the Pure Land school's focus on faith in Amitābha Buddha make Buddhism more accessible to laypeople in China and Japan?
5. What role did translation efforts by scholars like Xuanzang and Kumarajiva play in shaping Chinese Buddhist philosophy?
6. How did Buddhism adapt to Japanese cultural and religious contexts after its introduction, especially regarding syncretism with Shinto beliefs?
7. What are the core teachings of the primary Japanese Buddhist schools, such as Tendai, Shingon, and Zen, and how did they influence Japanese society and culture?
8. How did figures like Prince Shōtoku, Hōnen, Shinran, and Dōgen contribute to developing Japanese Buddhist traditions?

Assignments

1. Analyze the impact of the sinicization of Buddhism on its philosophical and cultural integration in China. How did this process influence the development of Chinese Buddhist schools like Chan (Zen) and Pure Land?



2. Compare and contrast the teachings of the Chan (Zen) school in China with the Japanese Zen tradition. How did these schools adapt to the cultural contexts of their respective countries, and what was their influence on art, literature, and philosophy?
3. Discuss the role of syncretism between Buddhism and Shinto in shaping Japanese Buddhist practices. How did figures like Prince Shōtoku and later Japanese Buddhist leaders like Hōnen and Shinran contribute to developing unique Japanese Buddhist schools?
4. Assess the role of Buddhist monastic institutions like Mount Hiei (Tendai) and Mount Kōya (Shingon) in developing Japanese Buddhism. How did these institutions contribute to forming distinct Buddhist schools and their cultural impact on Japan?

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Suggested Reading

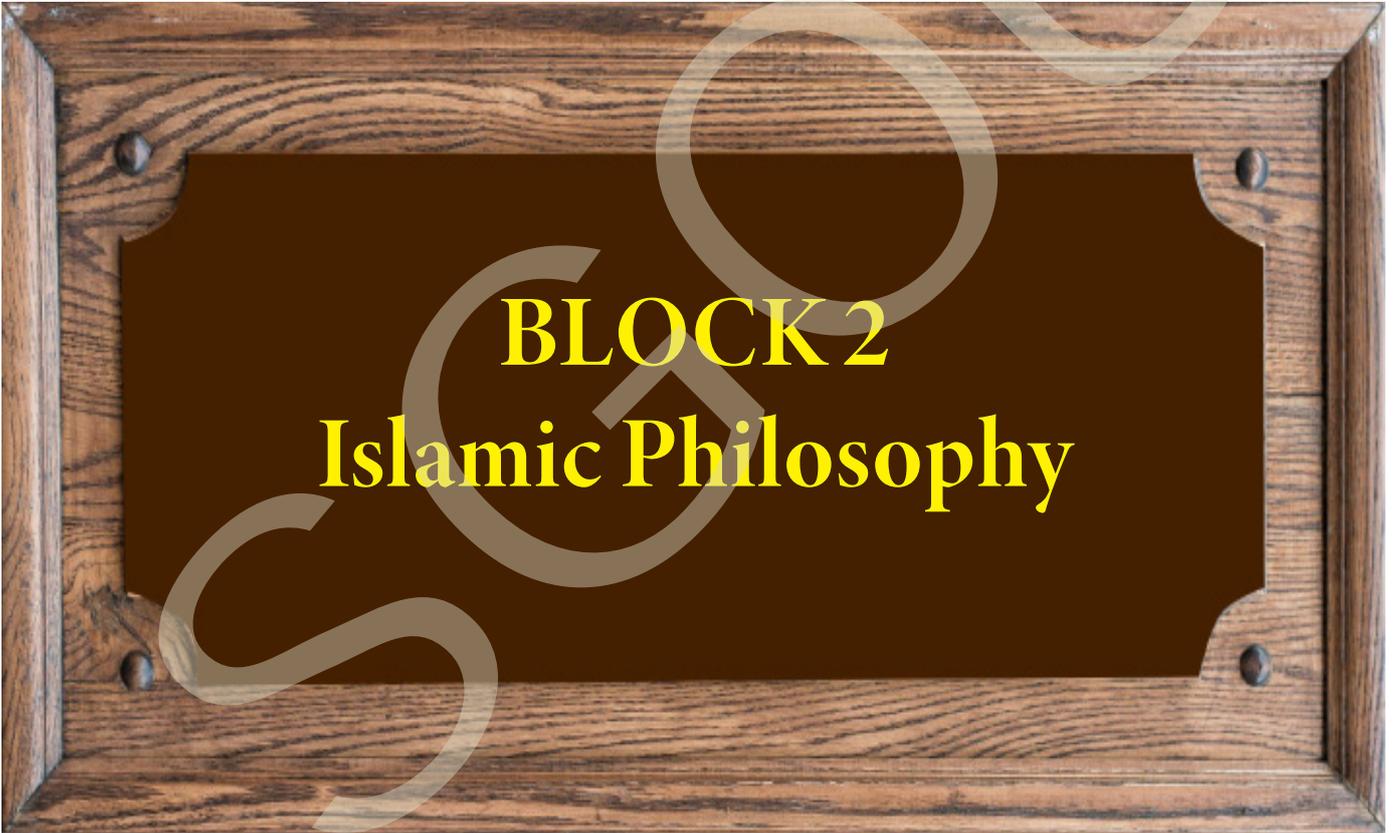
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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.



SGOU



BLOCK 2

Islamic Philosophy



UNIT 1

Islamic Neoplatonism: Al-Farabi and Ibn Sina

Learning Outcomes

After the completion of this unit, the learner will be able to:

- get an introduction to al-Farabi's metaphysical system
- understand al-Farabi's political philosophy and his concept of the Virtuous City
- understand Ibn Sina's demonstration method and views on the concept of conception and assent
- recognize the relationship between the essence and the existence
- understand the views of Ibn Sina on natural sciences

Background

Islamic philosophy is a dynamic intellectual tradition that explores existence, knowledge, ethics, and the divine, blending faith and reason. Influenced by Greek, Persian, and Indian thought, it developed through various schools, including Peripatetic philosophy, Kalam, Illuminationism, and Sufism. Thinkers like Al-Farabi, Avicenna, Al-Ghazali, and Mulla Sadra contributed to metaphysics, theology, and political thought. Sufism emphasized spiritual experience, while modern scholars like Iqbal and Nasr engage with contemporary challenges. Islamic philosophy shaped medieval European thought and continues to address modern issues like democracy and science.

Islamic Neoplatonism, represented by al-Farabi and Ibn Sina (Avicenna), is rooted in the profound engagement of Muslim scholars with the Greek philosophical traditions of Plato and Aristotle, introduced during the Abbasid era through extensive translation efforts. These translations not only preserved classical works but also enabled Muslim thinkers to synthesize them with Islamic theological principles. Al-Farabi, known as the "Second Master" after Aristotle, laid a philosophical foundation by harmonizing Aristotelian and Platonic ideas with Neo-Platonism, emphasizing metaphysical and cosmological inquiries. Ibn Sina, building upon al-Farabi's work, further refined this synthesis, especially with his distinction between essence and existence and his

hierarchical emanation theory. Before them, al-Kindi, the “First Arab Philosopher,” initiated the integration of Greek philosophy into the Islamic intellectual tradition, but much of his work is now lost. These philosophers collectively shaped Islamic Neoplatonism, blending rational inquiry and metaphysical principles, which profoundly influenced both Islamic and Western thought in fields such as metaphysics, logic and natural sciences.

Keywords

Essence and Existence, Conception, Intelligence, Emanation, Active Intellect.

Discussion

- Polymath who contributed to the Neoplatonic ideas

- Al-Farabi wrote on philosophy, psychology and linguistics

Al-Farabi: An Introduction

Abu Nasr Muhammad ibn Muhammad ibn Tarkhan al-Farabi (872–950 CE) is one of the most prominent figures in Islamic philosophy. He is often referred to as the “Second Master” after Aristotle, highlighting his significant role in the development and dissemination of philosophical thought in the Islamic world. Al-Farabi was a polymath who mastered various disciplines, including logic, grammar, philosophy, music, mathematics and science. His works reflect a synthesis of the ideas of Plato, Aristotle and Plotinus, contributing to the Neoplatonic trends in Islamic thought. He is remembered for constructing an elaborate metaphysical system and for integrating philosophy with Islamic theological concepts.

His major works include *The Virtuous City (Al-Madina al-Fadila)*, where he outlines his vision of an ideal society led by a philosopher-king, drawing parallels with Plato’s *Republic*. In *The Attainment of Happiness (Tahsil al-Sa’ada)*, he explores the relationship between philosophy and religion, arguing that true happiness stems from intellectual and ethical perfection. His *Book of Letters (Kitab al-Huruf)* examines the connection between language, logic, and metaphysics. Additionally, *The Enumeration of the Sciences (Ihsa al-Ulum)* classifies human knowledge, influencing later Islamic and Western thought. Through these works, Al-Farabi laid the foundation for Islamic political philosophy and the harmonization of Greek thought with Islamic teachings.



2.1.1 Metaphysics and Cosmology

- Three main areas of Farabi's metaphysics

Al-Farabi's metaphysical thought can be divided into three main areas. The first deals with the study of existence and beings, known as ontology. The second addresses immaterial substances, their nature, number, and hierarchy, ultimately culminating in the study of the "Perfect Being," the ultimate principle from which all existence emanates. This aspect, termed theology, emphasizes the attributes of the First Being, which is perfect, eternal, self-sufficient, immaterial and the cause of all existence. The third area explores the primary principles underlying the sciences, offering the foundational framework for systematic inquiry.

- Farabi's relation with Plotinus and the 'One'

In his major work, *The Opinions of the Inhabitants of the Virtuous City*, al-Farabi begins by discussing the First Being, drawing parallels to the "One" of Plotinus. The First Being or First Cause is described as necessary, uncaused and beyond definition. Unity, wisdom and life are intrinsic to its essence. Unlike other entities, the First Being's essence is identical to its existence. As such, it is considered pure intellect, free from material constraints.

- Influence of emanation theory on Farabi

Al-Farabi's cosmology is characterized by the process of emanation, a concept influenced by Neoplatonic thought. The First Being generates the universe not through desire or will, but as an overflow of its perfection and abundance. This emanation occurs systematically, with the First Being producing the first intellect. This intellect, in turn, conceives its source and itself, giving rise to the second intellect and the outermost celestial sphere. This hierarchical process continues, creating successive intellects and their corresponding celestial spheres, including those of Saturn, Jupiter, and other heavenly bodies. The process concludes with the tenth intellect and the lunar sphere.

- Intellects decide the emanation hierarchy

Beneath the celestial realm lies the terrestrial world, where the process of creation reverses. From the simplest forms, such as prime matter and the four elements, more complex entities like minerals, plants, animals, and humans emerge. Humanity represents the pinnacle of this terrestrial hierarchy. The Active Intellect, which is above the human intellect, plays a crucial role in transmitting forms to matter and illuminating human understanding. Al-Farabi also distinguishes between necessary and contingent beings. Necessary beings exist inherently, while contingent beings rely on an external cause for their existence. This distinction, later elaborated by Ibn Sina, underscores the dependency of creation on the First Cause.

- How can a human being understand right and wrong

- Division of human intellect and creation of Form

- Logic as a universal tool for reasoning

2.1.2 Epistemology

Al-Farabi's epistemology revolves around the concept of reason, which he categorizes into distinct types. Practical reason guides moral and virtuous actions, helping individuals discern right from wrong. Theoretical reason is concerned with knowledge and the principles of demonstration. Intuitive reason allows for the instinctive grasp of truths, transcending ordinary logical processes.

He further divides human intellect into theoretical and practical components. The theoretical intellect progresses through three stages: potential intellect, which is capable of acquiring knowledge; actual intellect, which is actively engaged in learning; and acquired intellect, which represents fully attained knowledge. The Active Intellect, an external, immaterial entity, facilitates the transition of human intellect from potential to actual. This concept reflects a blend of Aristotelian and Neoplatonic influences. The Active Intellect is considered the "Giver of Forms" (*wahib al-suwar*), as it transmits intelligible forms to the human mind. However, its action depends on the readiness of the material or intellectual recipient. Al-Farabi's epistemological framework underscores the importance of intellectual and moral development in attaining knowledge and wisdom.

2.1.3 Logic and Language

Al-Farabi's contributions to logic are noteworthy for their systematic and comprehensive nature. Logic, in his view, is a universal tool for reasoning, distinct from grammar, which pertains to specific languages. While grammar deals with conventional rules of linguistic expression, logic focuses on the universal principles governing concepts and reasoning.

He emphasizes that logical reasoning is indispensable for guarding against errors in thought. Mere practice is insufficient to ensure accurate reasoning; knowledge of logical principles is necessary. Al-Farabi divides logic into eight branches, corresponding to Aristotle's *Organon*:

1. **Categories:** Rules for concepts and single terms.
2. **Propositions** (*Peri Hermeneias*): Analysis of simple statements made up of terms.
3. **Syllogisms** (*Analytica Priora*): Rules governing



different types of argumentation, including demonstrative and rhetorical.

- Eight branches of the logic

4. **Demonstration** (*Analytica Posteriora*): Study of scientific proof and demonstrative reasoning.
5. **Dialectics** (*Topica*): Techniques for answering questions and addressing doubts.
6. **Sophistics** (*Sophistica*): Identification of fallacies and sophistical arguments.
7. **Rhetoric** (*Rhetorica*): Persuasion and its impact on audiences.
8. **Poetics** (*Poetica*): Rules governing poetic expression and its artistic qualities.

Al-Farabi's integration of logic and language underscores the importance of rigorous intellectual discipline in the pursuit of truth.

2.1.4 Political Philosophy

- Influence of Plato on Farabi's political philosophy

Al-Farabi's political philosophy is deeply intertwined with his metaphysical and ethical ideas. His work, *The Virtuous City* (*Al-Madina al-Fadila*), presents an ideal society modelled after Plato's *Republic*. However, it incorporates distinct Islamic elements, reflecting al-Farabi's vision of a harmonious integration of philosophy and religion.

- Virtuous city and its function

In the virtuous city, individuals cooperate to fulfill their basic needs and achieve happiness. The city is compared to the human body, where the ruler represents the heart, and subordinate members correspond to other organs. The ruler must surpass others in intellectual virtues such as wisdom, foresight, and deep understanding, as well as moral virtues including courage, justice, and moderation. This individual must possess an exceptional love for truth and knowledge, a strong memory, eloquence and the ability to act decisively. Additionally, like Plato's philosopher-king, the ruler should have prophetic qualities bestowed by divine wisdom, enabling them to lead society toward collective well-being and moral excellence.

- Happiness is the goal of human existence

Al-Farabi's political philosophy also explores the concept of happiness, which he identifies as the ultimate goal of human existence. True happiness lies in attaining intellectual and moral virtue, culminating in union with the Active Intellect. This union represents the highest form of human perfection and is achievable through voluntary, intellectual, and bodily actions. Al-Farabi's political thought emphasizes the ethical dimension of governance, advocating for a society founded on justice, knowledge, and virtue.

2.1.5 Integration of Philosophy and Religion

- Philosophy and religion connect

One of al-Farabi's significant achievements is his reconciliation of philosophy and religion. In his treatise *The Reconciliation of the Two Sages*, he highlights the compatibility of Plato's and Aristotle's philosophies, drawing on the Neoplatonic tradition. Al-Farabi argues that philosophy and religion serve complementary purposes: philosophy seeks truth through reason, while religion conveys it through symbolic narratives accessible to the masses.

- Revelation is not against human reason

This synthesis reflects al-Farabi's broader vision of intellectual and spiritual unity. By integrating rational inquiry with theological principles, he demonstrates the harmonious relationship between human reason and divine revelation. His work laid the foundation for subsequent Islamic philosophers, including Ibn Sina and Averroes, who continued to explore the interplay between philosophy and religion.

Ibn Sina: Introduction

- He wrote the first Persian philosophical text

AbūAlī al-Ḥusayn bin Abdullāh ibn al-Ḥasan bin Alī bin Sīnā is the exact name of Avicenna, but he was renowned by his Latin name, Avicenna. He was born in AD 980 at the village of Afshana. Ibn Sina gained wider fame for his numerous works in various disciplines. He wrote in both Arabic and Persian. His book, *Danishnama*, was the first Persian philosophical text written in the history of Islamic philosophy. *Kitab al Shifa (The Book of Healing)*, *Kitab al Najat (Book of Salvation)*, and *Kitab al-Isharatwa al tanbihat (Book of Directives and Remarks)* are Ibn Sina's key philosophical writings.

- Ibn Sina is popular in West mainly by his *Canon*

His work, *The Canon of Medicine*, brought him wide recognition in the West. *The Book of Healing* delves into key philosophical topics ranging from the nature of existence, the soul and the relationship between philosophy and religion. Ibn Sina's philosophy was significantly inspired by Aristotelian and Neoplatonic ideas and doctrines, yet he also developed his

own unique original ideas and critical theories.

2.1.6 Logic

- Aristotle's influence on Ibn Sina's Philosophy

Ibn Sina is one of the great systematic Arabic logicians. Arabic logic, therefore, is divided into pre-Avicennian and post-Avicennian logic. Aristotle's *Organon* and Porphyry's *Isagoge* significantly impacted Ibn Sina's logic. His works such as the *Book of Healing*, *Book of Salvation*, and *Pointers and Reminders* remarkably outlined his views and theories in logic.

- Ibn Sina explained the conception through a real definition

Conception (*taṣawwur*) and assent (*taṣdīq*) are two mental objects in the sphere of logic. The terminologies were used by al-Farabi before Ibn Sina. The conception of a term is recognition of its meaning. From understanding the term "giraffe," we know non-giraffe. This understanding could be expressed through a real definition (*hadd*). For example: A human being is a rational animal.

- The conception has a major role in his Philosophy

It is inevitable to know what an animal is before the conception of a human being occurs. Unfortunately, this cyclical understanding ended up as an infinite regress; therefore, there must be a primitive and primary conception that would not be recognized. One can have a conception without assenting to anything, not even that anything exists that corresponds to the conception. He argued that there is a void, empty space, but we can have a conception of the void. Indeed, conception is the first step to form assent, which can be either positive (the elephant is big) or negative (the elephant is not a tree). Ibn Sina concluded that just as there are immediate conceptions, so there are cases of immediate assent if anyone should assent to an immediate proposition as soon as the attention is called to it. Ibn Sina has named it a reminder (*tanbīh*). Often, attention was demonstrated as self-evident, but in fact, it is not the case. Ibn Sina suggests finding a genuine first principle that someone will assent to regardless of its personal history. For example, "justice is admirable" may look like the first principle of moral reasoning, but Ibn Sina denies this on the grounds that someone from a corrupt society may find it doubtful. By contrast, some things are obviously true; for example, "the whole is greater than the parts," and it will command the assent of any competent rational being.

Ibn Sina's entire philosophy presupposes a theory of scientific demonstration. According to him, demonstration proofs are suitable for science. He elucidated the demonstration method in his *Book of Healing* as one of the standard practices.

- Argumentation is necessary in Philosophy

Ibn Sina's perspective is reflective of Aristotle's demonstration as a form of syllogism. Ibn Sina defines it, referring to his master, as an argument in which, if more than one thing is posited, something other than the posited follows from these things essentially and by necessity, not accidentally. The definition does not warrant the necessity of true premises, but it encompasses both true and false premises. True premises would yield true conclusions and would create new knowledge. These are two forms: syllogism and hypothetical argument. He recognizes two types of propositions: categorical and hypothetical. Categorical propositions have a subject and a predicate, expressing a relation (*nisba*) or judgement (*hukm*) between terms. For example: Humans are mortal; Socrates is human; therefore, Socrates is mortal.

- Form of the argumentation and the conclusion

Hypothetical propositions express a conditional relationship between two premises. For example, "If every man is mortal, then Socrates is mortal.". Beyond these, Ibn Sina introduced modalities in his logic. Never, sometimes, always, impossible, possible, and necessary are some of the modalities. He argued that all predictive statements must have modalities, even if these were not expressed. Thus, the premise "All humans are mortal" is indeed necessary, and the premise "All people are hilarious" might mean "All humans are hilarious sometimes.".

- Many Muslim philosophers opposed Ibn Sina for his different thoughts

2.1.7 Metaphysics

Among the various Greek sciences, metaphysics was the one subject that troubled Ibn Sina a lot. He had read Aristotle's monumental work *Metaphysica* forty times. He had the text by heart, yet he was unable to comprehend many of these metaphysical discussions until he came across a commentary on it by al-Farabi, which untied many Gordian knots for him. This unrelenting perseverance eventually culminated in developing his own metaphysics, exerting enormous impact on the later course of almost all metaphysical discussions in Islamic theology, philosophy and mysticism.

- Demonstration proofs are suitable for science

In Avicennian philosophy, the distinction between essence (what a thing is) and existence (that a thing is) is a pivotal concept. He unambiguously presented that essence and existence are distinct in all beings except God. Essence (*mahiyya*) is the defining characteristic or nature of a thing that distinguishes it from others. It is what makes a thing the particular kind of thing it is. For instance, the human essence is rationality, while the essence of a horse is its specific physical and behavioral characteristics.



- Ibn Sina developed his theory of essence that distinguishes between essence and existence

Existence (*wujūd*) is the fact that a thing actually exists in reality. It is the act of being or the state of being in actuality. Ibn Sina's perspective is that essence does not necessarily seek existence. A thing can have a specific essence without actually existing in the real world. For example, we can figure out the essence of a 'unicorn,' which does not exist in reality. On the other hand, existence is always added to essence by a necessary existent being. Ibn Sina developed his theory of essence, which distinguishes between essence and existence. As per his view, every being in the universe is made up of two basic ontological principles: essence and existence. The nature of essence is that it has been recognized apart from its physical and mental representations. Every attribute is either a constitutive attribute or an accident. A thing cannot be understood without a constitutive attribute. For instance, a human being cannot be without its body or form of body, and an accident is a feature that accompanies it without being part of it.

- Existence and its influence on the causation

The distinction between essence and existence intensely shaped Ibn Sina's metaphysics. As a consequence of this classification, beings could also be classified into independent beings and contingent beings. All created beings are contingent; therefore, their existence is unnecessary. Their essence does not assure their existence. An independent and necessary being, God, is the source of existence for all contingent beings. Moreover, this vital distinction articulated Ibn Sina's theory of causation. He thought that everything must have a cause, and the cause must be sufficient to bring about the thing's existence. Since essence does not assure existence, the cause must provide the additional element of existence. Ibn Sina's insights on essence and existence defined the course of subsequent Islamic philosophy and theology. His metaphysics had an impact on Western philosophy as well, particularly through the works of Albertus Magnus and Thomas Aquinas.

- Necessary and contingent being

Ibn Sina, a monotheist believer, certainly avowed that everything about God is necessary, unless some explanations or causes are needed. God is a necessary being, and all created beings are contingent beings. A necessary being would not need a cause for its beginning because it's a necessary being, but a contingent being definitely would need a cause for its existence. God is simple, unique, and immaterial. Contingent beings are a compound of matter and form; therefore, they need to be caused.

Muslim theologians unanimously believed that God

- Avicennian theory of emanation

- Functioning of intellect and formation of the universe

- Ibn Sina faced criticism for his emanation theory

created the world from nonexistence (creation ex nihilo). There is only God, and by his ultimate mercy, the universe was created. Instead of this primary concept of Islam on creation, Muslim philosophers replaced the theory of emanation under the influence of Neoplatonism. The Neoplatonic concepts are abundantly present in the Avicennian theory of emanation. Ibn Sina undoubtedly believed that the universe was projected through an intriguing process of emanation.

From God, the necessary existence, flows the First Intelligence alone. The nature of the First Intelligence was distinct from God; the First Intelligence was a possible existence and actualized by God. The immaterial First Intelligence contemplates in its principles and gives rise to other entities. From First Intelligence's contemplation proceeds Second Intelligence; second contemplation proceeds the moving soul of the first heaven; from third contemplation forms the etheric, super-elemental body of heaven. This gradual process continues until the arrival of low intelligence, tenth intelligence. The tenth intelligence proceeds from a celestial soul; they do not have the faculty of senses but possess imagination. The Tenth Intelligence did not have the strength to produce another unique intelligence, and, following this intelligence, emanation ignited into multiple human beings. This Tenth Intelligence is designated as acting and Active Intelligence (*al-aql al-fa'al*). From it, our soul emanates; its illumination projects the idea or form of knowledge into their souls, which have acquired the ability to turn towards it. All knowledge and recollection are illumination that comes from the angel.

The emanation theory of Ibn Sina was criticised by post-Avicennian philosophers like the Muslim polymath al-Ghazali in his monumental work '*Incoherence of Philosophers*'. Al-Ghazali's work was refuted by Averroes, an Andalusian Peripatetic philosopher, in his '*Incoherence of Incoherence*'.

2.1.8 On Natural Sciences

The central intention of Avicennian natural philosophy (*'ilmṭabī'ī*) is the study of physical bodies and their motion. Ibn Sina commenced his discussion of physics with an inquiry into the subject matter of natural science. The principles of natural science depend on the principles of higher science. According to Ibn Sina, physical bodies are composed of matter and form. And the availability of matter in them assumes liability of spatial determinations, whereas their form makes them liable to certain substantial or accidental attributes. Ibn

Sina classified the perfections of physical entities into primary perfection and secondary perfection. The actions of bodies emanate from secondary perfections, which are of three kinds.

- Study of physical bodies and their motion was a major part of natural philosophy

1. Inanimate powers, which preserve bodies and their developments in their natural state of motion and rest necessarily.
2. Animate powers, which preserve such bodies through certain important organs, sometimes in a voluntary, sometimes in an involuntary way, such as the soul in its vegetative, animal, or rational capacities.
3. Celestial powers (or souls), which determine the voluntary motions of the planets according to an unalterable pattern.

- Ibn Sina divided causes into physical causes and metaphysical causes

For Ibn Sina and the entire Aristotelian tradition, causation is considered a hallmark of any science; therefore, he tried vehemently to uncover the reality of natural entities. Ibn Sina proposed four kinds of causes, like his master Aristotle; those are sufficient, formal, material, and final causes. Ibn Sina also divides causes into physical causes and metaphysical causes. Metaphysical causes are involved in accounts for the existence and continual conservation of a substance. Physical causes enable changes in the accidental features of bodies, primarily changes in quality, quantity, location, and position. For example, father and mother are physical causes that prepare the matter for form. The metaphysical agent imparts the species form to the matter such that there comes to be a substance of the same kind as the parents. It is an immaterial agent, which Ibn Sina calls the Giver of Forms (*wāhib al-ṣuwar*).

- Beyond the causes of nature and celestial soul, there is a primary principle of all other motions

Ibn Sina stated that natural beings have the capacity for movement and that nature is a principle of motion and rest in substances. Ibn Sina defines the motion in his monumental work *Book of Salvation* as “first actuality or perfection of that which is in potentiality in that respect in which it is potential.” The motion inheres in the body; it is said to be self-moved; otherwise, it is moved by an external agent of motion. The self-move is either intermittent or continuous; intermittent movement is reckoned as voluntary, and constant is called natural. The ultimate cause of the former is the celestial soul, and the other is nature. However, beyond these causes of nature and celestial soul, there is a primary principle of all other

motions, that is, the single, eternal, and circular motion of the outermost of heaven. The primary motion is creative (*ibda'i*); it is different from other motions. The power to generate this creative motion must be incorporeal and infinite.

Summarized Overview

Al-Farabi and Ibn Sina represent two towering figures of Islamic Neoplatonism, synthesizing Greek philosophy with Islamic thought to create enduring intellectual frameworks. Al-Farabi emphasized the unity of knowledge and the integration of reason with spiritual principles, constructing a metaphysical and ethical system that highlighted the First Being, the process of emanation, and the ideal of the Virtuous City. Ibn Sina, building on these foundations, refined the distinction between essence and existence, articulated a hierarchical model of creation through his emanation theory, and advanced the fields of metaphysics, logic, and natural sciences. Both philosophers underscored the role of the Active Intellect in human understanding and spiritual enlightenment, shaping the trajectory of Islamic philosophy and influencing Western thought. Their legacies continue to inspire inquiries into the relationships between reason, existence, and the divine.

Self-Assessment

1. What is the role of the “Active Intellect” in al-Farabi’s metaphysical and epistemological framework?
2. How does al-Farabi reconcile philosophy and religion to achieve intellectual and spiritual unity?
3. How do you differentiate essence and existence?
4. Evaluate the emanation theory
5. Demonstration is the best form of scientific proof. Comment

Assignments

1. Sketch the cosmology of al-Farabi
2. Explain the differences between conception and assent
3. Explain the relationship between nature and motion.
4. Define the concepts of Ibn Sina on God and his existence



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Suggested Reading

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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.

SGOU



UNIT 2

Al-Ghazali and Ibn Rushd

Learning Outcomes

After the completion of this unit, the learner will be able to:

- understand al-Ghazali's critique of philosophy and analyse his key arguments against philosophers
- examine al-Ghazali's synthesis of Islamic theology and philosophical cosmology
- understand al-Ghazali's unique contributions to integration of Islamic theology, philosophy and mysticism
- grasp the debate between al Ghazali and Ibn Rushd about the compatibility of religious faith and rational philosophy
- analyze the philosophical contributions of Ibn Rushd and situate Aristotelianism within the Islamic intellectual tradition

Background

Al-Ghazali (1058–1111) and Ibn Rushd (Averroes, 1126–1198) are two pivotal figures in Islamic philosophy whose ideas continue to shape philosophy, theology and spirituality in the Islamic civilisation to date. Al-Ghazali, an influential theologian, philosopher, and mystic, bridged philosophy, theology and Sufism, critiquing the doctrines of Peripatetic philosophers like Avicenna and al-Fārābī in his landmark work *The Incoherence of the Philosophers*. He emphasized the limits of human reason in understanding the domain of *the divine* while integrating ethics, spirituality and jurisprudence into a system aimed at spiritual growth and devotion to God. Conversely, Ibn Rushd, an Andalusian philosopher renowned for his rationalist approach, played a key role in transmitting Aristotelian philosophy to Islamic and Western traditions. His extensive commentaries on Aristotle bridged classical Greek philosophy with Islamic and European thought, influencing scholasticism and the Renaissance. Ibn Rushd's debates with theologians, as well as his defence of reason and the compatibility of philosophy with religion in response to al-

Ghazali's criticism, highlight the enduring significance of their intellectual exchange in shaping both Islamic and Western intellectual traditions.

Keywords

Occasionalism, Causality, Authority of Revelation, Aristotelianism Theology

Discussion

Al-Ghazali: Introduction

Al-Ghazali (1058–1111) was a distinguished Islamic theologian, philosopher, mystic, and jurist whose contributions significantly shaped Islamic intellectual traditions. His critique of philosophy displayed the limitations of human reason and affirmed the primacy of divine will, thereby redefining theological discourse. His works bridged the realms of rational inquiry and mystical experience, promoting a profound alignment between orthodoxy and Sufism. Unlike many Islamic philosophers, al-Ghazali emphasized the limitations of reason in understanding divine matters, contrasting with figures like Avicenna, who relied more heavily on philosophy. Al-Ghazali's influence was pivotal in the transition from classical Islamic philosophy to post-classical thought, marking a shift towards a more integrated, spiritually grounded approach. Venerated as a reviver of faith, his enduring legacy continues to influence scholars and practitioners within and beyond the Islamic world.

- Al-Ghazali is known as the reviver of the Islamic religion.

- His works bridged the realms of rational inquiry and mystical experience

The philosophers like Karin de Boer described Averroes as the last notable philosopher in Islam. Still, Shlomo Pines and others argued that it was a common but oversimplified view to claim that al-Ghazali's polemics had dealt a fatal blow to philosophy in Islam. Pines contended that there was no decline in rational sciences or philosophy after al-Ghazali. While acknowledging that the development of ideas in Islam occurred more gradually than in Europe, due to a tendency to preserve older systems of thought and the stability of the scientific environment, he emphasized that new ideas still emerged in Islam. Pines noted that Islamic science integrated various influences, including Oriental, Persian, Indian, and Greek traditions, which often coexisted rather than being



replaced in its further development. Why was al-Ghazali criticized more than other figures for the perceived decline of philosophy in Islam? While in medieval Western science, the dominant Aristotelian framework represented orthodoxy and progress, anti-Aristotelian trends were considered heterodox, and in Islam, these roles were reversed. Islamic orthodoxy often embraced different forms of anti-Aristotelianism and developed a flexible, syncretic approach to scientific methods. The scholars suggested that the more static intellectual environment in the West—paradoxically—contributed to its progress, as it was compelled to radically revise scientific methodologies in response to a more rigid establishment.

The influential Muslim theologian al-Ghazali (d. 505/1111) has consistently played a central role in Western analyses of the perceived decline of philosophy in Islam. In his work *The Incoherence of the Philosophers* (*Tahafut al-Falasifa*), al-Ghazali critiques twenty doctrines advanced by Muslim philosophers. He argues that three of these teachings are not only unsupported by evidence but also contravene essential Islamic tenets universally accepted by Muslims. According to al-Ghazali, these teachings constitute a departure from Islam. They are:

• *The Incoherence of the Philosophers* is Al-Gazali's magnum opus

(1) the belief that the world has no beginning in time and is not created

(2) the claim that God's knowledge encompasses only universals and not particulars

(3) the idea that after death, human souls will never return to physical bodies.

In these cases, al-Ghazali asserts that Islamic teachings based on revelation contradict the philosophers' claims. He further contends that those who actively promote these doctrines cannot be considered Muslims but are instead apostates.

2.2.1 Al-Ghazali and Islamic Philosophy (falsafa)

Al-Ghazālī's *Incoherence of the Philosophers* (*Tahāfut al-Falāsifa*) marks a pivotal moment in the development of medieval philosophy. With its publication, the dominant Neoplatonic interpretation of Aristotle, formulated in late antiquity and prevailing through much of the Middle Ages until the fourteenth century, began to face significant challenges. These challenges would later evolve into what is known as

• Nominalism asserts that abstract concepts and universals lack independent existence

nominalism. Nominalism asserts that abstract concepts and universals lack independent existence. As we will observe, many of the arguments employed by al-Ghazālī align with nominalist perspectives. The shift toward a nominalist critique of Neoplatonic Aristotelianism emerged not only in Arabic and Islamic philosophy but also within the Hebrew and, most notably, Latin traditions. Al-Ghazālī's work stands at the forefront of this transformative period.

- Al-Ghazālī dedicated two years to studying the works of the falāsifa

In *Incoherence*, al-Ghazālī critiques twenty key doctrines of the falāsifa—sixteen from their metaphysics and four from their natural sciences. In his autobiography, he recounts that during his tenure at the Nizāmiyya in Baghdad, he dedicated two years to studying the works of the falāsifa, culminating in the composition of *Incoherence of the Philosophers* the next year. The book is widely regarded as a philosophical masterpiece, possibly the result of decades of his intellectual pursuit.

- Al-Ghazālī opposed al-Farabi and Avicenna

In addition to *Incoherence*, several texts demonstrate al-Ghazālī's meticulous engagement with the teachings of the philosophers. Among these are at least two comprehensive reports on their doctrines. The first is an untitled but nearly complete fragment of an extensive work in which al-Ghazālī either quotes or paraphrases philosophical texts, offering a detailed account of their metaphysical teachings. Al-Ghazālī's critique of the teachings of the falāsifa has often been overstated. His primary aim is to demonstrate that the metaphysical frameworks of al-Fārābī and Avicenna are "unscientific"—lacking the support of demonstrative proofs.

By targeting specific teachings in the falāsifa's metaphysics and natural sciences, al-Ghazālī seeks to create space for the epistemological authority of revelation. At the outset of the *Incoherence*, he expresses his frustration with a faction among the falāsifa who outrightly reject some fundamental tenets of revelation, believing their method of reasoning to be superior to that of religious scholars who uphold revelation.

2.2.2 Al-Ghazali's Theological Framework

Ghazali's productivity as a theologian increased significantly after his exposure to philosophy. He began to cautiously merge ideas and methods that would later find a unique expression in his magnum opus. It can be said that his critical engagement with philosophy revealed to him the radical deficiencies within theology, much in the same way that the insights of Sufism would later position both philosophy and

- His critical engagement made him a philosopher



theology as subordinate to the search for ultimate truth, though each could still be valuable in its own right.

- His perspective on theology appears to evolve throughout his intellectual journey

Theology had been compromised by doctrinal and factional disputes, but philosophy presented even greater challenges. Several of its fundamental tenets were seen as heretical; to deny the resurrection of the body, the creation of the world, or God's knowledge of particular things was considered heresy. While theology, as a discipline of dialectical reasoning, had an inherent mechanism for self-correction, philosophy, despite the diversity of opinions among its practitioners, was more dangerous. It offered a systematic, comprehensive view of the world, which meant that, if any part of it were to be salvaged, it had to be deconstructed from within. Ghazali's perspective on theology appears to evolve throughout his intellectual journey, leading some to accuse him of inconsistency or even insincerity.

- He took theology as the first tool against Philosophers

However, his views on Kalam remained steady from beginning to end; the apparent contradictions arise from the different contexts in which he expressed them. For instance, in his final treatise *'Iljam al-'awamm 'an 'ilm al-kalam'* completed just days before his death, Ghazali cautions against letting theology fall into the hands of untrained individuals. This warning is not due to theology being inherently flawed, but because its complex arguments and counter-arguments can confuse believers and jeopardize their faith. Despite this, Ghazali continued to uphold theology as the foremost of the religious sciences. In his last significant work, *'Mustasfa'*, he declared that theology is "the most exalted science in rank" as it addresses general truths from which more specific truths, such as those concerning jurisprudence and scriptural interpretation, are derived. Furthermore, Ghazali asserted that the theologian holds a higher rank than the jurist; the former seeks universal principles while the latter focuses on details. Nonetheless, Ghazali was mindful of the limitations of theology. While it may be the highest of the sciences, it does not guarantee certainty.

In *The Incoherence of the Philosophers*, al-Ghazālī addresses fundamental cosmological issues, particularly causality, with profound insights that shaped his later works. His treatment of causality in the seventeenth discussion remains pivotal, emphasizing the secondary nature of causes under divine command. Drawing on Avicenna's *Healing*, he integrates Aristotelian concepts of causes, stressing the necessity of a First Cause that initiates all causal chains. Al-Ghazālī also

- He defined the cosmology in his works

incorporates al-Fārābī's detailed accounts of celestial causes, adapting terminology to resonate with religious audiences by using Quranic terms like *falak* instead of *kura* (*sphere*). While making philosophical teachings accessible, al-Ghazālī clarifies that celestial objects act as secondary causes under God's will, rejecting the notion of stars as independent efficient causes, which he deems heretical. His synthesis of Avicennian and Fārābian perspectives aimed to reconcile philosophy and religious understanding while safeguarding core Islamic beliefs about divine governance.

2.2.3 Cosmology before and after Al-Ghazali

- Cosmology is one of the major topics in Philosophy from ancient times

The term 'cosmology,' first coined by the German philosopher Christian Wolff (1679–1754), refers to the most fundamental understanding of the world and the universe, focusing on the composite and modifiable nature of its existence. However, the concept of cosmology predates the eighteenth century, manifesting in various theories about the general structure and composition of the world. Frequently, it has been intertwined with cosmogony, which seeks to explain the origins of the world and how it came into existence. For instance, the first chapter of the Bible, the book of Genesis, provides a detailed account of God creating the heavens, earth, light, darkness, water, land, plants, and all living creatures. The Qur'an, on the other hand, frequently mentions the creation of the heavens and the earth in six days (e.g., Q 7:54) but does not offer a single, comprehensive narrative comparable to Genesis. Early Islamic theological debates centered on reconciling human responsibility with divine omnipotence, leading to the development of comprehensive theological systems.

- He developed the Ash'arite occasionalism

The Mu'tazilites argued for human free choice (*ikhtiyār*), while their opponents cited Qur'anic verses supporting divine predetermination. Al-Ash'arī (d. 324/935-36) critiqued the Mu'tazilite position by highlighting an apparent contradiction: God's justice seemed incompatible with human free will, given God's foreknowledge and control over death. This led to the development of Ash'arite occasionalism, which emphasized God's complete control over creation. Ash'arite occasionalism teaches that God directly causes everything. What seems like cause and effect, such as fire burning wood, is simply a pattern created and maintained by God. A key element of Ash'arite occasionalism was atomism, originally a Mu'tazilite concept. This theory held that physical objects consist of indivisible substances (*jawhar*) that are inherently without attributes.



- Al-Ash‘arī scholars always favored the atomism

These atoms gain characteristics through “accidents” (‘araḍ) that inhere in them. Unlike modern atomic theory, kalām atomism viewed atoms as powerless, requiring divine intervention for all combinations and changes. Al-Ash‘arī favored this atomism because it denied the existence of inherent potentialities in things, which would limit divine action. In his view, any atom could adopt any accident, provided God created that specific association.

- Al-Ghazālī did not oppose Avicenna completely

In his exposition of philosophical metaphysics, al-Ghazālī takes particular care to emphasize the subordinate nature of philosophical causality. He clarifies that none of the intellects residing in the ten celestial spheres possesses ultimate causal power. Instead, each functions as a secondary cause and an intermediary instrument of divine action. His presentation synthesizes two complementary approaches: he maintains Avicenna’s fundamental position on causality while incorporating Al- Fārābī’s more detailed elaboration of the secondary causes.

- His works present a comprehensive system of virtue ethics

2.2.4 The Revival of Religious Sciences

Al-Ghazālī’s two major works, *The Alchemy of Happiness* (Kimiya-yisa‘adat) and *The Revival of the Religious Sciences* (Iḥyā’ ulūm al-dīn), present a comprehensive system of virtue ethics through integrative pedagogy. His approach synthesizes systematic ethics (akhlāq) with Islamic jurisprudence (fiqh) through the concept of adab - a pedagogical framework aimed at virtue cultivation. This framework operates through the systematic internalization of normative behaviors to instill specific virtues (faḍila) in the practitioner.

- He developed the Ash‘arite occasionalism

Al-Ghazālī grounds his discussion of ādāb (proper conduct) in daily practices by establishing a direct connection to the ultimate spiritual goal: the encounter with God. He constructs a careful chain of reasoning that connects even mundane physical activities to religious practice. Taking eating as an exemplar, he argues:

1. The ultimate goal is to meet God
2. This requires knowledge and practice
3. Knowledge and practice require bodily health
4. Bodily health requires proper eating and drinking
5. Therefore, eating becomes a necessary component of religious practice

- He connected all the human activities with religion

Al-Ghazālī argues that everyday activities are not separate from religious devotion but fundamentally part of it. He contends these daily tasks require the same careful attention as religious knowledge (‘ilm) and practice (‘amal). He supports his practical guidelines using hadith and documented practices of early Muslim generations as authoritative sources. In the *Ihya*’s final book, al-Ghazālī views death as meeting the beloved while acknowledging its severe pain, comparing it to sword strikes and being sawn apart (*Ihya*’, 4:491). Through graphic descriptions of dying, he aims to cultivate “remembrance of death” in his readers, balancing spiritual meaning with physical reality.

- Ibn Rushd influenced modern Europe greatly

Ibn Rushd: An Introduction

Abu al-Walid Muhammad ibn Ahmad ibn Muhammad, known as Ibn Rushd or Averroes in Latin, was an Andalusian philosopher born in Cordoba in 1126 AD and passed away in Morocco in 1198 AD. From a young age, he studied a wide range of subjects including theology, law, poetry, logic, medicine, mathematics, astronomy, and philosophy. Ibn Rushd served as a judge in Seville, Cordoba, and Marrakesh under the Almohad rule. When his teacher, Ibn Tufayl, introduced him to the ruler Abu Yaqub Yusuf, the ruler encouraged him to focus more on philosophy and motivated him to write commentaries on the works of Aristotle. This led Ibn Rushd to become known as “the commentator” in Christian Europe, and gradually he became a key source for the development of post-classical European thought. It had a lasting impact on Western thought, where his ideas were instrumental in the Renaissance and the development of scholastic philosophy.

- The ideal debate between Al-Ghazālī’ and Ibn Rushd is a major part of Islamic philosophy.

Later, Ibn Rushd became a rival of the next ruler, Abu Yusuf Ya’qub al-Mansur, who placed him under house arrest in Lucena (Elisana). Ibn Rushd is particularly famous for his work *The Incoherence of the Incoherence* (Tahafut al-Tahafut), in which he wrote a powerful response to the great theologian Al-Ghazali, who had passed away 15 years before Ibn Rushd was born. The philosophical debate between Ibn Rushd and Al-Ghazali remains a key topic in Islamic philosophy, even though they were not contemporaries. Ibn Bajjah, Ibn Tufayl, and Ibn Sina were important masters who influenced Ibn Rushd, with Ibn Sina being his primary guide in the study of philosophy. He is an Aristotelian rational philosopher who argued that Aristotle should be our preeminent guide in philosophy, and the primary source of our knowledge is reason which is strengthened by



sensory perception. He is sometimes referred to as the first and last Aristotelian in Islamic philosophy.

- His works were translated mainly in Europe

He was a famous physician of that time, but his philosophy covered his medicine. His significant contribution to medicine was his work *Generalities on Medicine*, written between 1153 and 1169. The treatise was translated into Hebrew and Latin about 90 years later and became a key text in European medical education, remaining influential until the 18th century. Ibn Rushd had a deep interest in anatomy. In the fields of neurology and neuroscience, he proposed the existence of Parkinson's disease.

- Misconceptions about Ibn Rushd

Ibn Rushd asked his close friend, Ibn Zuhr (Avenzoar), to write a book on specific medical topics, which he titled *An Aid to Therapy and Regimen*. These two works are considered comprehensive works in medicine. As a result, some printed Latin editions present both books together, attributing them to Ibn Rushd. Additionally, Ibn Rushd took an interest in Ibn Sina's *Poem on Medicine* and wrote a commentary on it.

2.2.5 Works of Ibn Rushd

- His works are considered the constitution of rationality

Ibn Rushd's works encompassed various branches of philosophy, including natural philosophy, astronomy, metaphysics, psychology, politics, and ethics. His comprehensive commentaries on Aristotle played a crucial role in preserving and transmitting Greek philosophical thought to the Latin-speaking world. Beyond philosophy, Ibn Rushd made significant contributions to the fields of logic and medicine, providing critical insights that influenced later developments in these areas.

- He was a famous religious judge of his time

In addition to his work in philosophy and the sciences, Ibn Rushd also delved into the study of Islamic religious sciences. He contributed to jurisprudence, offering analyses and interpretations of Islamic law, as well as the foundations of Islamic law, which deals with the principles and methodology for deriving legal rulings. His writings also explored the foundations of religion, a field concerned with the core tenets of faith in Islam. Through these works, Ibn Rushd sought to harmonize Islamic teachings with rational philosophy, asserting the compatibility of reason and faith. He also contributed to the science of the Arabic language, particularly in the study of grammar. Shaped Islamic intellectual tradition but also had a lasting impact on Western thought, where his ideas were instrumental in the Renaissance and the development of scholastic philosophy.

His major three works are

- 1) *The Decisive Treatise*: It is his work to establish the value of Philosophy in Islam.
- 2) *The Exposition of the Methods of Proof concerning Religious Doctrines*: Written as an alternative to the views of theologians
- 3) *The Incoherence of the Incoherence*: His masterpiece work, and a direct response to al-Ghazālī who criticised Aristotle and Neo-Platonic philosophers.
- 4) Commentary on *Metaphysics* of Aristotle
- 5) Commentary on *Organon*
- 6) Long Commentary on the *De Anima* of Aristotle

- He wrote commentaries on Aristotle's works

Ibn Rushd wrote three types of commentaries on Aristotle's works. The first type is short commentaries, where he explained Aristotle's concepts in his own words. The second type is intermediate commentaries, where he presented Aristotle's original text first, followed by his own explanations. The third and longest type is his comprehensive commentary, where he provided a detailed and accurate interpretation of Aristotle's works. Ibn Rushd studied Aristotle through the Arabic translations of scholars such as Alexander of Aphrodisias and Themistius of Alexandria. Distinguishing between the intermediate and large commentaries can be challenging, and this complexity highlights Ibn Rushd's unique place in the history of Islamic philosophy.

- Ibn Rushd commented on almost all of Aristotle's works

Ibn Rushd commented on almost all of Aristotle's works, including *The Republic* of Plato, *Isagoge* by Porphyry, *The Physics*, *The Metaphysics*, *De Caelo*, *De Anima*, and *Analytica Posteriora*. He also edited, interpreted, and organized many of these texts, making Aristotle's ideas more accessible to the West. By 1170 AD, he had completed his *Commentary on the Treatise on Animals* and his *Lesser Commentary on Physics*. Within the next four years, he finished his *Lesser Commentaries on Rhetoric* and *Metaphysics*. Between 1217 and 1230, Mi, a court astrologer to Emperor Frederick II, translated Ibn Rushd's commentaries on *De Anima*, *De Generatione et Corruptione*, *De Caelo et Mundo*, *Physica*, *Metaphysica*, *Meteorologica*, and his



paraphrase of *Parva Naturalia* and *De Substantia Orbis* into Latin.

- Jewish Philosophers have a role in developing Ibn Rushd's Philosophy

The survival of many of Ibn Rushd's works can be credited to Jewish philosophers. He wrote his commentaries to restore Aristotle's ideas to what he believed to be their original, authentic form. His *Incoherence of the Incoherence* was translated into Latin by Kalo Kalonymos, known as *Destructio Destructionis*. Since Ibn Rushd defended Aristotle and opposed many traditional philosophical and theological views, like his thought that philosophy should play a central role within religious inquiry, rather than being an alternative to religion, he faced criticism from Muslim theologians.

- Many Jewish Philosophers translated his works

With the spread of Aristotelianism, especially through Ibn Rushd's translations and commentaries in the 12th century, he became an influential figure among Jews and Christians, particularly in Spain and southern France. Fifteen of his thirty-eight commentaries were translated directly from Arabic to Latin during the 13th century. Jewish philosophers, including Moses Maimonides and his disciple Joseph ben Judah, helped establish Ibn Rushd's reputation as a leading interpreter of Aristotle's works. Other Jewish scholars, such as Moses ben Tibbon, Jacob ben Abba Mari, Simeon Anatoli, and Samuel ben Judah ben Meshullan, translated his works into Hebrew in the 13th and 14th centuries.

- At some point, he opposed Ibn Sina and Al-Farabi

2.2.6 Critique of Ibn Sina's Philosophy

Although Ibn Rushd never met al-Farabi or Ibn Sina, he regarded them as his intellectual masters. However, this did not prevent him from criticizing their interpretations of Aristotelian philosophy. According to Ibn Rushd, both al-Farabi and Ibn Sina misunderstood many aspects of Aristotle's works. He criticized al-Farabi for attempting to merge the ideas of Plato and Aristotle, particularly rejecting Aristotle's views in favor of some of Plato's ideas, such as the theory of Forms. His book *Al-Farabi's Departure from Aristotle in the Arrangement, Canons of Proof, and Definition in Analytica Posteriora* was written for these criticisms. Ibn Rushd also rejected the emanationist doctrine that underpinned al-Farabi's cosmology and metaphysics, deeming it un-Aristotelian.

Regarding Ibn Sina, Ibn Rushd took issue with his concept of being in relation to essence, where essence precedes existence. Ibn Rushd argued that Ibn Sina mistakenly confused two meanings of being: one as real or ontological, and the other as conceptual or intentional. Additionally, Ibn Rushd

- Major criticism was Ibn Sina's distinction between the possible in itself and the possible in itself

- Criticism of Ibn Sina's idea of a separate faculty in the soul

- He contributed to logic through translation

- Ibn Rushd classified logical reasoning into five

- Each type of argument serves different purposes

criticized Ibn Sina for quietly adopting the metaphysics of contingency proposed by theologians. Another major criticism was Ibn Sina's distinction between the possible simpliciter (the possible in itself), the possible in itself but necessary through something else, and the necessary in itself. Ibn Rushd argued that Ibn Sina's view of the world's contingency, borrowed from the theologians, was based on flawed premises.

Ibn Rushd also challenged Ibn Sina's claim that the demonstration of the ultimate material cause and the Prime Mover is the domain of metaphysical philosophers rather than physicists. Finally, he criticized Ibn Sina's idea of a separate faculty in the soul called the 'estimative' which helps humans differentiate between what is harmful and beneficial.

2.2.7 Logic

According to Ibn Rushd, it is the study of the conditions and rules that rightly guide the mind toward the conception of essences and the assent to conclusions. For him, it is the only procedure that leads to certainty in philosophy. Sometimes he took reasoning and logic as the fundamental tools to analyse the theological and philosophical discussions. He commented on the logical work of Aristotle's *organon*, mainly to sustain his relation with this field. He also wrote a *Compendium of Logic* that includes Porphyry's *Isagoge*. In the later years of his life, Ibn Rushd dedicated one of his five major commentaries to Aristotle's *Posterior Analytics*.

Ibn Rushd classified logical reasoning into five distinct types of arguments: demonstrative, dialectical, rhetorical, poetical, and fallacious. These categories are not differentiated by their logical forms, as they remain the same across all types, but by the nature of their premises. Demonstrative arguments are based on necessary premises, which are universally true and cannot be denied. Dialectical arguments, on the other hand, rely on premises that are generally accepted by most people, representing common knowledge or shared beliefs.

Rhetorical arguments are grounded in premises that are widely received and persuasive to a particular audience, while poetic arguments draw on imaginative premises that appeal to creativity and artistic expression. Finally, Fallacious arguments are based on premises that are intentionally misleading or deceptive, designed to confuse or misguide the audience. Each type of argument, according to Ibn Rushd, serves different purposes depending on the nature and reliability of the premises upon which they are built. He also saw the logic and reasoning

useful to understand the faulty argumentative methods of the theologians.

- An absolute demonstration that is known prior to its effect

The purpose of analysing demonstrative arguments is to understand the absolute demonstration that provides complete certainty and forms the foundation of a scientific discipline. However, there are other types of demonstration that, while they are less certain than the absolute demonstration, are still considered demonstrative. They establish the existence of a thing based on a cause that is known prior to its effect. It is a demonstration of existence, which he calls a sign to establish a thing's existence without any grasp of its cause. A demonstration of the cause establishes the cause once the effect's existence is known.

- Ibn Rushd argued that the world has always existed

The prevailing view was that the world came into existence from God after not having existed. However, Ibn Rushd, following Aristotle, argued that the world has always existed eternally. To explain how an eternal world can have a First Cause, he distinguished between two types of causal orderings: essential and accidental. Because he believed that The First Cause or the unmoved mover of Aristotle cannot itself be something in motion, given the Aristotelian concept that everything that is in motion must be moved by another mover. Even though Ibn Rushd allows that, there may be many unmoved movers; there must be one such being that is immovable, and eternal, because otherwise another mover would be required to move the supposedly first mover.

- God should be proven through an argument based on observable features

2.2.8 God, Law and Ethics

Ibn Rushd believed that God's existence, or the First Cause, could be proven through an argument based on observable features of the world, which are more familiar to us. He thought that one should follow the example of the Qur'ān and use the design argument to prove God's existence. He rejected the a priori metaphysical arguments of Ibn Sīnā.

- God serves only as a final and formal cause

Ibn Rushd suggests that God serves not as an efficient cause, but only as a final and formal cause. The efficient causality prevails among natural bodies when one actually moving body brings another body from potential to actual motion. Even though Ibn Rushd denies that any individual enjoys a special divine providence, he affirms that God is the creator of the world and that God exercises providence over all existent beings.

The various views of Ibn Rushd's conception of God are

- He opposed the theologians

against Ibn Sīnā’s insufficient Aristotelian philosophy, and on the other hand, against many theologians. Prophecy is one of the examples of how Ibn Rushd distinguished himself both from the theologians and previous philosophical approaches. The theologians had relied primarily on miracles to establish the confirmation of the Prophet Muhammed. Ibn Rushd evaluated this approach from his Aristotelian background and concluded that miracles are ‘an external sign’ of prophecy. In the *Decisive Treatise*, he argued that philosophy should have a prominent place in religious matters, especially in Islamic law. This stance, alongside his devotion to Aristotelian demonstration, might raise the question of Ibn Rushd’s ‘rationalism’. This concept was influenced by al-Ghazālī’s fatwa against metaphysics.

2.2.9 Incoherence of the Incoherence

- Response to Ghazali’s *Incoherence of the Philosophers*

Ibn Rushd’s most significant work is *The Incoherence of the Incoherence*, written in response to Ghazali’s *Incoherence of the Philosophers*, where Ghazali criticized Aristotle and the Neoplatonic philosophers, including figures like Ibn Sina and al-Farabi. The title of Ibn Rushd’s work itself reflects his aim to defend Aristotle. Scholars who have analyzed this debate suggest that Ibn Rushd’s primary motivation was to protect Aristotle from Ghazali’s criticism, particularly Ghazali’s rejection of Aristotle’s philosophy.

- Ibn Rushd called Ghazali a ‘sophist’ or ‘ignorant’

In *The Incoherence of the Incoherence*, Ibn Rushd systematically responded to Ghazali’s criticism, addressing it point by point. While there were areas of agreement between them, Ibn Rushd often disparaged Ghazali, accusing him of being a ‘sophist’ or ‘ignorant’. He even suggested that Ghazali’s writings were influenced by the pressures of his contemporaries and his desire to appear as a philosopher. Key topics discussed in Ibn Rushd’s work include the past eternity of the world, the existence of a Creator, and the resurrection of the body. In each of these areas, Ibn Rushd directly opposed Ghazali’s theological and philosophical views.

- The world’s past eternity was the issue of major debate

A central issue in the debate was the concept of the world’s past eternity. Theologians like Ghazali argued that the world was created *ex nihilo* (out of nothing) and was not eternal, while Ibn Rushd countered that the world was not eternal. His position on this matter led to his excommunication by some theologians. Ibn Rushd’s argument was grounded in Aristotelian physics and metaphysics, which argued that the world was not created but emanated from God, and it is important to note that he never denied the existence of a Creator. Modern scholars

often interpret this debate as a discussion of “eternity by time,” suggesting that Ibn Rushd argued the world is eternal in relation to time, or that it existed before time itself was created. In this light, the debate can be seen as more of a semantic or verbal dispute than a profound philosophical divide.

- The relationship between cause and effect influenced modern philosophers

Another key philosophical debate between Ghazali and Ibn Rushd was on the relationship between cause and effect, a topic that would later influence modern philosophy. Unlike David Hume, who doubted causality, both Ghazali and Ibn Rushd accepted the existence of causality. Ghazali advocated for occasionalism, the view that causes do not have inherent power to produce their effects; rather, it is God who imparts the power to the cause at the moment it occurs. Ibn Rushd held that the cause has the necessary power to create the effect which God has already invested in it, and at the same time, he also says that the effect may not happen by adding something to the cause. Later, David Hume also opposed the necessary relation between cause and effect and he held that there is only a habitual relation which connects them.

- Ibn Rushd defended Plotinus from Al - Ghazali

Another major point of contention was the nature of God’s knowledge. Ghazali criticized the Neo-Platonists’ view that God does not know particular things but only has universal knowledge. Ibn Rushd rejected this claim, arguing that the philosophers never held such a view. Instead, they made a distinction between God’s knowledge and human knowledge, asserting that God’s knowledge is fundamentally different and transcends that of any other being. For Ibn Rushd, this was not a matter of debate, as he believed that philosophers never suggested that God lacked knowledge of particular things.

- Disagreement between Ibn Rushd and Ghazali on bodily resurrection

Another significant point of disagreement between Ibn Rushd and Ghazali was their views on bodily resurrection. Ibn Rushd argued that after death, individuals would not be resurrected in the same physical body they had in the material world. According to Ibn Rushd, a person’s identity is tied to their rational abilities and intellect, which are lost upon death. Therefore, the resurrected being would not have the same body but rather an identical one. On the other hand, Ghazali maintained that the resurrected body would indeed be the same body in which a person lived during their earthly life. Ghazali did not reject the idea of bodily resurrection; in fact, he excommunicated those who denied it. The key difference between him and Ibn Rushd lay in their views on the nature of the resurrection, but both affirmed its reality.

- Aquinas opposed Ibn Rushd, which led to a series of debates in Europe

Thomas Aquinas, a leading Thomist, was one of the main critics of Ibn Rushd in the 13th century. Aquinas took issue with several of Ibn Rushd's views, particularly his denial of bodily resurrection. Aquinas considered Ibn Rushd's stance on this issue to be so heretical that he believed it led to his excommunication from the religious community. This disagreement sparked a series of debates between the Thomists and the Averroists (followers of Ibn Rushd) in Europe. Ultimately, the Thomists claimed victory in these debates. To symbolize their triumph, they created an iconic image in which Aquinas is depicted sitting in a chair, with Ibn Rushd sitting at his feet, symbolizing Aquinas' intellectual dominance over Averroes' philosophy.

- Ibn Rushd introduced many controversial ideas in the history of Islamic philosophy

Ibn Rushd believed that the intellect is eternal and immaterial, constantly engaged in thinking about all conceivable things. He referred to this intellect as the "material intellect" and argued that it operates through the faculties of individual humans, such as the brain, to carry out thinking. According to him, the material intellect represents the highest power inherent in humans. Ibn Rushd's ideas were controversial, particularly in the Latin world, where they faced strong opposition from Thomas Aquinas.

Summarized Overview

Al-Ghazali (1058–1111) and Ibn Rushd (Averroes, 1126–1198) were two towering figures in Islamic intellectual history whose contrasting views sparked a profound debate on the relationship between faith and reason. Al-Ghazali, a theologian, mystic, and philosopher, critiqued the rationalist doctrines of thinkers like Avicenna in his work *The Incoherence of the Philosophers*, arguing that reason has limits in understanding divine truths and that revelation and spirituality are paramount. Ibn Rushd, an Andalusian philosopher and Aristotelian commentator, responded with *The Incoherence of the Incoherence*, defending philosophy as compatible with religion and asserting that reason and rational inquiry are essential tools for understanding divine wisdom. Their debate reflects the tension between theological orthodoxy and philosophical rationalism, shaping not only Islamic thought but also influencing medieval European scholasticism and the broader discourse on faith and reason.

Self-Assessment

1. How did Ghazālī and Ibn Rushd reconcile the celestial elements with Islamic theology, despite Ash‘arite occasionalism prevailing before him?
2. Why was Ghazali criticized more?
3. Evaluate the occasionalism?
4. What is the relation between Aristotle and Ibn Rushd?
5. What is the position of reason in Ibn Rushd’s philosophy

Assignments

1. Sketch the cosmology during Al-Ghazali .
2. Explain Ghazali’s theological framework.
3. Elucidate the revival of religious sciences.
4. Analyze the debate between Ghazali and Ibn Rushd.
5. Explain the works of Ibn Rushd.

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Suggested Reading

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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.



SGOU

UNIT 3

Post-classical Islamic Philosophy

Learning Outcomes

After the completion of this unit, the learner will be able to:

- examine the philosophical contributions of Shihab al-Din Suhrawardi and Mulla Sadra
- explore Suhrawardi's key concepts like Hikmat al-Ishraq, khayal and illumination
- analyze Mulla Sadra's core ideas such as Being and Quiddity, Causality and Knowledge and Being
- investigate the integration of rational inquiry, mysticism and theology in different philosophical frameworks
- highlight the impact of their philosophies to the intellectual traditions of Islamic philosophy

Background

Shihab al-Din Yahya Suhrawardi (1154–1191), known as “Shaykh al-Ishraq,” and Sadr al-Din Muhammad Shirazi (1571–1636), widely known as Mulla Sadra, are two pivotal figures in Islamic philosophy. Suhrawardi, founder of the Illuminationist (Ishraqi) school, synthesized Greek philosophy with Islamic mysticism, blending rationalism and spirituality. Centuries later, Mulla Sadra revitalized Islamic philosophy by integrating theology, mysticism, and metaphysics, building on the traditions of Avicenna and Suhrawardi. Together, their contributions bridged classical and post-classical thought, shaping the intellectual legacy of Islamic philosophy.



Keywords

Imagination, Illumination, Transcendental Philosophy, Substantial Motion, Knowledge by Presence, Essence and Existence

Discussion

- Suhrawardi was a controversial figure even in the eyes of Muslim rulers

Shihab al-Din Yahya al-Suhrawardi, commonly known as the Shaykh al-Ishraq, was born in 549 AH / 1155 CE in northwestern Iran, in the ancient region of Media, in the town of Suhrawardi, which remained vibrant until the Mongol invasions. From a young age, he pursued his education in Maragheh, located in Azerbaijan, before moving to Isfahan in central Iran, where the Avicennan tradition was thriving. Later, he spent several years in southeastern Anatolia, where he was warmly welcomed by various Saljuq princes of Rum. Ultimately, he settled in Syria, where he remained for the rest of his life. Nothing could shield him from the vindictiveness of Salah al-Din, the famous Saladin of the Crusades—not even the support of Saladin’s son, al-Malik al-Zahir, the governor of Aleppo, who would later become a close friend of Ibn al-’Arabi. Tragically, the young shaykh died under mysterious circumstances in the citadel of Aleppo on July 29, 1191. His biographers commonly refer to him as *al-shaykh al-maqtul*, meaning “the slain shaykh” or “put to death.” However, his followers prefer to honour him as *al-shaykh al-shahid*, “the martyred shaykh.”

- His mystical thoughts became more influential in Islamic lands

One of his most renowned biographers and commentators, Shams al-Din al-Shahrazuri (d. circa 1281), bestows upon him the remarkable distinction of being a scholar who united the “two wisdoms”—the experiential (*al-dhawqiyah*) and the discursive (*al-bahthiyah*). According to al-Shahrazuri, he achieved unparalleled mastery in the former, a status acknowledged by its practitioners as unmatched. Regarding “discursive wisdom,” al-Shahrazuri highlights, Suhrawardi thoroughly explored the essence of both ancient and contemporary knowledge in his significant treatise *al-Mashari’*. He not only refuted the assumptions of the Peripatetic philosophers but also revitalized the doctrines of the ancient sages in an innovative and unprecedented manner. While some Sufis, such as al-Bastami and al-Hallaj, may have equaled his practical mystical accomplishments, none succeeded in harmonizing theoretical and practical wisdom with such extraordinary expertise.

2.3.1 Hikmat al-Ishraq

- Suhrawardi revived the ancient wisdom tradition of Plato

To fully understand the scope of his work, we must begin by examining the central theme of the title of his principal work, *Hikmat al-Ishraq* (*The Philosophy of Illumination*). This “Oriental philosophy” was consciously designed as a revival of the ancient wisdom traditions of Persia. The doctrine is shaped by the intellectual legacies of Hermes, Plato, and Zoroaster (Zarathustra).

- He was influenced by Byzantine Philosophers

On the one side, there is the influence of Hermetic wisdom—a tradition in which the *ishraqiyun* (Illuminationists) were considered a priestly lineage descending from Hermes’ sister, as noted by Ibn Wahshiyah. On the other side, the link between Plato and Zoroaster, later emphasized during the Renaissance by the Byzantine philosopher Gemistos Plethon, had already become a pivotal element in the philosophical discourse of twelfth-century Iran.

- The wisdom was originally revealed to Hermes

In his discussion of the “science of light,” which lies at the heart of illuminationist philosophy, al-Suhrawardi does not claim originality. He acknowledges that this science has always had its proponents, naming figures such as Plato, Hermes, Empedocles, Pythagoras, Agathadaimon, Asclepius, Aristotle, and others in the West, as well as Jamasp, Farashaustra, Buzurjmehr, Zoroaster, and others in the East. Al-Suhrawardi asserts that, despite differences in language and methods of expression, these sages have all partaken in a universal and timeless wisdom. This wisdom, he explains, was originally revealed to Hermes—identified in Islamic tradition with the Qur’anic Idris or Enoch—and transmitted through an unbroken lineage, including figures like al-Bastami and al-Hallaj, ultimately culminating in al-Suhrawardi himself.

- Suhrawardi criticizes the Peripatetic logic and physics.

Although the *Hikmat* is described by its author as a non-polemical work, it begins with a critique of the Peripatetics. In this ostensibly more personal text, al-Suhrawardi offers numerous criticisms of Peripatetic logic and physics. Among these, we will focus on the three most significant ones. The Peripatetic concept of substance is riddled with inconsistencies. Based on their premises, substance itself cannot be known, as its defining characteristics remain unknowable. This extends to the Soul and the separate intelligences, which also cannot be comprehended. Moreover, the Peripatetics often define substance in purely negative terms.

Prime matter (*hayula*), according to the Peripatetics, is described as the substratum of both the continuous and the



- His thoughts on body and form

discontinuous. However, they assert that magnitude is not intrinsic to the body, despite its continuity being akin to that of the body. This inconsistency leads to the conclusion that magnitude is not extraneous to the body but must instead be identified with it. Consequently, magnitude should be regarded as the true material substratum of the body. What distinguishes bodies, then, is not matter as the Peripatetics claim but rather the forms that subsequently manifest upon this magnitude.

- Peripatetics acknowledge that both exist within the mind and in external objects.

Regarding the Platonic ideas, the Peripatetics contend that if these ideas were truly self-subsistent, they could not be embodied in their particular representations. If, however, it is argued that only a part of the idea necessitates a particular substratum, then the entirety of the concept would also require such a substratum, given its indivisible nature. Nonetheless, the Peripatetics acknowledge that forms exist both within the mind and in external objects.

- He praised the works of Ibn Sina

We must recognize the distinctively Suhrawardian significance of the concepts of the “Orient” and “Oriental theosophy.” As previously mentioned, Avicenna had envisioned a project centered on “Oriental wisdom” or “Oriental philosophy.” In this regard, Al-Suhrawardi was fully aware of his intellectual connection to his predecessor. He was familiar with the “notebooks” believed to contain what would have constituted the *Logic of the Orientals*, as well as the surviving fragments of the *Kitab al-Insaf*. Moreover, the concept of the Orient as expressed in Avicenna’s *Recital of Hayy ibn Yaqzan* aligns with that of Al-Suhrawardi. Al-Suhrawardi was so acutely aware of this connection that, when he composed his own symbolic recitals of spiritual initiation, following Avicenna’s example, he praised Avicenna’s work. However, this praise served primarily to highlight that his own *Recital of the Occidental Exile* begins precisely where Avicenna’s narrative concludes, as if to make a gesture of profound significance. What left him dissatisfied with Avicenna’s symbolic recital mirrors the dissatisfaction he felt toward the surviving fragments of Avicenna’s teachings.

- The illumination comes from the rising of the sun

By examining the insights provided by al-Suhrawardi and his immediate commentators, it becomes clear that the concept of *ishraq*—a term derived from the verbal noun signifying the brilliance or illumination of the rising sun—can be understood in a threefold manner. First, *ishraq* represents the wisdom or theosophy that emanates from this illumination, encompassing both the radiance and reflection (*zuhur*) of being. It also signifies the act of awareness that, through unveiling (*kashf*),

brings this radiance into appearance, transforming it into a phenomenon (*phainomenon*). In much the same way that the term denotes the morning's splendor and the first light of the sun in the physical world, in the intelligible realm of the soul, it symbolizes the epiphanic moment of knowledge.

- Ishraq (illumination) emphasizes inner vision and mystical experience

Secondly, Oriental philosophy or theosophy can be understood as a doctrine rooted in the philosopher's presence at the moment of the matutinal emergence of intelligible Lights—their dawn illuminating the souls estranged from their corporeal existence. This philosophy emphasizes inner vision and mystical experience, advocating for a form of knowledge that originates from the Orient of pure Intelligences. Consequently, it is a distinctly Oriental knowledge, one that is unveiled through spiritual insight and profound contemplation.

- Philosophers connected illumination to concepts of ancient Philosophy

Thirdly, the term can also be interpreted as referring to the theosophy of the Orientals (*ishraqiyun* or *mashriqiyun*), specifically the wisdom of the ancient Persian Sages. This designation arises not only from their geographical position but also from the nature of their knowledge, which was profoundly Oriental in its reliance on inner revelation (*kashf*) and mystical vision (*mushahadah*). The *ishraqiyun* held that this was likewise the wisdom of the ancient Greek Sages, with the notable exception of Aristotle's followers, who depended exclusively on discursive reasoning and logical argumentation.

2.3.2 The Concept of Khayal

- Imaginal world created the link between the material world and the realm

The concept of the *imaginal world*, largely developed by Ibn al-'Arabi and al-Suhrawardi, serves as a bridge between the material world, characterized by constant change and imperfection, and the abstract, higher realms where pure and perfect ideas reside. This intermediate ontological realm is necessary to mediate between the concrete, sensory-bound ideas of our everyday experience and the universal, transcendent ideas of a higher order. For instance, consider learning about the properties of triangles. Initially, one might focus on the features of a specific triangle, unaware that these properties apply universally to all triangles. However, once this realization occurs, one transitions from the limited, tangible understanding rooted in the physical world to the more universal and abstract concepts of theoretical mathematics. This movement illustrates the role of the *imaginal world* in facilitating the progression from the particular to the universal.

The imaginal realm is significant because it allows us to engage with ideas that are neither entirely shaped by our

- Divine love is important to understand this Philosophy

personal experiences nor completely abstract. Our personal experiences often influence and inform our more abstract reflections. For instance, when contemplating God's love for humanity, one might begin by reflecting on the love they feel for the people in their own life and then extend that understanding to the divine. The imaginal realm thus bridges the gap between the concrete and the abstract, enabling us to navigate and connect these different dimensions of thought. We may come to realize that the concept of love encompasses far greater variety than we have personally experienced, and that there are certain individuals we find it impossible to love. Furthermore, many actions regarded as evidence of divine love often appear entirely different from what we understand as love. To classify such actions as love would require adopting a perspective vastly different from our own experience. This is where imagination, or the imaginal realm, becomes essential—it allows us to expand our understanding, starting from our personal experiences, to explore broader perspectives and deepen the concepts initially rooted in those experiences.

- Suhrawardi's major contribution is imagination

Al-Suhrawardī describes the imaginal world as a bridge between our microcosmic reality—centered on what matters to us—and the macrocosmic reality, which encompasses what is significant for everything in existence. The forms within the imaginal world are material to the extent that they draw on physical imagery, yet they are also abstract as they point to higher, transcendent realities. This realm is more real than our physical world because it is not constrained by personal experience, but it is less real than the higher realm of pure ideas. Within the imaginal world, we possess imaginal bodies (*al-jism al-khayālī*), much like those in dreams, which differ from our physical bodies in their ability to freely explore a vast range of ideas and experiences beyond the limitations of daily life. Through imagination, we transcend the confines of personal experience and physicality, allowing our thoughts to venture into new and innovative directions, building upon familiar concepts and expanding them further.

- He criticized Aristotle, especially on his metaphysical view

Mulla Sadra: Introduction

Mulla Sadra's philosophical system is deeply rooted in the intellectual heritage of earlier philosophers, and in many instances, his positions on philosophical and theological issues can be understood primarily as responses to the views of preceding thinkers. Nevertheless, this does not undermine the originality of his philosophy, which, as exemplified in the title of his magnum opus, has come to be known as "Transcendental

Philosophy” (*al-Hikmat al-muta‘aliya*). Transcendental Philosophy is situated within the broader framework of mystical philosophy, characterized by its synthetic methodology, which integrates both logical reasoning, combining elements of rational inquiry with spiritual and religious reflection. A key figure in the intellectual movement known as the “School of Isfahan,” he played an essential role in the apotheosis of philosophical thought under the Safavid ruler Shah ‘Abbas I (r. 996–1038 AH/1588–1629 CE). Later, he became the leading figure at the Madrasa-yi Khan, a major philosophical seminary in Shiraz.

- Logical formulation of reality, knowledge, and creation with roots in Islamic mysticism

In reading Mulla Sadra one must keep in mind that to fully appreciate his logical formulations on topics such as the nature of reality, knowledge, creation, and God, one must consider his deep roots in Islamic mysticism, particularly the influence of Ibn ‘Arabi and his major commentators, including Sadr al-Din al-Qunavi (d. 673/1274) and Dawoud al-Qaysari (d. 751/1350). Furthermore, an understanding of his extensive knowledge of the religious sciences, especially within the context of Shi‘ite thought, is essential for grasping the philosophical and theological nuances of his work.

2.3.3 Being and Quiddity

Within Islamic philosophical discourse, where God is the central source of being, early Peripatetic philosophers modified Aristotelian thought to align with Islamic theology. Aristotle’s categories classify all beings as either substances or accidents, with substances being the fundamental category. However, Muslim philosophers, beginning with Farabi and later Ibn Sina, found this framework incompatible with the Islamic view of God as a Transcendental Being, who cannot be categorized under substance. In response, they redefined the categorization of existence, distinguishing between necessary being (*wajib al-wujud*) and contingent being (*mumkin*). For Farabi and Ibn Sina, only God is necessary in His existence, while all other beings are contingent and rely on God for their existence. Consequently, the Aristotelian categories apply only to contingent beings. In this framework, Ibn Sina famously argued that every contingent being is a composite of being and quiddity (*mahiyya*). Quiddity refers to the essence of objects, which is universal in the mind but particularized outside the mind when it comes into being.

- Mulla Sadra stands against Ibn Sina and Al-Farabi on existence

The question of whether being or quiddity is the foundational ground of reality led to the formation of two rival schools of thought in Islamic philosophy. While this distinction can be



- Sadra argued that being is not only real but is the fundamental reality

traced in earlier philosophical discussions, it was officially articulated by Mir Damad, the founder of the Isfahan school. Damad argued for the reality of quiddity, asserting that being is a mental construct, following Suhrawardi's views. As Mulla Sadra's teacher, Damad's ideas initially influenced Sadra, but Sadra's philosophical trajectory shifted after a transformative vision, leading him to reject this view. Instead, Sadra argued that being is not only real but is the fundamental reality that constitutes the world. He introduced the concept of the univocity of being, claiming that being is applied in the same sense to all existents, whether material, immaterial, or divine. This was a crucial response to theological arguments which claimed that applying the concept of being to both Creator and creatures violated the doctrine of Divine Transcendence. Sadra's positive affirmation of the reality of being outside the mind, as opposed to quiddities, forms the foundation of his transcendental philosophy (*al-hikmat al-muta'aliya*). This monistic view, which places being at the core of reality, distinguishes Sadra's system and is central to the development of his thought.

- He presented the idea of universal quiddity

He argues that quiddity (essence) is universal, meaning it can apply to multiple instances outside the mind. However, for any particular instance of an essence to be distinct, being is required. For example, a "horse" in the mind can be any horse, but it only becomes a real, distinct horse with concrete properties when it exists outside the mind. Essence alone does not make it real; being does. Sadra also contends that the mind and the external world are not merely containers for essences and real beings, respectively. When something exists outside the mind, its essence, which is abstract in the mind, acquires all the properties it is supposed to have in the external world. Without being, there would be no distinction between the fire in the mind and the fire outside, as both would share the same essence. Thus, being is what grounds the reality of things.

- Sadra distinguishes between *categorematic being* and *syncategorematic being*

2.3.4 Causality

Mulla Sadra's view on causality begins with the contingency thesis but evolves to reflect a shift in his monistic system. He distinguishes between relational being and independent being, explaining this distinction from a linguistic perspective. In statements like "There is oxygen" or "There is love," the copula "is" referring to the existence of something, which Sadra calls *categorematic being* (*wujud al-mahmuli*). In contrast, the Arabic language lacks an explicit copula equivalent to the English "is," yet conveys the meaning of being through its

function. Sadra distinguishes between *categorematic being* and *syncategorematic being* (wujudal-rabbit), with the latter referring to relational being, which he argues is not equivalent to the former.

- He differentiates between *being-in-another* and *being-for-another*

Sadra's distinction between relational and independent being is key to understanding his concept of causality. He differentiates between *being-in-another* (wujud fi ghayra) and *being-for-another* (wujud li ghayra), explaining that contingent beings for him are not simply *being-for-another* (as in the case of accidents or properties dependent on substances), but are *being-in-another*—dependent on a cause for their existence. He critiques the traditional view of causality, particularly the Aristotelian model, which posits a duality between cause and effect, with effects as contingent beings depending on independent causes. In contrast, Sadra's monistic view rejects this duality, arguing that all existence is rooted in the One Absolute Being, and the effect is not a second being alongside the Cause. For Sadra, the contingent being does not exist independently but is inextricably linked to the Absolute Being, eliminating the need for a separate cause-effect duality. Thus, Sadra's understanding of causality challenges the traditional model, offering a more integrated view of existence that is rooted in his transcendental philosophy.

- Sadra's ontology is mainly influenced by the thought of Ibn 'Arabi,

Mulla Sadra's ontology is profoundly influenced by the thought of Ibn 'Arabi, particularly with regard to the concepts of the unity of being (*wahdat al-wujud*) and the primacy of being (*asalat al-wujud*), which contrast with the secondary status of quiddities. These foundational ideas in Sadra's philosophy echo Ibn 'Arabi's mystical vision, which emphasizes the ontological primacy of being over essence. Sadra's deep respect for Ibn 'Arabi is explicitly manifested in the conclusion of his *al-Asfar*, where he includes an extended quotation from Ibn 'Arabi. This citation not only signifies Sadra's acknowledgment of Ibn 'Arabi's intellectual influence but also highlights the centrality of his mystical philosophy in the formation of Sadra's transcendental ontology, marking the culmination of Sadra's own metaphysical system.

- Platonic idealism formed his theory of knowledge

2.3.5 Knowledge and Being

In Mulla Sadra's transcendent philosophy, epistemology is based on ontological principles, and is not independent of or prior to ontology. His theory of knowledge draws from Platonic idealism. Still, it extends toward Plotinus by explaining true knowledge as the unity between the knower and the known across all levels of cognition, from sensory perception to



intellect. Unlike Aristotle's realism, Sadra moves toward idealism, viewing knowledge as the form of existence of an immaterial being. He argues that knowledge cannot be strictly defined logically like being, as it is self-evident through its relation to all other concepts.

- Three steps of the theory of knowledge

Sadra's theory of knowledge lies within the "ontology of epistemology," suggesting that knowledge reveals its own ontological status. His first step is to show that matter, being devoid of unity, cannot possess knowledge. The second step stresses that knowledge involves the "presence" of the known object to the knower, and the third step demonstrates that knowledge entails not just the presence but the ontological unity between the knower and the known.

- Sadra tried to understand how a human being can know the substances

Sadra argues that bodily substances, because they lack true existential unity, cannot possess knowledge. Matter, in its primal state, lacks full existence, and its particles are not unified; thus, it cannot know itself or anything else. For example, when a rock is broken, its parts no longer relate to each other existentially. This "absence" in the material realm equates to the epistemological inability to grasp knowledge, meaning that bodily substances cannot know themselves or the external world. In contrast, the mind, through abstraction, processes knowledge. At the sensory level, perception abstracts material forms indirectly via the senses. The next level, imagination, involves a higher form of abstraction, where the mind constructs images of things that are no longer physically present. At the highest level, intellection involves the rational soul abstracting universal concepts from these imaginative forms.

- knowledge is not a mere abstract process but a form of presence

Sadra agrees with Ibn Sina that abstraction is necessary for knowledge, but he frames it in the context of existential foundationalism. For Sadra, knowledge is not a mere abstract process but a form of presence—where the knower and known are ontologically united. Thus, Sadra prioritizes the positive principle of presence over the negative notion of abstraction, seeing knowledge as an existential process rooted in unity and being.

- Knowledge is possible when the object of knowledge is ontologically unified with the subject

Mulla Sadra's epistemological framework is fundamentally anchored in the concept of "presence" (*hudūr*), which serves as the cornerstone of his understanding of knowledge. In Sadra's thought, presence signifies a positive ontological state, in contrast to abstraction, which holds a negative connotation. The notion of presence implies the actualization of a thing for

another, where the object of knowledge (a) is said to be present to the subject (b) in its full existential reality. Knowledge, therefore, is possible only when the object of knowledge is ontologically unified with the subject, such that there exists a direct and immediate grasp of the object by the knowing subject.

- Knowledge of the world is grounded primarily in our self-knowledge

For Sadra, perception, in all its forms, consists in an immediate apprehension of the object by the subject. Without this direct ontological contact, an epistemological gap would arise, opening the door to skepticism. In the absence of such immediacy, one could always question whether the knowledge of an object corresponds to its actual existence outside the mind. Sadra contends that our understanding of the world is grounded primarily in our self-knowledge, demonstrating that presence is an indispensable condition for all forms of knowledge. As he asserts, when one gains knowledge of a thing, what is realized is an immaterial being within the self, rather than an abstract representation of the object's essence. Hence, for Sadra, there is no need to justify the correspondence between the mind and the world, since no ontological duality exists. Rather, the various diversities in existence represent different grades of one singular being.

- Knowledge is understood as the existence of an immaterial being

In this framework, knowledge is understood as the existence of an immaterial being, which is apprehended not through mental representation but by the very identity of its existence. Awareness, then, is not the recognition of an external object distinct from the self but the union of one being with another, wherein the knower and the known are united, dismissing the ontological otherness that separates them. When the mind "knows" the external world, it refers to the presence of the world in its immaterial mode for the immaterial soul. This ontological unity of the knower and the known marks a key distinction in Sadra's philosophy, setting it apart from the views of earlier philosophers such as Ibn Sina (Avicenna), who maintained a more rigid separation between the two.

- Quiddity and its representation in the mind

In the Peripatetic tradition, including Ibn Sina, knowledge of an external object is mediated through the abstraction of its quiddity (whatness) and its representation in the mind. The immediate object of knowledge is the mental representation of the essence of the external object, while the knower remains distinct from the known. Knowledge, in this context, is understood as an accident (arad) that occurs to the substance of the soul. The soul, possessing the faculties of sense perception, imagination, and intellect, remains unchanged in its essence,



and the faculties only function when activated by external objects. In this model, the knower is analogous to a passive receiver, like a camera capturing images without any alteration to its essential nature.

- The soul ascends to a higher level of being

By contrast, Sadra's epistemology offers a more dynamic conception. In his view, the object of knowledge is not a mere abstraction or mental form, but a "mental being" (*wujūd al-dhihnī*), which exists in a higher existential rank than the external object itself. These mental beings are not accidents or representations; rather, they are created by the soul, and through the unity of the knower and the known, they become unified with the knowing subject. Consequently, knowledge becomes an act of creation, akin to the divine creative act that brings forth the world into existence. The soul, through each act of perception, ascends to a higher level of being and understanding. In this way, knowledge is not a passive reception but an active process that constitutes the soul's evolution.

- Ibn Sina distinguishes between knowledge by presence and knowledge by correspondence

This ontological unity of knowledge and the known in Sadra's philosophy contrasts sharply with the epistemological dualism found in the work of Ibn Sina. Ibn Sina, following the Peripatetic tradition, distinguishes between "knowledge by presence" (*al-ilm al-huzūri*) and "knowledge by correspondence" (*al-ilm al-husūlī*). Knowledge by presence, for Ibn Sina, refers to the immediacy of self-knowledge, where the knower is in direct contact with itself. In contrast, knowledge of external objects is mediated through mental representations, and the validity of such knowledge depends on the correspondence between the mental form and the external reality. Thus, while knowledge by presence pertains to self-awareness, knowledge of the external world is categorized under knowledge by correspondence, mediated by abstracted forms.

- Sadra opposed the dualism in epistemology

Sadra, however, does not accept this dualism. He argues that perception is not mediated by abstract forms but is instead characterized by the direct presence of the external world in an immaterial mode for the immaterial soul. For Sadra, the immediate object of knowledge is not an abstract form but a "mental being" that is ontologically unified with the subject. This transformation replaces the traditional notion of mental forms with a higher, creative mode of knowledge, which arises from the soul's own existential creativity. The soul, through this creative act, ascends in its being as it perceives and understands the world.

- Sadra's belief in the soul's ability to create mental beings

In Mulla Sadra's epistemology, the relationship between knowledge and realization is foundational to understanding his conception of the soul, its faculties, and the process by which it ascends through various levels of existence. Sadra's framework posits a metaphysical system in which both mental and extra-mental realities exist for real, though they differ in the intensity of their being. The mental realm, analogous to divine creation, consists of mental beings that the soul is capable of producing, much like God's creation of both material and immaterial substantial forms. Sadra's belief in the soul's ability to create mental beings in the absence of matter is exemplified in dreams and the miraculous visions granted to prophets. However, this ability to create is not universally actualized at all stages of the soul's evolution, but rather emerges in its spiritual proximity to the divine realm, first as potentiality.

- Soul creates the mode of existence of things as they appear in the mind

In this context, Sadra argues that, for ordinary human beings, the soul does not create knowledge or cognition in an absolute sense. Instead, the soul makes the mode of existence of things as they appear in the mind, within the limitations of its current state of development. The soul's creation of knowledge is thus a process that unfolds through its stages of evolution, progressing from dependence on matter in the material world to the realization of higher intellectual capacities. Mulla Sadra conceives of knowledge formation as occurring through three hierarchical "worlds"—sense perception, imagination, and intellection—that are each related to one another in a vertical (*tuli*) relationship, with each world representing a higher degree of existence.

- Motion is intrinsic and essential characteristic of all beings

2.3.6 Substantial Motion

Substantial motion (*al-haraka al-jawhariyya*) is one of the defining features of Mulla Sadra's philosophical system, representing a radical departure from earlier Islamic philosophical traditions. It functions as a key metaphysical principle, elucidating the nature of change, the structure of reality, and the dynamics of being itself. Contrary to earlier thinkers, particularly Ibn Sina (Avicenna), who restricted motion to accidents (such as quality, quantity, and place), Sadra posits that motion is not merely an external attribute of substances, but an intrinsic and essential characteristic of all beings.

For Sadra, the universe, in both its material and immaterial dimensions, is in a state of continuous motion, constantly progressing towards perfection. This motion is not a secondary

- For Sadra, the universe is in a state of continuous motion

- Sadra challenges Ibn Sina's limitation in motion

- Substance evolves toward a more perfected form

- Motion is a genuine transformation in the substance itself

or contingent feature of substances, but an inherent aspect of their very being. Motion is both the means by which the world unfolds temporally and the process through which it reaches its telos, or ultimate purpose. Through this view, Sadra aims to synthesize physical, metaphysical, and theological concerns, providing a holistic framework for understanding both the origin and the end of existence.

Ibn Sina's philosophy holds that motion applies only to the accidents of substances, while substances themselves remain static and unchanging. For Ibn Sina, the notion that substances could undergo motion would imply an infinite regress of intermediate states, which he deemed logically impossible. However, Sadra challenges this limitation, asserting that the totality of existence is a continuous, fluid whole. While the mind might abstract discrete essences (such as a seed, sprout, and tree), the underlying reality is a seamless continuum of being, in which substances themselves undergo gradational motion. This motion is not reducible to temporal succession or accidental change; rather, it reflects the internal development and actualization of a substance's potential.

Sadra's innovation lies in his understanding of the constitution of material substances. While classical philosophers like Ibn Sina recognized the dual nature of matter and form, Sadra emphasizes that this duality is not static but dynamic. For Sadra, motion is the very ground of the existence of bodily substances, whose matter (potentiality) and form (actuality) are inextricably linked. In every phase of motion, the substance evolves toward a more complete and perfected form, in a manner analogous to the unfolding of an organism from seed to tree.

This process is best understood as a series of successive "dressings" (*labs fawqi labs*), where each form successively actualizes the potentiality of the previous form. Each moment of substantial motion brings forth a more complete reality, a more actualized being. This is not a mere change in properties or attributes but a genuine transformation in the substance itself, wherein the new form not only incorporates the previous one but transcends it. This dynamic unfolding captures the existential hierarchy of beings, each step closer to its final perfection.

For Sadra, substantial motion also pertains to the inseparability of time and being. Unlike static conceptions of existence, where substances remain unchanged over time,

- Objects are temporal by nature

- Every moment in time represents a unique instantiation of being

- Challenges the dichotomy between essence and existence

- The origin contrasts with the unchanging eternal

- Aristotle argued that motion requires a mover

Sadra asserts that time is an essential dimension of bodily substances. Objects are not simply “in time,” but are temporal by nature. This temporal aspect of being is not accidental but is constitutive of the substance’s very existence. As such, motion is not an external event or modification, but the very unfolding of the essence of a thing as it moves toward its telos.

Sadra’s conception of motion allows for the continuous flow of being, where each phase of existence is both an individual and a part of a larger, continuous process. In this framework, every moment in time represents a unique instantiation of being, a dynamic expression of a substance’s essence. The mind’s abstraction of different species, such as the seed, the sprout, and the mature tree, reflects the intellectual categorization of these temporal phases. Still, these are not separate essences in reality. Rather, they represent the same being at different stages of its motion.

Sadra’s metaphysics challenges the traditional dichotomy between essence and existence. In his view, essence is not a pre-existing, static reality but a mental abstraction. Existence, on the other hand, is dynamic and continuous. Motion, as an essential feature of existence, entails a transformation of essence itself. Thus, substantial motion is not merely a transition in the accidental qualities of things but a profound ontological transformation. The substance itself moves, grows, and becomes, with each stage of this motion reflecting a higher degree of actuality.

2.3.7 Temporal Origination and Eternity

The question of how the origin is related to the eternal lies at the intersection of natural philosophy and theology, addressing the problem of motion and time. The origin, defined by change and motion, contrasts with the eternal, which is unchanging. This issue has preoccupied philosophers, particularly in relation to the “unmoved mover,” a concept central to Aristotle’s metaphysical framework. Aristotle posited that an unmoved first cause must exist to account for motion in the world, a view later developed by his medieval followers. This framework led to the problematic relationship between the changing and the eternal, a dilemma for both natural philosophy and theology.

The fundamental issue in this context is the necessity of an unmoving first cause. Aristotle argued that motion requires a mover, yet the first cause, by definition, cannot be preceded by anything else and must therefore remain unmoved. This creates a tension in understanding how a moving world could

be connected to a static first cause. Furthermore, the principle that every motion requires a cause seems to demand that the first cause itself must also be moving, which contradicts the concept of an unmoved mover. This dilemma—the relation between the moving and the constant—remains a core issue in Islamic philosophy.

- Ibn Sina holds that all motions in nature are accidental

Ibn Sina (Avicenna) maintains that all motions in nature are accidental and that the substances of nature themselves do not undergo change. Instead, he posits that motion arises through an additional factor, distinct from the primary matter and form, which are static. This third element—the degree of proximity to a desired state or the successive volitions of the agent in the case of voluntary actions—accounts for motion in the natural world. However, this does not resolve the issue of how motion originates from an unmoving substance.

- Ibn Sina holds that the spheres' motion is essential and not accidental

Ibn Sina employs the concept of eternal, circular motion of the celestial spheres to bridge the gap between the eternal and the temporal. He holds that the spheres' motion is essential and not accidental, providing a link between the divine and the temporal world. However, for Ibn Sina, the eternal world of intellect does not originate temporally, while particular material objects come into existence within time. This eternal conception of the world contradicts the theological view of creation *ex nihilo* (from nothing), which asserts that the world and all created beings had a definite beginning in time.

- For Suhrawardi, motion is not accidental but is inherent

Suhrawardi offers an alternative approach, associating motion with light, which he sees as the existential core of the world. For him, motion is essential to the nature of light and life, and thus, to the natural world. Motion is not accidental but inherent in the very nature of the world as illuminated by light. This metaphysical view positions motion as an essential category of being, not requiring an external cause but emanating from the nature of light itself.

- Sadra integrates motion directly into the nature of bodily substances

Although Suhrawardi and Mulla Sadra share a rejection of the accidentality of motion, their views differ fundamentally. While Suhrawardi treats motion as an independent, essential category, Sadra integrates motion directly into the nature of bodily substances. For Sadra, motion is not an external quality that must be explained through separate causes. It is inherent in the very substance of bodies, which are in a continuous state of motion as part of their being.

Mulla Sadra addresses the problem of how the moving

- Sadra argues motion as an intrinsic feature

relates to the unmoving by positing his doctrine of *substantial motion*. Unlike Ibn Sina and Suhrawardi, Sadra argues that motion is not external to substances but is an intrinsic feature of their very nature. For Sadra, the physical world is in constant motion, with substances not only moving but continuously being renewed. This view challenges the static notions of substance held by previous philosophers, asserting that bodily substances are in a constant flow of becoming.

- The world is being renewed and created continuously

Substantial motion implies that time is not a separate dimension in which motion occurs, but rather an essential attribute of bodily substances. Thus, every moment in the world is a moment of continual origination. According to Sadra, the world is being renewed continuously, with each particle of matter in perpetual motion, contributing to the temporal origination of the world at every instant. The world is not created once and for all; it is in a state of perpetual creation, where every new form succeeds the previous one in a continuous flow of being.

- He solved the problem related to the creation of the world

Sadra's position provides a resolution to the long-standing conflict between theology and philosophy regarding the creation of the world. While theologians affirm the temporal creation of the world, Sadra asserts that the world's temporal origination is not a one-time event but an ongoing process. Through the doctrine of substantial motion, Sadra reconciles the eternal and the temporal by showing that motion, which is essential to bodily substances, accounts for the world's continuous renewal. For Sadra, the immaterial cause of nature is not itself subject to time or change but causes the temporal world through simple causation. The world itself is essentially temporal, with each substance moving along its timeline, perpetually changing and becoming. This ongoing process of motion, or "dressing after dressing," ensures that the world is continuously recreated in every moment.

- Sadra tried to integrate Philosophy and Theology

To be precise, Mulla Sadra's theory of substantial motion offers a profound solution to the problem of the relation between the eternal and the origin. By understanding motion as intrinsic to the very being of bodily substances, Sadra provides a metaphysical framework that allows for the temporal origination of the world without compromising its unity with the eternal, immaterial cause. This approach bridges the gap between philosophy and theology, asserting that the world is continually created in time while remaining rooted in a timeless, immaterial cause. Through substantial motion, Sadra redefines both the nature of existence and the relation between



the temporal and the eternal.

2.3.8 The soul

- Soul is a historical debate topic in Philosophy

Philosophers prior to Mulla Sadra, from the ancient Greeks to Christian and Muslim thinkers, widely agreed on the immaterial nature of the soul, despite differing views on its relationship with the body. Plato and his followers, including philosophers and mystics, conceived the soul as an entity distinct from the body, existing eternally in a divine realm before entering the material world, where it undergoes a temporary imprisonment. Aristotle and his Peripatetic followers, in contrast, viewed the soul as the “primary perfection” (*kamal al-awwal*) or the form of the body, thus intimately tied to it with no inherent desire to escape from it. Regarding the origin of the soul, Plato held that individual souls are eternal, whereas Aristotle and his followers, including Farabi and Ibn Sina, believed that individual souls originate. Despite these differing views, all philosophers from Aristotle to Ibn Sina shared the belief that the soul’s origin is immaterial, a stance that continued to influence modern thinkers. Descartes, with his famous dualism, introduced a sharp ontological and epistemological division between the soul and the body, which set the stage for much of modern philosophy.

- Sadra opposed Platonic and Aristotelian concepts of the Soul

Mulla Sadra, however, presented a distinctive view of the soul, one that stood apart from both Platonic dualism and Aristotelian integration. Although a contemporary of Descartes, Mulla Sadra was unaware of Descartes’ ideas, but emphasized a close and dynamic relationship between the soul and the body, aligning with past philosophical traditions while also seeking a synthesis of opposing views. At the heart of Sadra’s philosophy is the doctrine of substantial motion (*al-harakah al-jawhariyyah*), which he applied to the soul. According to Sadra, the individual human soul is an evolving entity that begins in a material state, bound to the body, but possesses the potential to transcend its bodily limitations and enter the purely immaterial realm of the Intellects. Sadra’s philosophy thus portrays the soul as physical in its origins and function but spiritual in its subsistence and intellectual capacity (*al-Shawahid al-rububiyya*, 328).

The soul’s journey, according to Sadra, occurs in stages, each representing a different existential grade: the vegetative, the animal, and the rational. These grades are not separate parts but rather different stages through which the soul passes. As Sadra states, “the rational human soul is actualized when

- Different stages of the Soul's journey

we reach maturity (around the age of forty), but this is not the end. At this stage we are actually human but potentially angels or devils, or any other kinds of beings" (*al-Shawahid al-rububiyya*). This gradual development underscores that the soul is not fixed but is continuously evolving through different existential levels. At each stage, the soul is shaped by its experiences, with the rational soul representing the highest level of human potential. However, not all souls reach this celestial potential. As Sadra emphasizes, "not every soul can reach the heavenly rank of the Intellects."

- Soul's transformation involves a profound metaphysical change

Sadra's account suggests that identity is not static but evolves as the soul progresses through different grades of existence. This evolution is not merely accidental but essential, as the soul's very substance changes over time. In this regard, Sadra refers to the Qur'anic concept of "new dressing in a new creation" (15:15), implying that the soul's transformation involves a profound metaphysical change that maintains its identity even as it shifts from one grade to another. While Sadra's account allows for the soul's spiritual ascent, he also considers the soul's potential for degradation if it fails to undergo the necessary spiritual transformations.

- Sadra does not entirely reject the possibility of the soul's spiritual origin

Regarding the soul's pre-material existence, Sadra's stance is complex. While he does not entirely reject the possibility of the soul's spiritual origin, he insists that the soul's ultimate goal is to reunite with the Active Intellect in the afterlife. The soul's journey reflects a cosmic cycle, where human beings, as microcosms, embody the hierarchical levels of creation. Human beings are capable of moving from the material to the spiritual, completing the descent from the divine to the material world and the subsequent ascent back to the divine. As Sadra asserts, "human beings are the best candidate for the realization of this knowledge" (*al-Shawahid al-rububiyya*). The soul's universal state is included in Divine Knowledge before its material existence, but its particular identity only emerges once it enters the body.

- Eschatology is important in Islamic and Christian traditions

2.3.9 Resurrection

Eschatology, the study of the afterlife, holds a prominent place in both Christian and Muslim theological and philosophical discourse. In Islamic philosophy, Mulla Sadra's treatment of resurrection is both expansive and original. Sadra's eschatology is noteworthy for two reasons: it resolves long-standing tensions between philosophy and theology regarding the resurrection, and it is entirely rooted in his doctrine of



substantial motion, which itself derives from the foundational principles of existential gradation and the evolving nature of being.

- Debate on bodily resurrection

One of the key issues in Islamic eschatology has been the possibility of bodily resurrection (*ma'ad-ijismani*). Philosophers such as Ibn Sina dismissed the notion of physical resurrection, arguing that it could not be rationally explained. Instead, Ibn Sina accepted it on the basis of faith alone. This position led Ghazzali to criticize Ibn Sina, accusing him of heresy for relying on faith rather than reason to accept such a fundamental tenet of Islamic belief. Mulla Sadra, however, offers a philosophical explanation for bodily resurrection, grounding it in his doctrine of substantial motion. As Sadra explains, the substantial evolution of the soul provides the foundation for the resurrection of the body, which is necessary for receiving the rewards and punishments described in religious texts.

- Three fundamental ideas to understand Sadra's concept of eschatology

To understand Mulla Sadra's eschatology, it is crucial to grasp three foundational concepts in his philosophy: the foundation of being, the gradation of being, and substantial motion. These principles allow Sadra to argue that the soul's evolution not only ensures its ultimate ascent to the intellectual realm but also enables the creation of a new, imaginal body after death. In Sadra's view, this new body is essential for the soul's experience of the afterlife, as it provides the medium for the soul to encounter its rewards or punishments. As Sadra writes, "the soul gains new forms and moves from one grade to the other." This substantial motion is what enables the soul to create an imaginary body after the death of the physical body (*al-Shawahid al-rububiyya*).

- Sadra and his imaginal body

Sadra's concept of the imaginal body is influenced by earlier thinkers like Suhrawardi and Ibn 'Arabi, who spoke of a world between the physical and the intellectual, the Imaginal World (*al-alam al-mithal*). This world is populated by imaginal bodies, which, while not material, possess the formal qualities of physical bodies. These imaginary bodies are capable of sensation and experience, much like the physical body, but they exist in a spiritual realm that transcends the physical. Sadra explains that the imaginal body is a reflection of the soul's spiritual state, and each soul's afterlife body is uniquely suited to its moral and spiritual condition. "The imaginal body is the reflection of our good and evil deeds in the world" (*al-Mazahir al-ilahiyya*).

- Sadra denied the reincarnation

- Hierarchy of souls in the afterlife

Sadra rejects the notion of reincarnation, emphasizing that the imaginary bodies in the afterlife are not interchangeable, as in traditional reincarnation. Instead, the imaginal body is a direct projection of the soul's character. For example, an extremely greedy soul may appear in the form of a pig, but this form is not the result of reincarnation; rather, it is a reflection of that soul's earthly moral condition. This concept challenges the popular interpretation of ancient philosophers like Pythagoras, Socrates, and Plato as advocates of reincarnation, which Sadra argues is a misunderstanding (*al-Mazahir al-ilahiyya*).

Mulla Sadra's eschatology further explores the hierarchical nature of the afterlife. The noblest souls, those who have led an intellectual life, will ascend directly to the realm of the Intellects, experiencing union with the divine. Lesser souls, who were hindered by material desires or failed to reach intellectual perfection, may undergo purgatory in the imaginal realm or hell, where they receive punishments for their failings. These souls may be granted the opportunity for spiritual transformation, ascending to higher realms through Divine Grace or intercession from angels or holy figures. However, for those who entirely lose their inclination towards intellectual ascent, the soul may become trapped at the lower levels of existence, potentially experiencing an eternal state akin to that of animals. The ultimate goal for every soul is reunion with the divine, but only a select few will achieve this final union in the heavenly realm promised in scriptures.

Summarized Overview

Mulla Sadra and Shihab al-Din Yahya al-Suhrawardi were pioneering Islamic philosophers who revolutionized metaphysical thought through their distinct yet profound contributions. Mulla Sadra, known for his dynamic concept of being and substantial motion, redefined existence as foundational and evolving, integrating time and change into the essence of reality while unifying metaphysics, theology, and mysticism. His epistemology emphasized the unity of the knower and the known, asserting that true knowledge arises from direct ontological presence. Suhrawardi, founder of the Illuminationist (Ishraqi) school, centered his philosophy on light as the essence of existence and knowledge, blending ancient Persian, Greek, and Islamic traditions. Through concepts like the imaginal realm (*khayal*), he bridged sensory and abstract realities, promoting spiritual insight and experiential wisdom. Together, their works challenged earlier Peripatetic thought, offering transformative frameworks that interwove metaphysics, mysticism, and the nature of reality.



Self-Assessment

1. What is the significance of *Hikmat al-Ishraq* (Aspiration of Illumination) in Suhrawardi's philosophy? How does it relate to the process of spiritual transformation?
2. How does Suhrawardi conceptualize the role of *khayal* (imagination) in accessing metaphysical truths?
3. How does Mulla Sadra reconcile the unity of being (*wahdat al-wujud*) with theological doctrines of Divine Transcendence, particularly in the context of his view on causality?
4. In Mulla Sadra's epistemological framework, what role does the concept of 'presence' (*hudūr*) play in establishing the unity of the knower and the known, and how does it contrast with Ibn Sina's dualistic view of knowledge?

Assignments

1. Explore the role of *khayal* (imagination) in Suhrawardi's philosophy
2. Examine the philosophical critiques Suhrawardi made against Peripatetic philosophy
3. Analyze Mulla Sadra's concept of "substantial motion" (*al-haraka al-jawhariyya*) and its implications for understanding change and transformation in the natural world.
4. Discuss the relationship between Mulla Sadra's theory of the primacy of existence (*asalat al-wujud*) and his theological understanding of God.

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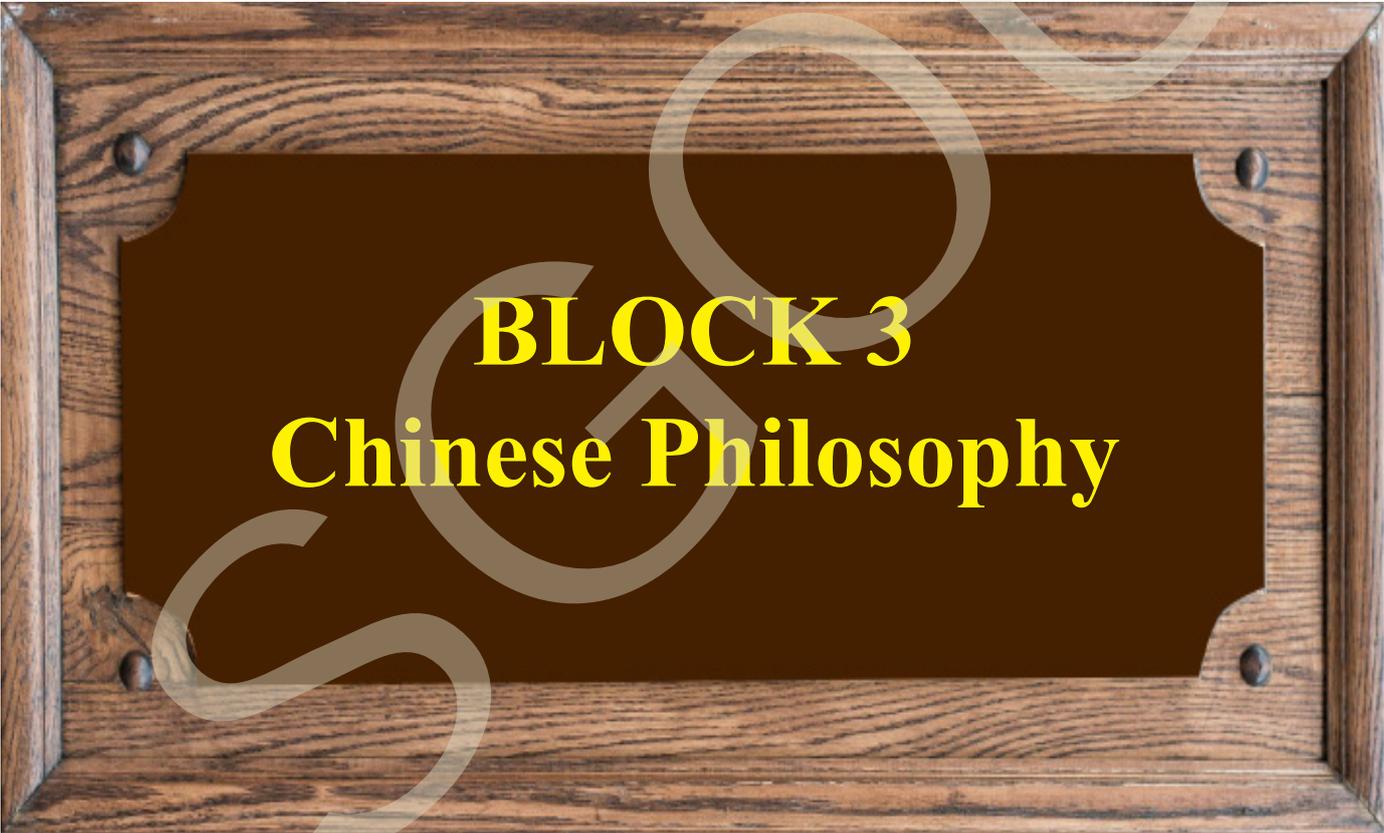
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Space for Learner Engagement for Objective Questions

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SGOU



BLOCK 3
Chinese Philosophy

UNIT 1

Introduction to Chinese Philosophy

Learning Outcomes

After the completion of this unit, the learner will be able to:

- understand the background of Chinese philosophy
- get exposed to the basic characteristics of Chinese philosophy
- examine the influence of Chinese thought on East Asia
- understand how Chinese philosophy shapes Chinese identity
- get introduced to the major traditions of Chinese philosophy

Background

Philosophical thought in China first emerged during the Spring and Autumn period, spanning the eighth to the fifth century BCE. Confucius's historical text, the *Spring and Autumn Annals*, gives the era its name. The emergence of an intricate feudal structure and a degree of political stability in China marked this era. Notwithstanding progress in governance, agriculture, art, and culture, the oldest Chinese writings indicate a preoccupation with the supernatural and emphasise the perceived ties between humanity and the spiritual domain. Esteemed kings managed both the matters of humanity and the spiritual elements that affect human affairs. Similarly, they revered the practices of divination, astrology, and magic as evidence of certain individuals' capacity to channel spiritual energies for the betterment of humanity.

During the Spring and Autumn period and the Warring States period, significant intellectual and cultural advancements marked the emergence of Chinese philosophy. While a significant portion of Chinese philosophy originated during the Warring States period (475–221 BCE), aspects of it have been present for several millennia. The *I Ching* (the Book of Changes), an ancient divination book that dates back to at least 672 BCE, includes certain elements. The Han dynasty's Records of the Grand Historian by Sima Tan retrospectively examined the Warring States period. They categorised the philosophers into the principal schools of thought: Confucianism, Legalism, and

Taoism, alongside lesser-known philosophies such as Agriculturalism, Mohism, Chinese Naturalism, and the Logicians. Confucianism remains a guiding principle of social conduct in contemporary society. During the Spring and Autumn period and the Warring States period, significant intellectual and cultural advancements marked the emergence of Chinese philosophy. While a significant portion of Chinese philosophy originated during the Warring States period (475–221 BCE), aspects of it have been present for several millennia. The *I Ching* (the Book of Changes), an ancient divination book that dates back to at least 672 BCE, includes certain elements. The Han dynasty's Records of the Grand Historian by Sima Tan retrospectively examined the Warring States period. They categorised the philosophers into the principal schools of thought: Confucianism, Legalism, and Taoism, alongside lesser-known philosophies such as Agriculturalism, Mohism, Chinese Naturalism, and the Logicians. Confucianism remains a guiding principle of social conduct in contemporary society.

Keywords

Confucianism, Mohism, School of Names, Legalism, Yin- Yang, Taoism

Discussion

- Chinese philosophy emphasises harmony in life

Chinese philosophy covers a wide range of thought, including ethics, politics, cosmology, and human nature. Despite this diversity, a common aim of Chinese philosophical traditions is to understand the relationship between human beings, society, and the universe. At its core, Chinese philosophy is concerned with how to live a good life, how to achieve personal harmony, and how to promote social stability and peace. Philosophers in China have generally maintained an optimistic view of human nature, believing that individuals are capable of cultivating virtue through self-discipline, learning, and moral participation in society. This stands in contrast to certain strands of Western philosophy, which often emphasise the limitations or flaws of human nature.

- Search for wisdom, a good life, and governance

3.1.1 Chinese Traditions and Thinkers

In ancient China, people were deeply interested in understanding how to live a good life, how to govern society fairly, and how to become wise and kind individuals. It was common for scholars, rulers, and even ordinary people to take part in conversations and debates about such important matters. This period in Chinese history became a time of great learning and discussion. As China grew and faced many political and social problems, people began to search for better



ways to manage society. They explored questions like: What makes a good ruler? How should a government function? What responsibilities do individuals have toward their families and communities? These discussions led to the emergence of various schools of thought. These schools had different ideas about life, government, justice, and human nature. Sometimes, these schools disagreed with one another and debated their views openly. This lively period of thinking and debate is known as the 'Hundred Schools of Thought.'

- Major schools shaped Chinese philosophy

The primary schools of Chinese philosophy include Confucianism, Mohism, the School of Names, Legalism, the Yin-Yang school, and Taoism (also spelt Daoism). Each of these traditions presents a distinct understanding of the good life, the ideal structure of society, and the role of the individual within it. While their approaches differ, they all reflect a shared concern for social harmony, personal development, and the balance between individuals and their communities. Common values such as solidarity, moral character, interpersonal relationships, and cosmic balance are central to these schools of thought. In the following sections, we will briefly examine the core ideas of each of these schools to understand their contributions to Chinese philosophical thought.

- Confucianism fosters moral values and good conduct

Confucianism: Confucianism is one of the most important schools of thought in Chinese philosophy. It is based on the teachings of Confucius, a philosopher who lived between 551 and 479 BCE. Confucius did not have immediate success, and his ideas were not widely accepted during his lifetime. However, he gathered a group of loyal students who helped to spread his teachings. Confucius believed that society could become peaceful and just if people followed moral values, respected their elders, and carried out their duties with honesty and care. Two important ideas in Confucianism are *ren* (kindness or human-heartedness) and *li* (proper behaviour or social rituals). *Ren* means caring for others and showing compassion. *Li* means following good manners, traditions, and respectful behaviour in daily life. Although other schools like Daoism and Legalism were more influential for a time, Confucianism gradually became more important, especially during the Han dynasty. At first, the founder of the Han dynasty, Liu Bang, did not respect Confucian ideas. But his advisor, Lu Jia, convinced him to consider Confucian teachings useful for ruling the empire wisely. Later, under Emperor Wu of the Han, Confucianism became the official state ideology. The emperor ordered that only Confucian scholars be given government positions and

that students study important Confucian texts like the Book of Odes, Book of History, Book of Rites, Book of Changes, and Spring and Autumn Annals. A government school called the Imperial Academy was set up in 124 BCE. Students who passed examinations based on the Confucian classics were appointed to official posts. From this time onwards, Confucianism shaped not only politics and administration but also education, literature, and art in China.

- Mohism supported equality and universal love

Mohism: The Mohist school of thought in ancient China began among people who were skilled in military arts. At first, these fighters came from the upper classes, but over time, especially by the late Chou period, many of them came from the lower classes and were known as ‘knights.’ Mohist philosophy developed from this group and reflected the values and ethics of ordinary people, especially soldiers. One of its main ideas was universal love, the belief that everyone should care for all people equally. The Mohists believed in equality, followed utilitarian principles, lived a simple lifestyle, and had faith in spirits. Unlike the Confucianists, they rejected the importance of rituals and music, which they saw as unnecessary. While many soldiers at the time fought for money, the Mohists were different. They opposed all kinds of war, except when it was for self-defence. The Mohist text called Mozi even has nine chapters explaining how to protect cities during attacks, showing their interest in practical sciences like mathematics and physics. However, the Mohists eventually disappeared as an organised school, because their lower-class ethics were not accepted by the ruling class as much as the teachings of Confucianism.

- School of Names explored language and reality

School of Names: The School of Names was an important group in early Chinese philosophy, sometimes compared to the sophists or logicians of the West. However, it is best to understand it as a school that studied the relationship between *ming* (names or words) and *shih* (actual things or realities). For example, in the sentence ‘Socrates is a man,’ the word *Socrates* refers to a real person (*shih*), and *man* is a name or category (*ming*). The thinkers of this school focused on how words relate to the world and raised many puzzling questions about language and logic. Two key figures of this school were Hui Shih and Kung-sun Lung. Hui Shih believed that real things are always changing and therefore relative, while Kung-sun Lung believed that names or ideas are fixed and absolute. These philosophers often debated legal and philosophical ideas in creative ways, sometimes even confusing others with their



clever use of language. Though their ideas were sometimes seen as hard to follow, their work opened up important discussions about truth, logic, and how language shapes our understanding of the world.

- Legalism prioritises law over moral virtue

Legalism: Legalism is an important school of thought in ancient Chinese philosophy. It developed during a time of political disorder and aimed to create a well-ordered society through strong laws and strict punishments. Legalists believed that people are not naturally good and need to be controlled by rules. Han Fei Tzu, a major Legalist thinker, taught that the ruler should not directly involve himself in governing. Instead, he should create clear laws and systems of reward and punishment, and then let others carry out the work. This idea is called ‘non-action’ or *wu-wei*, where the ruler does nothing himself, but everything is still done. Legalism held that rewards should be given to those who followed the law and punishments should be given to those who broke it, without showing personal bias. This made everyone equal before the law, whether noble or commoner. Legalism was different from Confucianism, which focused on values like kindness and moral training. Legalists believed only strict rules could keep order in society. Though Legalism helped build a strong state, it was often seen as too harsh, and Confucian ideas became more accepted in later Chinese history.

- Wood, fire, earth, metal, water harmonise

Yin-Yang: The Yin-yang tradition, focused on cosmogony and cosmology, significantly influenced early Confucianism and Taoism. We cannot determine the exact dates of its origin as we have not identified any specific philosophers associated with this school. This school seemingly originated in the late Shang or early Zhou period and remained significant well beyond the era of Confucius. The origin of the *yin-yang* hypothesis stems from an inherent curiosity regarding the mechanisms of nature. For an agrarian society intimately connected to nature and attuned to its rhythms, it is very natural to contemplate the principles or ‘inner workings’ of natural phenomena. This early curiosity with nature encompassed two implicit queries. Regarding the universe’s structure, ‘What is the design of the universe?’ Conversely, the inquiry pertained to the origin of the universe: What is the source of the universe, and what processes led to its beginning? These questions were explored through the concepts of the Five Agencies and yin-yang. The Five Agencies theory identified five fundamental forces of nature, such as wood, fire, metal, water, and earth. These elements are always interacting with each other in cycles of creation and destruction.

- *Yin-yang* represents the interconnected harmony of opposing forces

The *yin-yang* theory posits that the cosmos originated from the interplay between the opposing forces of yin and yang. The universe exists because of the conflicts between the universal forces of non-being, or *yin*, and being, or *yang*. All experiences simultaneously possess and lack existence; they emerge into being and cease to exist. However, this merely signifies that the opposing energies of yin and yang are exerting their influence. The evolving world, defined by nature, requires both being and non-being. Without those, there is no emergence or cessation. Thus, *yin*, representing the negative, and *yang*, symbolising the positive, are essential as sources of nature. The Five Agencies theory and the *yin-yang* theory significantly contributed to the emergence of Neo-Confucianism. Subsequent thinkers provided metaphysical interpretations of these theories, integrating them into a comprehensive thought of existence.

- Taoism seeks living harmony with natural order

Taoism: Taoism (Daoism) is an important tradition in Chinese philosophy, mainly based on a text called the *Daodejing*, which is linked to the figure Laozi and likely written around 250 BCE or earlier. The central idea in Taoism is *Tao* (also spelt *Dao*), meaning ‘path’ or ‘way,’ but it refers to much more than a physical road. *Tao* represents the natural order of the universe and is often seen as the ultimate reality behind all things. This understanding is called the metaphysical interpretation, which views *Tao* as a force beyond words that shapes everything in existence. This view was made popular by the thinker Wang Bi and influenced many later scholars. Another way to understand *Tao* is through an ethical lens, where *Tao* provides guidance for living a good life in harmony with nature. In this view, the *Daodejing* teaches values like non-action, which means acting in a way that flows with the natural world instead of going against it. These two interpretations, such as metaphysical and ethical, work together to help us understand both the nature of reality and the way we should live.

- Inner sage and the outer king

3.1.2 Characteristics of Chinese Philosophy

Self-Cultivation: One of the main ideas in Chinese philosophy is *xiushen*, which means self-cultivation or improving one’s own character. While giving importance to self-cultivation, Chinese philosophy also aimed to create a balance between becoming an inner sage and an outer king. This means a person should first develop moral values and inner wisdom (inner sage), and then use that personal growth to serve society through responsible actions and good leadership (outer king). A person who achieves this balance is known as

a sage-king, someone who is both wise and capable of leading others. This shows that Chinese philosophy connects personal ethics with social responsibility.

- Self-cultivation links virtue, wisdom, and harmony

According to the Confucians, virtue cultivation and education are parts of the self-cultivation process. A human of virtue, in their opinion, is someone capable of lawfully leading the populace. The Confucian belief in the intertwining of virtue and intelligence is a powerful concept. However, intellectuals from other schools also discussed this issue. The Mozi, the foundational text of Mohism, contains a chapter titled Xiushen (Cultivating the Self), which emphasises rigorous moral discipline and practical self-improvement. This chapter reflects Mohism's belief that personal cultivation lays the foundation for a just society, where individuals act with discipline and concern for collective welfare. Philosophical Taoism also prioritises the tradition of self-cultivation. It focuses on flowing with nature (the *Dao*) and influencing the world without force.

- Chinese thought prioritises interdependence over individualism

Relationships and Contexts: In Chinese philosophy, a person is not seen as separate from the world around them. Instead, the self is shaped by its surroundings, such as history, culture, society, politics, and especially relationships with other people. It is uncommon in Chinese thought to expect someone to act as a completely neutral or isolated moral decision-maker. Philosophers like the Mohists and Confucians focused on the importance of social roles and responsibilities within the family and society. A key idea in Chinese philosophy is that a good life depends on living in a stable society where people have respectful and balanced relationships with one another. It sees the self as closely connected to others and shaped by the social and natural environment. Some may think that Chinese philosophy focuses more on the group than the individual, but this is not fully accurate. It is better to say that it emphasises interdependence - how people and things rely on each other. Chinese thinkers often discussed how personal and group interests can work together. They did not support living only for oneself or only for others. This idea applies to human relationships, our connection with nature, and our place in society. While the environment affects people, people can also change their environment. So, Chinese philosophy does not say the group is more important than the individual or the other way around. It teaches balance between both.

Harmony: For China's early intellectuals, social harmony and stability were major issues. The intellectuals of the 'Hundred

- Harmony is a core concept in Chinese philosophy

Schools' discussed in extensive detail the organisations, procedures, and practices that could support a more secure and tranquil way of life. The Confucian ideal society views positive relationships as essential to societal stability. A benevolent and wise king, known as *ren*, governed the family as a microcosm of the state, with institutions providing guidance. However, the Mohists do not share the Confucian worldview. They were oriental philosophers who believed that harmony could best be achieved through clear and fair rules, called *fa* (standards), that applied to everyone equally. Preserving the ruler's authority was the legalists' ultimate goal. Taoist philosophers stood apart from these schools by rejecting the idea that everyone must follow the same path to achieve order. They believed that harmony should come from allowing differences to exist naturally, not by forcing everyone to be the same. Texts like the *Zhuangzi* warn against false unity that comes from imposing one way of life on all. In general, Chinese philosophy views people, their relationships, and their place in society and nature as all connected parts of a larger whole.

- Change and harmony are interconnected in Chinese thought

Change: In early Chinese philosophy, change is viewed as a natural and constant part of life, shaped by the idea of mutual influence, where everything is connected and affects one another. Instead of seeing change as something to fear, ancient Chinese thinkers believed that people are both affected by and able to influence the world around them. They perceive the effects of change positively and consider it a means to attain harmony. Harmony, in this view, is not about everyone being the same, but about finding balance in differences, like different instruments in an orchestra creating beautiful music together. Here, the goal is not to resist change but to understand it, flow with it, and use it to create positive outcomes.

3.1.3 The *Yijing's* (The Book of Changes) Philosophy

As the title suggests, the Book of Changes addresses the shifting circumstances in life. It teaches how to adjust to the shifting circumstances in one's life. In this sense, it reflects Chinese philosophy's emphasis on application. It is necessary to discuss some key concepts from the *Yijing*. This is primarily because these ideas are present in a wider variety of Chinese philosophical systems, which distinguishes Chinese philosophy from other philosophical systems. It will be easier to comprehend the moral norms ingrained in Chinese thought if one is aware of these ideas.



1. The primacy of observation: The *Yijing* (Book of Changes) emphasises the importance of careful observation to understand the patterns of change in the world. By studying natural and human phenomena such as the cycles of seasons, social dynamics, and personal conduct, one can discern the underlying relationships, movements, and transformations that shape reality.
2. A holistic, all-encompassing perspective: The *Yijing* (Book of Changes) exemplifies the fundamental Chinese philosophical worldview of comprehensive holism. This perspective understands all phenomena - cosmic patterns, earthly processes, and human affairs - as interconnected within a dynamic, organic whole. Rather than positing separate, transcendent realms, this worldview sees all existence as participating in continuous transformation while maintaining fundamental unity.
3. A dialectical and complementary approach to dualisms: The *Yijing* incorporates complementary opposites such as firm and soft, motion and rest, and lofty and low into its conceptual framework. The explanatory framework of change includes these two principles.
4. Correlative thinking and resonance: The *Yijing* demonstrates the fundamental Chinese philosophical concept of correlative thinking, which perceives connections between all domains of existence.
5. An interpretative approach: Chinese philosophy tends to avoid rigid, universal rules or absolute truths that apply uniformly to all people in all situations. Instead, it favours a more flexible, context-sensitive approach, one that adapts to circumstances while still upholding core ethical values. This does not mean Chinese thought is vague or relativistic; rather, it emphasises practical wisdom over fixed doctrines.
6. Timeliness and practical wisdom: The *Yijing* teaches that wisdom involves recognising and adapting to the right timing in all actions - what works in one situation may fail in another. Ancient Chinese thinkers saw that societies, like seasons, go through natural cycles of change. They believed

good governance and ethical behaviour must flexibly respond to these changes rather than follow rigid rules. The Yijing became a guide for this practical wisdom, offering strategies to navigate shifting circumstances successfully while maintaining moral principles.

3.1.4 The Method of Chinese Philosophy

Chinese philosophy offers a distinctive approach to understanding life, morality, and the cosmos, one that differs significantly from Western philosophical traditions. Rather than relying solely on abstract logic or theoretical systems, Chinese thinkers emphasised practical wisdom, holistic thinking, and the cultivation of virtuous living. A unique feature of Chinese philosophy is its use of imagery, examples, stories, metaphors, and analogies to explain difficult ideas. These methods help readers understand the meaning and encourage them to think deeply. Reading Chinese philosophical texts is not just about getting answers but about personal reflection and insight. Chinese philosophy teaches that deep thinking helps people grow and understand life better, rather than simply giving final answers or fixed truths.

- Chinese thinkers' emphasis on critical and introspective thinking

Chinese philosophers often built their ideas by looking back at ancient wisdom and historical examples. Confucius and his followers, for instance, used five important old books (called the Five Classics) as their guide. These texts contained stories about wise kings and virtuous leaders from the past, which Confucians studied to learn how to live good lives. This approach respected tradition but was not just about copying the past blindly - thinkers would adapt old lessons to fit new situations. It created a strong connection between generations, as each new era could learn from what came before while still making the ideas relevant to their own time.

- Importance of ancient wisdom in Chinese philosophy

Chinese philosophers often taught important lessons by using simple comparisons and stories. For instance, Confucius made good leadership easy to understand by saying 'a ruler should be like a good parent,' caring for people as parents care for children. This method of using everyday examples (analogies), word pictures (metaphors), and teaching stories (parables) helped to make deep philosophical ideas clear and memorable. Instead of giving strict dictionary-like definitions, Chinese thinkers wanted people to grasp ideas naturally. This approach makes philosophy more about developing wisdom than winning arguments. It is also important to

- Chinese philosophy teaches wisdom through stories and dialogue



note that many important Chinese philosophy books, like the *Mencius* and *Zhuangzi*, are written as conversations. This style makes philosophy feel like a lively discussion rather than a set of strict rules. It does three important things: (1) It shows that wisdom develops through back-and-forth exchange, (2) It encourages readers to think along with the text as if they are part of the conversation, and (3) It demonstrates that understanding grows when we consider different perspectives.

- Chinese philosophy focuses on wisdom for everyday life

Chinese philosophy stands out for its deep commitment to real-world wisdom. The thinkers in this tradition focused on how ideas could transform everyday life. Their teachings were not meant to stay in books; they were guides for becoming better individuals, creating harmonious relationships, and governing justly. Whether through Confucian self-cultivation, Daoist naturalness, or Mohist universal care, the goal was always action. How should we live? How can we improve society? This practical spirit makes Chinese philosophy timeless.

Summarized Overview

Chinese philosophy is an ancient philosophical tradition that places particular emphasis on morality, harmony, and the relationship between human beings and the natural world. Characteristically, they focus on how individuals can cultivate virtue, maintain balance, and live according to social and natural orders. Chinese philosophy fundamentally emphasises the significance of selfhood, morality, and the interconnectedness of all things. Far from being an abstract theory, it provides practical guidance to achieve personal and social harmony. The main ideas of Chinese philosophy have had an influence not only on Chinese culture but also on broader East Asian thought, providing an enduring vision of governance, ethics, and human nature.

Self-Assessment

1. Examine the major traditions and concepts of Chinese philosophy.
2. What are the main characteristics of Chinese philosophy?
3. Discuss the concept of change in Chinese philosophy.
4. What is the meaning of harmony in Chinese philosophy?
5. How does Chinese philosophy view the relationship between humans and society?

Assignments

1. Evaluate how Chinese philosophy differentiated itself from other East Asian traditions.
2. Examine individual and social harmony in Chinese philosophy.
3. Evaluate the strengths and limitations of Chinese philosophical thought.

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SGOU

UNIT 2

Confucianism

Learning Outcomes

After the completion of this unit, the learner will be able to:

- know Confucius and his role in Chinese philosophy
- know what *ren* (benevolence) means and its importance in relationships
- understand the role of *li* (ritual) in society
- know how Confucians view the role of authority and leadership
- understand the value of education in Confucianism

Background

Confucius established Confucianism, a philosophical system, in the 6th–5th century BCE, and the Chinese populace has adhered to it for almost two millennia. Despite its evolution throughout time, it remains the essence of education, the foundation of values, and the societal code of the Chinese. Its impact has also permeated other nations, notably Korea, Japan, and Vietnam. Confucianism, a Western designation lacking a Chinese equivalent, encompasses a worldview, social ethics, political philosophy, intellectual tradition, and a lifestyle. Occasionally, people perceive Confucianism as both a philosophy and a religion, emphasising ancestor veneration and a profound humanity. East Asians may identify as Shintoists, Taoists, Buddhists, Muslims, or Christians; yet, by declaring their religious affiliations, they rarely abandon their Confucian identity.

Confucianism, often associated with major historical faiths, stands out due to its absence of a rigid religious framework. Nevertheless, Chinese literate culture has disseminated Confucianism to other East Asian nations, significantly influencing spiritual and political life. The theory and practice of Confucianism have profoundly influenced the governance, societal structures, educational systems, and familial relationships in East Asia. While it is an overstatement to define traditional Chinese life and culture solely as Confucian, Confucian ethical principles have for more than 2,000 years inspired and served as a reference point for interpersonal relations among individuals, communities, and nations in the Sinitic realm.



Keywords

Heaven and Earth, World, Human Nature, *Ren- Li-Yi-Zhi-Xin*, Social philosophy, Political philosophy, Education

Discussion

- Confucianism emphasises ethics and veneration

- Virtue and moral values are core principles of Confucianism

- Tian reflects nature, power, and spiritual order

Introduction

Confucianism is an ancient Chinese philosophy and belief system that established the groundwork for much of Chinese culture. Confucius was a philosopher and educator who lived from 551 to 479 B.C.E. His pupils documented his reflections on ethics, virtuous conduct, and moral integrity in several texts, the most significant being the *Lunyu*. Confucianism advocates for ancestor veneration and emphasises human-centered virtues to achieve a harmonious existence. Examples of ancestor worship encompass the establishment of a shrine within one's residence for deceased relatives and the presentation of tributes such as food, beverages, flowers, or incense at burial sites. Confucianism's fundamental principle is not to impose upon others what you would not wish to impose upon yourself.

Confucian doctrines became intricately linked to the rites and beliefs of Buddhism and Taoism. The principles of these three religious ideologies collectively became known as 'the three teachings.' Confucianism is frequently characterised as a framework of ethical principles, moral values, and social philosophy. It has significantly influenced both Chinese society and East Asian civilizations as a whole. Although Confucianism is fundamentally a moral and philosophical system, it has profoundly impacted cultural, social, and political frameworks across diverse historical situations.

3.2.1 Heaven

In Confucian philosophy, the idea of *Heaven* (called *tian* in Chinese) is very important. It represents a power that is greater than humans and goes beyond ordinary life. Confucians believe that their teachings come from observing the patterns of nature and the laws of both Heaven and Earth. Ancient thinkers, especially those connected with the *Book of Changes*, believed that by looking at the sky and the Earth, they could understand how the world works, why events happen, and the natural cycle of life and death. However, even though Heaven is central to

Confucian thought, there is no single meaning or definition for it. Different scholars have tried to explain what *tian* means. Some say it refers to the sky as a divine force, some see it as the spirits of past rulers, while others think it is linked to the idea of death and spiritual transformation. Because of these many views, the word *Heaven* is often used as a translation for *tian*, but it does not fully capture the idea.

- Heaven guides nature, morality, and human life

One famous Confucian thinker, Cheng Yi, said that Heaven can be understood in many ways. It can mean the natural order of the world, a divine ruler, spiritual forces, or even the inner nature of things. In Confucianism, *Heaven* has at least three major meanings: (1) as the natural world or universe, (2) as a divine being or supreme ruler, and (3) as the source of moral values and ethical guidance. Most importantly, Confucians see *Heaven* as the highest truth or reality that guides human life. It is believed that the *Way of Heaven* shapes how people should live, and living in harmony with Heaven is the key to a good and meaningful life.

- Heaven grants and withdraws the right to rule

Confucius and later Confucian thinkers saw Heaven as the final authority on human actions. They viewed that following the *way of Heaven* is the right path for human beings. If a ruler or a government acted wrongly, Heaven could take away its right to rule. This idea is known as the *Mandate of Heaven*. Natural disasters or social problems were taken as signs that Heaven was unhappy with the ruler.

- *Tao* is the ultimate source of energy

3.2.2 World

Confucius believed in the *Tao*, also known as the Great Ultimate, which is the natural force behind everything in the universe. *Tao* is not a God or a person, but a natural force that gives rise to everything in the universe. It is the origin and source of all life, movement, energy, and knowledge. Everything that exists and happens in the world comes from *Tao*. Confucianism teaches that *Tao* is the foundation of nature and is always present, though it cannot be seen or touched. From *Tao* comes the process of change, called *I* (*Yi*). This process leads to the appearance of two basic but opposite forces called *Yang* and *Yin*. *Yang* represents active, strong, and bright energy like the sun, day, or heat. *Yin*, on the other hand, represents passive, gentle, and dark energy like the moon, night, or cold. Even though they are opposite, they are not enemies. *Yin* and *Yang* work together in harmony to create balance in nature. For example, light and darkness, motion and stillness, or male and female can be understood through the interaction of *Yin* and



Yang. Confucians also talk about Tai Chi, which is the perfect balance of Yin and Yang. Tai Chi comes from Wu Chi, which is an empty or formless state before creation. Tao flows through all of this and makes everything possible.

- *Yin* and *yang* represent harmonious universal forces.

Confucianism conceived the system of *yin* and *yang* as a way to explain the universe. Anything is *yin* or *yang* in relation to another object or phenomenon, and we can only describe things holistically. *Yin* and *yang* are the negative and positive principles of universal force. The *yin* and *yang* together constitute the *tao*, the eternal principle of heaven and earth, the origin of all things human and divine. The *tao* produced *chi* (*qi*, energy, or life force). Because of *tao*, change is possible. This change is not random or chaotic. It follows a pattern, a rule, or an order. So even though things in the world keep changing like the seasons, the weather, or human emotions, there is a constant truth behind all change, which is the Tao. This understanding helps Confucians live in harmony with nature and society by accepting change, respecting balance, and seeking unity in diversity.

3.2.3 Human Nature

- Human nature grows through effort and learning

In Confucian philosophy, the idea of human nature is closely connected to the concept of *hsing* (or *xing*), which refers to what is natural or inborn in human beings. Human nature is not seen as something fixed, but as something that has the potential to grow and develop through effort and learning. Confucius, the founder of Confucianism, did not clearly define whether human nature is good or bad. He stated that all human beings are born alike by nature, but they become different through practice (*hsi*) and experience. He left the question of human nature open to interpretation.

Later, the philosopher Mencius developed the classical Confucian view. He argued that human nature is originally good. To support this idea, he introduced the concept of the 'Four Beginnings', which are:

1. A sense of compassion,
2. A sense of shame,
3. A sense of respect,
4. A sense of right and wrong.

According to Mencius, these are natural feelings found in

- Mencius believed human nature is inherently good

all people, and if they are properly nurtured through education and moral training, they grow into the main virtues of humanity. For him, the goal of life is to develop this inner goodness and become a sage, or morally wise person. However, not all Confucians agreed with Mencius. Hsün-tzu, another important thinker, believed that human nature is not good. He thought that people are born with selfish desires and need strong education and discipline to become good. His view influenced the Legalist school, which supported strict laws and rules to control human behaviour. However, this was not accepted by most Confucians. Neo-Confucianist thinkers believed that everyone has the potential for moral goodness, but it must be developed through self-cultivation, learning, and understanding the inner heart-mind (*hsin*). For them, the heart-mind is where the knowledge of what is good already exists.

- All education is moral education

3.2.4 Ethics

In Confucianism, ethics is the most important part of learning. For Confucians, all education is moral education. This means that learning is not just about gaining knowledge, but about becoming a better and more responsible person. Confucian ethics did not focus only on individual behaviour but also on how people should live together in families, communities, and the state. It gave guidance on how rulers should act, how children should treat their parents, and how people should behave in society. It emphasises key ethical principles such as *ren*, *li*, *yi*, *zhi*, and *xin*.

- *Ren* is a compassionate, moral person living through empathy and right action

***Ren*:** *Ren*, meaning ‘humaneness’ or ‘benevolence,’ is the central virtue in Confucianism, embodying the ideal of moral excellence. It represents a deep sense of empathy and care for others, forming the foundation of ethical relationships. Confucius taught that *ren* is not limited to rulers or scholars but can be cultivated by anyone through self-reflection, proper conduct, and sincere concern for others. Unlike rigid moral rules, *ren* is flexible, requiring individuals to apply compassion appropriately in different situations while upholding core values like filial piety and righteousness. In the *Analects*, Confucius defines *ren* through the principle of reciprocity: ‘Do not impose on others what you yourself do not desire’. This virtue is both an inner quality developed through education and moral discipline, and an outward practice expressed in everyday interactions.

The concept of *ren* reflects presuppositions that are characteristic of Confucian philosophy on human nature. Confucians have historically viewed each person not as a



- *Ren* emphasises humaneness, benevolence and love

morally autonomous individual but as a social being whose identity derives from their interaction with and conduct within the broader human community. The person who exhibits *ren* exemplifies the ideal of what a human being should be and encourages others to strive toward it. According to Confucius, *ren* is what makes human beings uniquely human. The English translation of ‘human-heartedness’ suggests that *ren* is what makes us human, that it is a matter of feeling as well as thinking. *Ren* is the foundation of all human relationships. Furthermore, the translation ‘human-heartedness’ reveals the Chinese emphasis on the heart, rather than the mind, as the defining quality of human nature. Therefore, man should possess the heart of a human being. A human heart will lead humans toward the authenticity of their being.

- *Ren* is the core of humanity

However, Confucius did not provide a formal definition of *ren*. In his sayings, Confucius never gives a clear definition of *ren*. This reflects his understanding of humanity, which is highly personal to him. Each human being possesses the essence of humanity, which they must embody in their personal lives. When one attempts to define the way of humanity with an absolute and objective characteristic or feature, it can lead to the distortion of *ren*'s identity. Therefore, *ren* is highly subjective, as it varies from person to person and through personal realisation. However, Confucius provided his followers with a glimpse of the meaning of *ren*, enabling them to recognise its significance in their own lives. Confucius asserts that *ren* represents humans' capacity to love the essence of humanity and that it serves as the ultimate guide for human action. It is a sense of the dignity of human life, a feeling of humanity toward others, and self-esteem for yourself. Accordingly, a true human being never departs from the way of *ren*; one who departs from *ren* is not expressing the fullness of humanity. *Ren* is then necessary towards achieving the fullness of humanity.

- *Ren* transforms the human experience

According to the *Analects*, *ren* will make humans fully human. It is then clear how important *ren* is in Confucian thought. Furthermore, Confucian thought asserts that a life devoid of *ren* would be unworthy of existence. Someone wise or a true scholar would do nothing to injure *ren*. It is *ren* that makes life worth living. Confucius associated a worthwhile life with *ren*'s observance. Remember, *ren* is what truly makes us human: the realisation of our true nature. When one abandons *ren*, he is giving up his fully human life. *Ren* is worth sacrificing one's life for; it is the basis of all human value and worth. It is

ultimately *ren* who makes life worth living.

- *Ren* evolved as a universal love and moral balance

The idea of *ren* also developed further after Confucius. In the Han Dynasty, the thinker Dong Zhongshu explained *ren* as the feeling of love and care for others, and connected it with the idea of righteousness (*yi*), which means doing what is morally right. He said that while *ren* leads one to help others, righteousness (*yi*) helps one to correct one's own mistakes. In the Song Dynasty, Neo-Confucian philosopher Zhu Xi said that *ren* is not just a social value but a natural force that supports life and balance in the world. In modern times, thinkers like Tan Sitong gave a new meaning to *ren* by linking it with the idea of equality and justice for all people, drawing ideas from Buddhism and Western thought. Through all these changes, the core idea of *ren* as a deep concern for others has remained central in Confucianism.

- *Li* shapes behaviour through tradition and practice

***Li*:** In Confucian philosophy, the concept of *li* refers to a system of rituals, customs, rules of proper behaviour, and etiquette. It includes both formal ceremonies and daily practices that have been passed down through generations. Confucius did not define *li* in a fixed or technical way in the *Analects*. Instead, his teachings suggest that the meaning and purpose of ritual must be learned through practice, tradition, and reflection. Later Confucian texts, such as the *Book of Rites*, provide more detailed descriptions of *li*. According to this text, *li* helps people decide how to behave in different relationships, especially in cases where there may be confusion or disagreement. It shows how to act respectfully toward others without being excessive or insincere. Practicing *li* involves self-discipline, sincerity, and thoughtful behaviour. It is not about pleasing others or making a display. Instead, it is about developing good conduct and following the right path.

- *Li* distinguishes human behaviour from animal instincts

Confucianism views ritual as something that makes us truly human. Without *li*, people would act according to their desires, like animals. For example, the *Book of Rites* says that without *li*, even relationships such as father and son could become confused. To prevent this, ancient sages created rules of ritual propriety. These rules helped shape human behaviour and distinguish it from that of animals. Through *li*, individuals learn how to act properly in their roles, whether as a parent, child, ruler, subject, friend, or spouse. These roles are part of what Confucianism calls the 'five key relationships,' which are: ruler and subject, father and son, husband and wife, elder and younger brother, and friend and friend. Confucius believed that a good society is built upon the proper performance of these



relationships through ritual.

- Li shapes character through everyday disciplined actions

From an early age, individuals begin to learn *li* through family practices. They are taught how to honour ancestors, mourn the dead, and celebrate life events such as marriage or adulthood. Rituals also include detailed instructions, such as what to wear during mourning or how to conduct a proper sacrifice. However, *li* is not limited to religious ceremonies. It also guides daily activities such as how we eat, speak, dress, and interact with others. The *Book of Rites* advises not to eat noisily or gulp food. These guidelines might seem minor, but for Confucius, they are part of moral training. Through regular and sincere participation in such rituals, people develop discipline and respect for others. Over time, this leads them to choose what is good and avoid what is wrong, often without being fully aware that they are changing.

- Ritual connects inner sincerity with outer action

Confucius warned against performing rituals mechanically or without feeling. For rituals to have value, they must be done with sincerity. A person should feel genuine respect when bowing to elders, and sincere affection when honouring ancestors. If rituals are done without the right attitude, they lose their meaning. As Confucius says, rituals without reverence or mourning without grief are unacceptable. Therefore, *li* is more than outward behaviour that connects a person's inner emotions with their external actions. Through ritual, people express human qualities such as respect, kindness, and self-control. At the same time, ritual shapes these very qualities, helping individuals become better human beings. Confucius believed that ritual practice is essential for both personal development and social harmony. It helps people live in accordance with moral values, fulfil their roles in society, and maintain order.

- Yi cultivate justice, morality and right conduct

Yi: In Confucian philosophy, *yi* means doing what is right or morally appropriate in a given situation. It is often translated as 'righteousness' or 'rightness.' However, *yi* does not refer to fixed rules or rigid behaviour. It refers to the ability to judge what is suitable or fitting based on the context. For Confucius, *yi* was one of the key qualities of the noble person (*junzi*), who acts with sincerity and integrity. The *junzi* learns to identify the appropriate action by observing rituals (*li*), learning from others, and showing courage in doing what is right even when it is difficult or goes against personal interest. The philosopher Mengzi (Mencius) further developed the idea of *yi* by connecting it to human nature. He believed that all people are born with the natural ability to feel what is right and wrong. This ability is part of what he called the 'four beginnings' or 'four hearts':

the heart of compassion, shame, respect, and understanding. Mengzi taught that just as we are born with physical limbs, we are also born with these moral feelings. However, to grow in *yi*, one must practice it through family life, social duties, and personal reflection.

- Cultivating *yi* fosters true *ren*

Yi's beliefs are unconditional and absolute. One must perform actions solely for their inherent rightness. *Yi* then reminds the agent that the only reason to do what is right and good is because it is good and for no other reason. Humans perform certain actions based on the benefits they yield. For instance, a human may assist an older woman to receive monetary rewards, or a political candidate may distribute funds to citizens to secure their votes. These actions contradict *yi*'s teachings, as they serve ulterior motives rather than promoting the greater good. Thus, a person who acts for *yi* because it is right is practicing *ren*. To practice *ren* is to act with love and respect for humanity, solely because it is the right or humane way to act. The practice of *yi*, therefore, closely resembles the practice of *ren*.

- Confucius emphasises balancing learning and critical thinking

Zhi: In Confucian philosophy, *zhi* is the virtue commonly translated as 'wisdom.' It highlights the important role of the human ability to think and understand. According to Confucius, wisdom arises from two key activities: *hsueh* (learning) and *ssu* (thinking). *Hsueh* describes the object of the knowing process, while *ssu* describes the critical thinking and reflection necessary to incorporate or assimilate the knowledge acquired. Learning, then, is something that one acquires through external experience, while thinking is the internal process, that is, the ability to synthesise, criticise, compare, or incorporate certain knowledge. Confucius, however, emphasised the necessity of balancing these two. He stated that one without the other would lead to impaired or distorted knowledge. The *Analects* assert that learning without thinking is futile, and thinking without learning leads to desolation. Therefore, these two must coexist to gain wisdom. Confucius wanted to emphasise that studying without thinking would result in being in the dark and not learning anything at all.

Confucius emphasised that in acquiring wisdom, humans should both learn and think. He proposed three ways to learn wisdom. In the *Analects*, he said, "By the three methods we may learn wisdom: first by reflection, which is the noblest; second by imitation, which is the easiest; and third by experience, which is the bitterest." The first one is somehow associated with thinking, while the second and third ones are somehow



- Confucius teaches reflection, imitation and experience methods

associated with learning. Reflection, the noblest of all, facilitates the best understanding of unstrained subjects by connecting various concepts and empowering one to transform them into something better. It also functions as a method of discovery, stimulating various insights and assisting individuals in realising their learning and thinking potential. Imitation, on the other hand, is the easiest method. It is a social form of learning where one gathers from one another through observation, imitation, and modelling. It includes attention, memory, and motivation. Therefore, the third method, experience, is the most challenging approach to acquiring wisdom. It would be favourable yet insufficient. Experience comes with its own price, exhaustion, and pre-hypothesis.

- *Zhi* emphasises self-knowledge for true wisdom

In Confucianism, wisdom is not treated as mere intellectual ability or mastery of abstract theories. Instead, it is seen as a moral quality developed through social interactions and personal reflection. Confucius closely connects wisdom (*zhi*) with other ethical virtues such as humaneness (*ren*), righteousness (*yi*), and filial piety (*xiao*). For him, wisdom is not measured by the amount of information one knows, but by how well a person understands others and lives in harmony with them. This kind of understanding involves moral humility, especially the willingness to admit what one does not know. Confucius also places greater importance on the knowledge of the self than on the knowledge of external matters. For him, the most valuable form of knowledge is self-knowledge. To acquire knowledge, therefore, is to understand one's own nature, actions, and motivations. This means reflecting on the principles that guide one's behaviour. Such reflection leads to moral self-awareness, which is essential for cultivating wisdom. In this view, wisdom and ethical self-understanding are inseparable. A person becomes truly wise only by knowing who they are and striving to act according to moral principles.

- *Xin* means being honest and trustworthy always

***Xin*:** In Confucian philosophy, *xin* is the virtue translated as 'trustworthiness.' Confucius uses this term to show its importance in his ethical teachings. *Xin* is closely related to honesty, belief, and trust. It emphasises that a person should not deceive others and should always mean what they say when interacting with others. The virtue of *xin* has two aspects. The first relates to the individual's inner quality of being honest and sincere. The second refers to their actions and speech in daily life. According to Confucius in the *Analects*, a person should be loyal and trustworthy in speech and be sincere and respectful in conduct. He advises that such conduct should be consistently

practiced, both in personal life and in social interactions. Through honesty and integrity, a person gains the trust of others, which is essential for building harmonious relationships within society. The virtue of *xin* not only shapes individual character but also influences one's role in interpersonal relationships and public life, contributing to the development of a moral and orderly society.

3.2.5 Confucius and Political Philosophy: Governance through Virtue and Moral Example

- Good rulers are led by virtue, not by force

The political philosophy of Confucianism is rooted in the belief that good governance depends primarily on the moral character of the ruler and those who assist him in administration. Confucius believed that the cultivation of personal virtue leads not only to individual moral development but also to the moral transformation of society. For Confucius, moral self-realisation is not an end in itself. Instead, it has a ripple effect that promotes ethical conduct in others, eventually creating a harmonious social and political order. At the center of Confucian political thought is the idea of the exemplary ruler, a morally superior individual whose virtue serves as a guiding force for the people. This ruler possesses inner moral power, which influences others not through force or punishment, but through moral authority. People naturally follow a good ruler, just as grass bends in the direction of the wind. Thus, Confucianism emphasises rule by moral example rather than by compulsion or legal force.

- Virtue and merit form the basis of governance

In the Analects, Confucius states that when people are guided by virtue and ritual, they develop a sense of shame and naturally reform themselves. On the other hand, if they are governed only by laws and punishments, they may obey out of fear but lack internal moral understanding. Therefore, Confucius argues that a good ruler must lead by virtue and ritual, not just by legal force. The Confucian ruler is also responsible for selecting morally upright and capable officials. These officials, like the ruler, must undergo a process of self-cultivation, be committed to moral values, and prioritise the well-being of the people. Confucius believed that only good people could become good officials, and only with good officials could a government function properly. This idea later inspired the development of the civil service examination system in China, which sought to select officials based on merit and moral integrity.

Confucian political philosophy emphasises the central role of public trust in effective governance. Confucius teaches that



- Trust and virtue are keys to good governance

a government cannot succeed without the confidence of its people, and this trust is earned through the ruler's sincere and consistent moral behaviour. When people believe that their ruler genuinely cares for their well-being, they are more likely to support him, even in difficult times. This idea is closely related to the Confucian principle of non-action, which refers not to inaction but to a form of effortless rule made possible by the ruler's deep moral influence. A truly virtuous ruler can guide society simply through his presence and example, without the need for forceful intervention. While Confucius gave priority to moral and cultural leadership, he did not reject the use of law and punishment. He accepted that legal systems were necessary but should be applied with restraint. A well-governed society, in his view, is one where harmony is maintained not through fear of punishment, but through the people's internal sense of what is right.

3.2.6 Confucius's Contribution to Education

- Education is for moral growth and self-improvement

Confucius is considered one of the most important educators in Chinese history. His approach to education was deeply moral and humanistic. For Confucius, the purpose of education was not just to transfer knowledge but to cultivate moral character. He believed that through study and self-discipline, individuals could become *junzi* (morally superior persons) who live according to values such as righteousness (*yi*), humaneness (*ren*), and propriety (*li*). Education, therefore, was a lifelong process of self-improvement. He stressed that learning should lead to action and that true understanding required both study and reflection. This emphasis on moral self-cultivation became the foundation of Confucian education and later influenced the entire structure of traditional Chinese learning.

- Confucius made education open to all

A major innovation in Confucius's approach to education was his belief that learning should not be restricted to the aristocracy. In his time, education was typically reserved for the elite. Confucius challenged this norm by accepting students from different social backgrounds. He famously said, 'In teaching, there should be no distinction of class.' This inclusive idea laid the groundwork for the ideal of meritocracy in Chinese society, where individuals could advance through effort and learning rather than birth or wealth. Confucius himself is said to have taught more than three thousand students, though only seventy were believed to have truly mastered the arts he valued. His willingness to teach anyone eager and committed made education a path of self-transformation and social mobility.

- Confucian education combined classics and moral training

The content of Confucian education included both classical texts and practical disciplines. Confucius placed great importance on the study of the ancient classics, such as the *Book of Poetry*, *Book of Documents*, and historical records, which, he believed, carried the wisdom of earlier sage-kings. These texts were used not only for learning language or history but also for teaching ethical behaviour. He also encouraged the study of the ‘Six Arts’, such as ritual, music, archery, chariot-riding, calligraphy, and arithmetic, but always considered moral development the highest goal of education.

- Confucius taught through dialogue, not lectures

Confucius’s teaching style was also unique. He did not lecture at length or impose ready-made answers. Instead, he taught through dialogue, questioning, and reflection. He used stories, analogies, and classical texts to guide students toward discovering knowledge for themselves. He believed that learning was most effective when students were actively engaged in the process. As he said, ‘I only instruct the eager and enlighten the fervent.’ If a student could not respond to one idea with further thought, he would not continue the lesson. For Confucius, a good teacher was not only someone who knew the classics but also someone who served as a moral example. Confucius’s legacy continued for centuries, shaping the Chinese education system through the imperial examination system and influencing East Asian cultures. His emphasis on discipline, reflection, and virtue in education remains relevant as a model of humanistic learning.

Summarized Overview

Confucianism is an ancient Chinese philosophy founded by Confucius, which focuses on ethics, moral education, and harmonious social order. Its teachings are preserved mainly in the *Analects*, where Confucius reflects on virtue, self-cultivation, and the ideal conduct of individuals and rulers. Central to Confucianism is the belief that personal morality leads to social harmony and effective governance. Confucius viewed education as a moral journey open to all, regardless of social status. He taught through dialogue and reflection, stressing the importance of learning for personal growth and self-realisation. Confucianism integrates key cosmological ideas like *Heaven (Tian)*, *Tao* (the natural order), and the harmony of *yin* and *yang*, which reflect the unity of nature and human life. Views on human nature differ among Confucian thinkers, with Mencius asserting its innate goodness, while Hsün-tzu argued for the need for discipline and education. Confucian ethics centered on virtues like *ren*, *yi*, *li*, *zhi*, and *xin* remain the core of its teachings, guiding both personal behaviour and social responsibilities.



Self-Assessment

1. What is the importance of *ren* and *li* in Confucianism?
2. Discuss the role and relevance of *xiao* (filial piety) in Confucian teachings.
3. What is the Confucian view of human nature?
4. Analyse how Confucianism views the qualities of a ruler.
5. How do Confucian values influence education?

Assignments

1. How significant is the Confucian idea of virtue in Chinese philosophy?
2. Discuss Confucius's concept of education as a process of moral self-cultivation. How did his views on access to education and the role of the teacher contribute to the development of meritocracy in Chinese society?
3. Explain the political and ethical foundations of Confucianism with reference to the concepts of *ren*, *li*, the Mandate of Heaven, and the role of the virtuous ruler. How do these ideas contribute to the Confucian vision of a harmonious society?

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Suggested Reading

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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.



SGOU

UNIT 3

Taoism

Learning Outcomes

After the completion of this unit, the learner will be able to:

- understand the main concepts of Taoism, such as *tao*, *yin-yang*, and *wuwei*
- explain the philosophical significance of Laozi and the *Tao Te Ching*
- understand the importance of *Tao* in Taoism
- analyse the concept of *ziran*
- describe the ethical implications of Taoism

Background

Taoism, also spelt Daoism, is one of the major philosophical and religious traditions that originated in ancient China and has shaped Chinese culture, thought, and spiritual life for over two thousand years. Attributed to Laozi (Lao-tzu), the founder of Taoism, this tradition is based on the concept of the *tao*, meaning 'way' or 'path.' However, the *tao* represents more than a direction or method; it is regarded as the ultimate, invisible force underlying the universe, the origin of all life, and the principle that sustains natural balance. Taoism teaches that individuals should live in harmony with the *tao* by embracing simplicity, spontaneity, and non-interference with the natural order. It is not a single unified system but a diverse set of beliefs and practices, including both philosophical Taoism, which focuses on wisdom, ethical conduct, and contemplation, and religious Taoism, which includes rituals, deities, and practices aimed at achieving permanency, spiritual refinement, and unity with the cosmos. Together, these dimensions of Taoism provide a holistic approach to understanding life, nature, and the universe.

Keywords

Tao- Te- Yin and yang- Wu-wei- Ziran- Three Virtues



Discussion

- Taoism emphasised harmony with the natural world

Taoism, also spelt *Daoism*, is a philosophical and religious tradition that emerged from ancient China. Scholars have long debated whether Taoism should be classified strictly as a philosophy or as a religion. In its earliest form, Taoism was not an organised or institutionalised faith. It began as a way of understanding the world and living in harmony with the natural order, without formal doctrines, rituals, or temples. Only during the Han dynasty, a few centuries before the Common Era, did Taoism begin to take shape as a structured religious tradition. Over time, it developed rituals, deities, and religious practices, eventually forming an organised religion that deeply influenced Chinese culture and society. Despite its gradual decline in later centuries and limited presence in modern times, Taoism remains an important part of China's spiritual and intellectual heritage.

- Laozi founded Taoism and wrote *Dao De Jing*

The origins of Taoism are closely linked with *Laozi* (also written as *Lao Tzu*), who is traditionally regarded as the founder of this tradition. He is believed to have lived around the 6th century BCE, possibly earlier, and is thought to have been a contemporary of Confucius. Some accounts suggest he worked as an archivist or historian at the royal court of the Zhou dynasty. Laozi is credited with authoring the foundational Taoist text, the *Dao De Jing* (*Tao Te Ching*), a collection of brief verses offering wisdom on how to live in accordance with the Dao, or 'Way.' Though some scholars question the historical existence of Laozi and suggest he may be a symbolic or composite figure, his influence on Chinese thought is undeniable. The teachings attributed to him emphasise living in simplicity, cultivating humility, and aligning with the natural rhythms of life. In contrast to Confucianism, which promotes social duty and structured ethics, Taoism values spontaneity, non-interference, and inner peace. In later centuries, Laozi was revered not only as a philosopher but also as a divine figure. Temples were built in his honour, and offerings were made to him as part of religious rituals.

3.3.1 Distinction between Philosophical Taoism and Religious Taoism

Taoism is a rich tradition with many dimensions. Among the most important distinctions within this tradition is between philosophical Taoism and religious Taoism. While both forms are grounded in the idea of the Tao, they differ in their focus, practice, and purpose.

- Live simply by following nature's flow

Philosophical Taoism began around the 6th century BCE and is mainly based on two important Chinese texts: the *Tao-te Ching*, written by Lao-tzu, and the *Chuang-tzu*, written by Chuang-tzu. This form of Taoism focuses on living a simple, peaceful, and balanced life. It teaches that we should follow the natural flow of the universe, which is called the Tao. The Tao is not a god but a principle that guides how everything in nature moves and changes. Philosophical Taoism encourages people to observe and follow natural patterns, like the change of seasons or the flow of rivers. It teaches that we should not force things or try to control others. Instead, we should live simply and avoid chasing after power, wealth, or fame. Wisdom comes through quiet reflection and understanding ourselves. Philosophical Taoism does not involve temples, rituals, or worship of gods. It is more a way of thinking and living, focused on personal growth and living in harmony with the natural world.

- Religious Taoism blends rituals with spiritual guidance

Religious Taoism developed after philosophical Taoism and began to take shape around the 2nd century CE. While philosophical Taoism focused on personal wisdom and natural living, religious Taoism added rituals, worship, and community practices. It combined the teachings of classical Taoist texts like the *Tao-te Ching* with local folk beliefs, shamanic traditions, and spiritual practices. Over time, religious Taoism became a fully organised religion. It included a system of priests, temples, sacred scriptures, ritual ceremonies, and rules for religious conduct. It served not only as a guide for individual living but also as a way for people to connect with the divine and seek help for everyday concerns.

- Belief in immortals, rituals, and meditation supports well-being

In religious Taoism, followers believe in a world of divine beings, often called immortals or gods, who live in a heavenly realm. Among these, Lao-tzu, the author of the *Tao-te Ching*, was seen as a great deity and was worshipped as the Great Lord on High. People pray to these gods and goddesses for blessings such as good health, safety, long life, and good fortune. Taoist priests lead ceremonies in temples and offer blessings during important life events like births, marriages, and funerals. They also use talismans, which are symbols written on paper or cloth, believed to carry spiritual power for protection and healing. Other important practices include meditation and breathing exercises, which are used to balance the body's energy and promote physical and spiritual well-being.



3.3.2 Major Philosophical Concepts in Taoism

Taoism is a philosophical and spiritual tradition that emphasises harmony with the natural order of the universe. At the heart of Taoist thought are several key concepts that guide both personal life and social behaviour. These concepts express the Taoist vision of living simply, spontaneously, and in tune with nature. They offer insight into how individuals can live with less conflict and more balance, both within themselves and with the world around them.

3.3.2.1 *Dao (Tao)*

In Taoist philosophy, the concept of *Dao* (also spelt *Tao*) is complex and cannot be reduced to a single, fixed meaning. It carries multiple interpretations, a characteristic known as *polysemy*. Throughout history, Taoist thinkers have avoided defining *Dao* in strict or singular terms. They believe that any attempt to explain or describe *Dao* in precise language may lead to misunderstanding its true nature. The *Daode Jing*, one of the most important Taoist texts, begins by warning that the *Dao* that can be spoken is not the eternal *Dao*. This means that *Dao* cannot be fully captured by words or intellectual definitions. The same idea is repeated in other parts of the text, and later Taoist scholars also emphasised that *Dao* is ‘empty’ of fixed content, though it fills and penetrates all things.

- Dao cannot be defined or fully explained

Taoists have consistently resisted the urge to treat *Dao* as a concrete object or a clearly defined concept. Unlike some Western or other Asian traditions that describe an ‘Absolute’ or ultimate reality in fixed terms, Taoism does not consider *Dao* to be something that can be completely explained or confined by human thought. This approach reflects the Taoist preference for flexibility, openness, and experience over rigid theories. Although some Taoist scholars did try to explain what *Dao* is and how it relates to the world, their accounts were often symbolic or poetic, rather than systematic doctrines meant for debate or dogma. For Taoists, spiritual development is more important than defining abstract concepts. A person can follow the path of *Dao* and experience its effects without needing to fully understand or explain it. In fact, Taoists argue that the mystery of *Dao* is part of its power. To be a Taoist, one does not need to know what *Dao* is in an intellectual sense; rather, one needs to live in harmony with it. This approach challenges modern ideas that assume we must first understand something in order to follow or achieve it.

- Dao is lived, not fully understood or defined

- Dao is the life force and cosmic origin

However, the meaning of *Dao* expanded significantly with the development of early Taoist texts such as the *Neiye* and the *Daode Jing*. In the *Neiye* text, *Dao* is used in a broad and sometimes unclear way, often as a synonym for *qi*, the vital energy or life-force that individuals are encouraged to cultivate. In this sense, *Dao* is linked to personal health, vitality, and inner harmony, but it is not yet presented as a cosmic or metaphysical principle. In contrast, the *Daode Jing*, which became the foundational text for many Taoist schools, presents a much deeper and more philosophical understanding of *Dao*. Here, *Dao* is seen as the source from which all things arise and to which they eventually return. It is described not only as a cosmic unity that precedes all existence but also as a gentle and invisible force that moves through the world, guiding natural processes and human life.

- Dao has many meanings and paths to follow

Over time, different Taoist thinkers and practitioners have given various interpretations of *Dao*, and these interpretations often overlap or differ depending on the context. Modern readers, especially those influenced by Western philosophies, sometimes try to interpret *Dao* using familiar terms like 'the Absolute' or 'a divine essence,' but this can lead to an oversimplified or even incorrect understanding. Taoist tradition does not limit *Dao* to a single meaning. Instead, it presents *Dao* as something that can be approached in many ways. Throughout Chinese history, Taoists have practiced a variety of methods to cultivate spiritual awareness. These include physical disciplines, meditation, moral development, and even community rituals. Taoists do not separate these models into high or low forms of spirituality. Instead, they see all practices as valid and meaningful paths toward transformation.

- Dao - the spiritual foundation of all meaningful transformation

In this context, *Dao* can be understood as the spiritual foundation of all meaningful transformation. Whether it is personal growth, social harmony, or cosmic balance, *Dao* is the guiding force behind all change. Taoist texts and practices guide individuals and communities through a process of self-discipline, reflection, and action, helping them to connect with the true nature of life. In all its uses, *Dao* represents the source and path of authentic living. It is the matrix through which transformation takes place within the self, within society, and the universe as a whole.



3.3.2.2 Ziran

The term *ziran* is a central concept in Taoist thought. It can be translated in several ways depending on the context. In Taoist texts, *ziran* is often used to describe the natural state of things. How they arise, grow, and change without force or interference. It is also closely related to other Taoist ideas such as *zizai* (self-existence), *ziyou* (self-generation), and *zide* (self-attainment). These terms all reflect the idea that things have an inner nature or pattern that unfolds on its own, without outside control. *Ziran* is often described as the positive side of the Tao - the aspect of the Tao that is active and life-producing. In contrast, the negative side of the Tao is known as *wu* (non-being or no-thing), which refers to the formless, invisible source of all existence.

- Ziran means natural unfolding without outside force

On a cosmological level, *ziran* describes the way the universe operates naturally and without human interference. It implies that the world maintains its own order and rhythm, just like rivers flow, trees grow, and the seasons change without anyone directing them. In this view, nature does not need a creator or manager. Everything functions according to its own inner nature, and *ziran* is the term that captures this self-organising principle. In this sense, *ziran* is also linked to the primordial breath or energy (*yuanqi*) and to the idea of origin or cosmic chaos (*hundun*), from which all things emerge.

- Ziran is nature's self-guided, orderly process

On a human level, *ziran* means living in a way that is free from artificial control or forced behaviour. It is the opposite of *wei* (doing or making), which refers to actions that are planned, calculated, or manipulated. A person who lives according to *ziran* is said to be natural, creative, and self-sufficient, acting not out of personal desire or social pressure, but in harmony with the flow of life. The Taoist sage or ideal ruler follows *ziran* by not interfering with the natural course of things. Instead of controlling others, they allow each person and event to develop in its own way. This aligns with the Taoist principle of *wuwei* (non-interference or effortless action), which advises letting things happen naturally without force. *Ziran* reminds us that we do not fully understand the mystery of how life emerges and functions. Rather than trying to explain everything, Taoism encourages respect for this mystery. *Ziran*, in this sense, becomes a way of acknowledging human limits and appreciating the depth of life that cannot be grasped by logic alone.

- Ziran means living freely in natural harmony

3.3.2.3 Te (De)

- *De* is a natural inner power and cosmic virtue

The concept of *de* (often translated as ‘virtue’ or ‘inner power’) plays an important role in Taoism. In early texts, *de* refers to a quality within the sage or ruler that naturally leads to good actions and grants moral and spiritual authority. It is seen as both an internal moral force and a form of influence that does not rely on compulsion. In the *Taipingjing*, *de* expands to include the life-giving force bestowed by Heaven (or *tian*), suggesting that *de* is not only moral but also cosmic in nature. In Taoist texts like the *Daodejing* and *Zhuangzi*, *de* is often understood as an original, natural power that emerges when people return to a simpler, more intuitive way of life. According to these works, *de* is not limited to rulers or sages but is accessible to all who align with the Dao and live in harmony with nature. *De* becomes complete when one returns to one’s true nature and achieves harmony with Heaven (*tian*). Laozi (founder of Taoism) is even said to possess *de* equal to Heaven and Earth, attained by simply following his natural path like water flowing in a stream.

- *Te* is the expression of *tao*

Over time, Taoist texts paired *de* with *dao*, and the relationship between the two became a key part of Taoist philosophy. Scholars have interpreted *de* as the expression of the formless *dao* in the material world, the unique nature of each being shaped by *dao*, and the manifestation of cosmic principles in daily life. In the *Taipingjing*, *de* is sometimes associated with *ren* (benevolence), forming a triad that symbolizes the connection between Heaven, Earth, and Humanity. In other contexts, *dao* and *de* are linked with the cosmic forces of *yin* and *yang*, reflecting the nourishing and life-sustaining power of nature.

3.3.2.4 Yin-Yang

The philosophical perspective of *yin* and *yang* is regarded as a fundamental element of nature. They represent not only the duality of contrasts but also the underlying forces that shape the universe. *Yin* and *yang*, like



two polarities, exist in everything and are in constant interaction. *Yin* is often associated with feminine, passive, and receptive



- Yin and yang symbolise balance in nature

qualities, while *yang* embodies masculine, active, and assertive qualities. All manifestations of nature, including the seasons, elements, and various organ functions in the body, embody *yin* and *yang*. They demonstrate the duality and balance that permeate life in the universe.

- *Yin-yang* reflects the harmony of *tao*

Taoism closely intertwines *yin* and *yang*. Taoism emphasises that the pursuit of harmony and equilibrium aligns with the natural flow of *yin* and *yang*. The *tao*, the cosmic path or universal order, inseparably connects *yin* and *yang*. Taoism teaches that true knowledge and wisdom lie in recognising and accepting the natural balance of *yin* and *yang*. This does not mean favouring or excluding one side, but rather acknowledging their duality and complementarity and living in harmony with them. By aligning ourselves with the *tao* and following the principles of *yin* and *yang*, we can establish a deeper connection with nature and the universe. We learn to live by natural rhythms and adapt to changes. Through the acceptance and integration of *yin* and *yang* in our lives, we can achieve a harmonious balance and self-realisation.

- *Yin-yang* balance transcends cosmic duality

Taoism emphasises that we should not resist the natural flow of *yin* and *yang*. When we try to dominate or suppress one aspect, we become imbalanced and experience disharmony. It is about accepting duality and recognizing that both aspects are necessary to lead a fulfilling and meaningful life. The connection of *yin* and *yang* with Taoism invites us to expand our perception and accept the world in all its facets. By understanding and integrating the duality and complementarity of *yin* and *yang* into our lives, we can develop a deeper understanding of ourselves, others, and nature. We realise that the *yin* and *yang* balance exists in the universe and within us. By nurturing this inner harmony, we can lead a fulfilling and authentic life that aligns with the natural principles of Taoism. Overall, the philosophical perspective of *yin* and *yang* offers a rich and nuanced examination of duality and balance in the world. By acknowledging and applying these principles in our daily lives, we can develop a deeper understanding of ourselves, others, and nature. *Yin* and *yang* open up a new perspective on the world and invite us to strive for harmony, equilibrium, and inner peace.

3.3.2.5 *Wu-Wei*

The term *wu-wei* constitutes the leading ethical concept in Taoism. *Wei* refers to any 'intentional' or 'deliberated action', while *wu* carries the meaning of 'there is no' or 'lacking without'.

- *Wuwei* embodies natural flow, effortless action

- *Wuwei* means acting naturally without forced control

- *Wuwei* is gentle strength, like flowing water

Common translations are non-action, effortless action, without intention, non-interference, and non-intervention. People sometimes emphasise the meaning by using the paradoxical expression '*wei wu wei*': 'action without action'. Kohn (modern scholar of Taoism) writes that *wuwei* refers to 'letting go of egoistic concerns' and 'abstaining from forceful and interfering measures that cause tensions and disruption in favour of gentleness, adaptation, and ease.'

The idea of *wuwei* first appears clearly in the *Daode Jing*, a foundational Taoist text written by Laozi. In this text, *wuwei* is often paired with the phrase 'wu buwei', meaning 'nothing is left undone.' This suggests that when we act without force or selfish intent, everything that truly needs to happen will happen naturally. The Taoist sage, or wise person, is someone who understands this and lives in stillness and simplicity, allowing the world to take its course. A ruler who practices *wuwei* leads by example, not by strict control. This form of leadership brings harmony and balance to society, not through laws and punishments, but by allowing people to live according to their own nature.

In ancient Taoist texts, the concept of *wuwei* is often explained with the image of water. Water is soft and yielding, yet it has great power. It flows smoothly around rocks and obstacles without resistance, and over time, it can even wear down the hardest stone. This quality of water represents the essence of *wuwei* - acting without force, adapting easily, and letting things happen naturally. Taoist philosophy, especially as seen in the *I Ching* (Book of Changes), teaches that the universe works harmoniously according to its ways. When someone exerts their will against the world in a manner that is out of rhythm with the cycles of change, they may disrupt that harmony, and unintended consequences may more likely result than the intended outcome. Taoism does not identify one's will as the root problem. It says one must align their will with the universe's natural order. Thus, one can avoid potentially harmful interference and effortlessly achieve goals in this way. By *wuwei*, the sage seeks to harmonise with the great *tao*, which he accomplished by non-action.

In Taoist philosophy, the phrase 'try not to try' is used to explain the concept of *wuwei*, or 'non-action,' which means acting in harmony with the natural flow of life rather than forcing outcomes through control or effort. Although the phrase may sound paradoxical (how can one try not to try) its deeper meaning is about letting go of the constant urge



to control, plan, and manage every aspect of life. Taoism teaches that excessive effort to shape events often leads to stress, anxiety, and dissatisfaction because it goes against the natural rhythms of the universe. Instead of forcing situations, we are encouraged to trust the unfolding of life, respond with awareness, and allow things to happen naturally. *Wuwei* does not mean doing nothing; it means taking the right action at the right time, without struggle or resistance. This approach involves living in the present moment, letting go of fixed beliefs and expectations, and being open to change. By avoiding overthinking and excessive control, and by acting with ease and spontaneity, we begin to experience a deeper sense of inner peace, freedom, and balance.

- *Wuwei* liberates for true inner freedom

Wu-Wei and the Government: In Taoist philosophy, the concept of *wuwei* is not only a guide for personal conduct but also provides a model for government and political leadership. In this context, *wuwei* does not mean doing nothing, but rather governing in a way that avoids unnecessary control, compulsion, and interference in people's lives. A ruler who practices *wuwei* governs with minimal force, allowing society to function naturally and harmoniously, trusting the people to live according to their own nature. This idea appears throughout the *Tao Te Ching*, which often criticises governments that rely heavily on laws, punishments, and bureaucracy. According to Taoist thought, excessive regulations and control reflect a lack of trust in the people, and in turn, the people stop trusting their rulers.

- *Wuwei* in governance promotes minimal control and trust

Taoist political thought challenges many conventional assumptions about success and power. It rejects the pursuit of wealth, social status, and rigid hierarchies, which it sees as causes of envy, conflict, and disorder in society. Instead, it favours simplicity, equality, and social harmony. At its core, *wuwei* in governance involves avoiding oppressive institutions that burden or threaten the population. It also shows a deep distrust of corrupt leadership and expresses a desire for a society where people are not over-regulated or forced into roles they did not choose. The Taoist sage, or ideal ruler, is described as someone who does not impose personal ideas or policies but reflects the needs and values of the people. In this sense, Taoist political ideas may resemble certain liberal democratic ideals, such as limited government, freedom of thought, and social equality.

- Taoist political thought values simplicity, equality, and harmony

Wuwei in politics can also be interpreted in more complex or even conflicting ways. Some rulers may use the idea of

- Political *wuwei* can lead to freedom or control

wuwei to justify withdrawing from active governance or even to subtly demand that people accept and adapt to the state's authority without complaint. In this way, *wuwei* can be misused as a tool of passive control, where freedom is limited in the name of harmony. Scholars have debated these interpretations. While many defend *wuwei* as promoting simplicity and ethical leadership, others caution that it can be interpreted as requiring obedience and submission to the social order. Therefore, *wuwei* as a political concept is open to different interpretations. At its best, it encourages a government that is gentle, adaptive, and respectful of the people, avoiding unnecessary intervention. However, its open-ended nature also means it can be used in ways that either promote or restrict individual freedom, depending on how it is understood and applied.

3.2.2.6 Jing, Qi, and Shen: Three Treasures in Taoist Philosophy

In Taoist philosophy and traditional Chinese culture, jing, qi, and shen are known as the Three Treasures. These three elements are considered the essential substances or energies that make up human life. They are deeply connected, and each one plays a unique role in maintaining physical health, mental clarity, and spiritual development. These ideas are especially important in inner alchemy (*neidan*), a Taoist spiritual practice aimed at transforming and refining these energies to achieve harmony and spiritual awakening.

- Jing nourishes life, qi moves energy, shen guides consciousness

- Jing is usually translated as 'essence.' In its broadest sense, it refers to the basic life force that is present in all living beings. Originally, the word referred to refined or purified substances (like polished rice), which reflects its meaning as something essential and concentrated. In the human body, jing is the energy that supports growth, development, and reproduction. In Taoist practice, conserving and refining jing is important for physical vitality and long life.
- Qi is commonly translated as 'energy,' 'breath,' or 'vital force.' It lies between jing and shen and connects the body and the mind. Qi is the active, moving force that drives bodily functions and changes. The original meaning of the word was 'vapor' or 'steam,' showing its role as something that moves and transforms. Qi flows throughout the body in energy channels, and breathing practices and meditation are used in Taoism to regulate and strengthen qi. While jing



nourishes life, qi moves and transforms it.

- Shen is most often translated as ‘spirit’ or ‘consciousness.’ It originally referred to gods or divine beings, but in Taoist thought, it has come to mean the spiritual and mental aspect of a person, including awareness, thought, and inner clarity. Shen is more subtle than jing and qi and is not material in nature. It is the source of creativity, intuition, and higher states of consciousness. Healthy shen is reflected in a calm, clear, and focused mind.

The transformation of the Three Treasures jing (essence), qi (energy), and shen (spirit) is a step-by-step spiritual process aimed at achieving unity with the Tao, the natural order of the universe. This process is traditionally described in three stages. The first stage, refining jing to produce qi, involves conserving the body’s essential energies, including sexual energy, and transforming them into a more refined and dynamic life force through breathing exercises, physical discipline, and self-control. The second stage, refining qi to produce shen, uses meditation and controlled breathing to stabilise and deepen mental focus, gradually awakening higher spiritual awareness. The third and final stage, refining shen and returning to emptiness, leads to the dissolution of the ego or sense of individual self, bringing the practitioner into a state of inner stillness, clarity, and harmony with the Tao. The ultimate aim of this process is to transform the body and mind, not only to preserve health and vitality but to attain spiritual integration with the cosmos.

- Three Treasures transform essence, energy, and spirit

In Taoist inner alchemy, an important distinction is made between pre-celestial and post-celestial forms of the Three Treasures. Pre-celestial energies are the original, pure life forces that a person receives at birth, coming directly from the Tao. These are known as Original Essence, Original Breath, and Original Spirit, and they represent the natural, undisturbed state of being. In contrast, post-celestial energies are those that develop after birth, influenced by food, air, emotions, and everyday experiences. These energies are shaped by personal habits and environmental factors and are more likely to become imbalanced. As people age, their pre-celestial energies gradually decline, which contributes to physical and mental weakening. The purpose of inner alchemy is to preserve and restore the purity of pre-celestial energies by refining post-celestial ones through disciplined practices such as breathing,

- Pre-celestial energies are pure; post-celestial energies are refined

meditation, and focused awareness. For instance, by practicing subtle, inward breathing techniques, a person can reduce the disturbances caused by the outer world and return to a calmer, more balanced inner state. This process helps to restore the harmony of body, mind, and spirit, allowing one to reconnect with the original vitality of the Tao.

3.3.2.7 Three Virtues (Three Jewels)

Unlike ethical systems based on fixed rules or laws, Taoist ethics encourages individuals to observe and follow the patterns of nature, responding to life with simplicity, balance, and awareness. It teaches that moral behaviour arises naturally when one lives in tune with the Tao. A key part of Taoist ethics is the practice of the Three Jewels: compassion, moderation or simplicity, and humility. By following these principles, a person can live in a peaceful relationship with both the natural world and other people.

Compassion: Compassion is selfless love for all others. It includes giving others happiness and removing their suffering. Taoist compassion extends to all beings, fostering empathy and care without expectation of reward. This principle aligns with the idea of *ziran* (naturalness), where actions arise spontaneously from a heart attuned to the Tao. For instance, a Taoist might help a struggling neighbour not for recognition but because it is the natural response of a compassionate heart.

- Taoist virtues include compassion, moderation, and humility

Moderation: Moderation, often translated as frugality or restraint, emphasises balance and avoiding excess. It is about using fewer resources to give more to others. The foundation for moderation is compassion. The *Tao Te Ching* warns against overindulgence in desires, wealth, or ambition, which disrupt harmony with the Tao. Moderation encourages contentment with what is sufficient, whether in material possessions, emotions, or actions.

Humility: Humility in Taoism involves recognising one's place within the vast, interconnected web of the universe. The *Tao Te Ching* advises against pride and self-importance, suggesting that true strength lies in being 'humble' like water, which flows to the lowest places yet nourishes all. For example, a humble person avoids seeking fame or power, instead acting with sincerity and deference to others. This principle contrasts with competitive or ego-driven behaviours, encouraging individuals to lead by serving rather than dominating.



Summarized Overview

Taoism is a major philosophical and religious tradition that originated in ancient China. It is broadly divided into two forms: Philosophical Taoism and Religious Taoism. Philosophical Taoism is rooted in classical texts like the *Tao Te Ching* and the *Zhuangzi*, and it focuses on living in harmony with the Tao (the Way) through simplicity, non-interference, and self-cultivation. Religious Taoism, which developed later, includes rituals, temples, deities, and practices like meditation and alchemy aimed at health, protection, and spiritual transformation. Central to Taoist thought is the concept of the Tao (Dao), the mysterious and formless origin of all things and the natural way in which everything in the universe unfolds. Related to this is the idea of *Ziran*, which means 'naturalness' or 'spontaneity', the state of being true to one's own nature without force or artificial control. *Te* (De) refers to the inner virtue or power that arises from following the Tao, often expressed through humility, kindness, and non-violence. Another key idea is Yin-Yang, the symbol of opposites (such as light and dark, active and passive) working together in dynamic balance, showing that all things are interconnected and constantly changing.

Taoist philosophy also speaks of the Three Treasures: *Jing* (essence), *Qi* (energy), and *Shen* (spirit). These are seen as the vital substances that support life, health, and spiritual growth. Taoist practices, especially in inner alchemy, focus on refining these energies to achieve harmony and spiritual awakening. In guiding everyday moral behaviour, Taoism promotes the Three Virtues (also called the Three Jewels): compassion, moderation or simplicity, and humility. These virtues help individuals live peacefully, avoid excess, and maintain balance in relationships and society.

Self-Assessment

1. What does the *tao* (dao) represent in Taoism?
2. What are the ethical ideals in Taoism?
3. Describe the *yin* and *yang* in Taoism.
4. What does the principle of *wuwei* (non-action) teach?
5. How does Taoism view human nature and its relationship to nature?

Assignments

1. What role does simplicity play in Taoism? How does Taoism differ from Confucianism in terms of social order?
2. Explain the key differences between Philosophical Taoism and Religious Taoism. How do their views on the Tao and practices differ?
3. What are the Three Treasures (Jing, Qi, and Shen) in Taoist philosophy? Explain each and their significance in Taoist practices.
4. What are the Three Virtues (or Three Jewels) in Taoism? How do they guide ethical behavior in Taoist philosophy?

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Suggested Reading

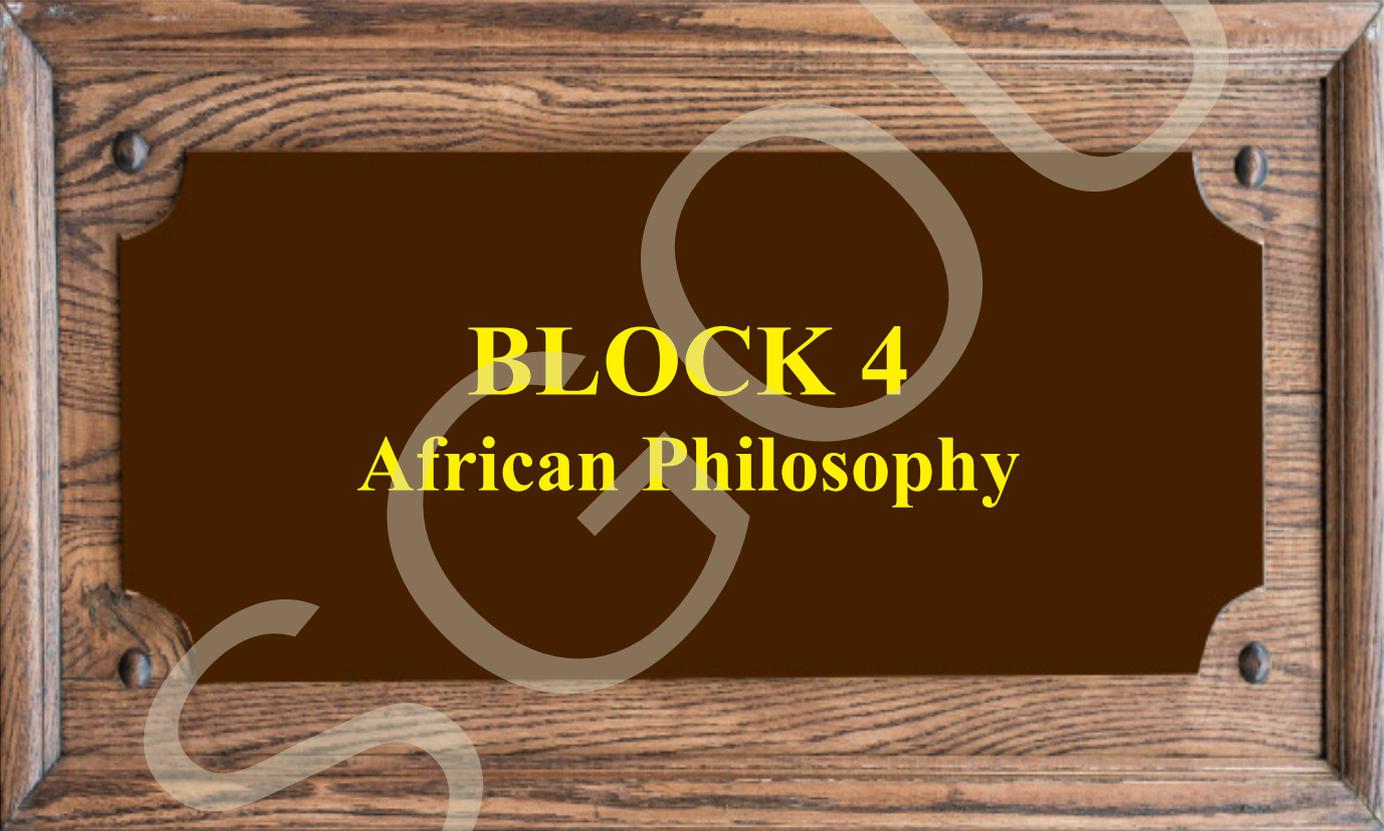
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Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.

SGOU



BLOCK 4

African Philosophy



UNIT 1

Nature of African Thought

Learning Outcomes

After the completion of this unit, the learner will be able to:

- understand the historical evolution of African philosophy
- analyse the major philosophical debates within African philosophy
- identify the key scholars and their major contributions to African philosophy
- examine the significance of Ubuntu and its role in African ethics
- appreciate the relevance of African philosophy in contemporary discourse

Background

African philosophy is an intellectual tradition formed by indigenous knowledge systems, oral traditions, and postcolonial reconstruction from an African perspective. In the past, European colonisers promoted the belief that Africans lacked the capacity for reasoned and logical thought. Even when African ideas were acknowledged, they were often dismissed as disorganised or irrational, which led to a systematic rejection of African intellectual traditions. However, since the early 20th century, African philosophers have worked hard to show that African thought is deep and meaningful. They engaged with diverse traditions, including pre-colonial wisdom, colonial encounters and contemporary debates on identity, justice and decolonisation. The themes, such as communitarianism, ethics and the interconnectedness of humanity asserted by the concept of Ubuntu, highlight the unique perspectives that African philosophy brings to global discourse.

The field is not monolithic but encompasses multiple approaches, including ethnophilosophy, which emphasizes collective cultural wisdom; sage philosophy, which highlights the insights of individual African thinkers, and professional and critical philosophy, which engages analytically with philosophical traditions worldwide. Thinkers and political theorists like Leopold Senghor, Kwame Nkrumah, and Frantz Fanon have contributed significantly to discussions on African identity, liberation, and postcolonial transformation. African philosophy continues to evolve, addressing contemporary issues such as globalization, environmental ethics, democracy, and cultural hybridity.

Keywords

African, Ubuntu, Ethnophilosophy, Excavationism, Communitarianism, Humanism

Discussion

- Post-colonial urgency and development of African philosophy

African philosophy as a systematic discipline has a relatively short but intense history. It represents a diverse and unique intellectual tradition shaped by cultural, historical, and social contexts unique to the African continent. The development of African philosophy was driven by a post-colonial urgency to establish an intellectual identity separate from European influences. The need to counter colonial narratives of African inferiority led early thinkers to explore and reconstruct Africa's philosophical heritage.

- Indigenous knowledge systems and communitarian ways of life

African philosophy is rooted in indigenous knowledge systems, oral traditions, communitarian ways of life, and spiritual worldviews. However, due to colonialism and Eurocentric biases existing in contemporary times, it has historically been marginalized in global philosophical discourse. The question of whether African philosophy exists as a distinct and autonomous tradition has been a matter of extensive debate. At the same time, some scholars view African philosophy as inherently collective and embedded in shared wisdom and social practices. This contrasts with the individualistic orientation of Enlightenment philosophy, which prioritises individual rational inquiry and autonomy. Others contend that for African philosophy to be recognised as a rigorous intellectual tradition, it must be critically engaged with and articulated systematically, similar to Western philosophical traditions.

- Counter colonial narratives and affirm African identity

4.1.1 History and Development of African Philosophy

a) Early Period (1920s–1960s)

The early period was characterised by efforts to counter colonial distortions, affirm African intellectual traditions, and reconstruct an authentic African identity through philosophy. Thinkers like Aimé Césaire, Kwame Nkrumah, and Placide Tempels sought to highlight Africa's unique thought systems



and challenge the Eurocentric narratives.

A major catalyst for the emergence of African philosophy as a formal intellectual tradition was the historical and intellectual subjugation of Africa by Western philosophers, particularly Georg Wilhelm Friedrich Hegel and others. In his book, *Lectures on the Philosophy of World History* (1830s), Hegel placed Africa at the bottom of the ladder of world intellectual history. It argued that Africans lacked reason and intellectual sophistication. He stated:

- Western philosophy and its intellectual subjugation of Africa

In Africa proper, man has not progressed beyond a merely sensuous existence, and has found it absolutely impossible to develop any further. Physically, he exhibits great muscular strength, which enables him to perform arduous labours; and his temperament is characterised by good-naturedness, which is coupled, however, with completely unfeeling cruelty.

Hegel characterized Africans as embodying immediate consciousness and sensuousness, Asians as representing understanding, and Europeans as the people of reason - thus positioning Europe at the apex of philosophical and intellectual development. This hierarchical framework denied Africa a place in world history and civilization, reinforcing colonial ideologies that dismissed African intellectual traditions as primitive or pre-logical. In the same way, Lucien Lévy-Bruhl, in *Primitive Mentality* (1947), characterised African thought as pre-logical, further cementing the colonial belief that African societies lacked rational and philosophical traditions comparable to those of Europe. Such Eurocentric perspectives influenced colonial policies like British indirect rule and the French policy of assimilation, which sought to erase indigenous African cultural heritage by reshaping African identities in the image of European civilization. One can critically read on the ideas of Hegel as a part of the dominant discourses of colonialism, which purported a racial and cultural superiority of the West over the non-Western societies.

- Impact of Eurocentric policies on colonizing Africa

In response to these intellectual and colonial distortions, African philosophers engaged in excavationism, an approach primarily associated with ethnophilosophy. Ethnophilosophy views philosophy as arising from the myths, language, and religious beliefs of different cultures, underscoring the

- Reconstruction of African identity by cultural, religious and philosophical excavations

uniqueness of African moral thought. Excavationists sought to retrieve and reconstruct African identity by drawing from indigenous cultural, religious, and philosophical traditions. They also aimed to develop political ideologies for post-colonial Africa, rooted in traditional African political systems. These intellectual movements laid the foundation for African philosophy by asserting that Africa possessed rich philosophical traditions that predated colonialism. The need to counter Eurocentric misrepresentations and reclaim African intellectual agency necessitated the rigorous emergence of African philosophy as a distinct field, challenging the claim that Africans were incapable of reasoned thought, critical inquiry, or philosophical engagement

b) Middle Period (1960s–1980s)

This period was marked by intense philosophical debate regarding the direction of African philosophy. The matter of the debate was whether African thought should be rooted in its indigenous traditions or integrated into global philosophical discourse. Scholars like Kwasi Wiredu, Odera Oruka, and Paulin Hountondji played crucial roles in shaping modern African philosophy. The period witnessed the emergence of two rival schools: the Traditionalists and the Universalists. The Traditionalists aimed to construct an authentic African identity by excavating and reconstructing indigenous African worldviews. The Universalists, however, rejected this approach, arguing that it reinforced ethnophilosophy, which is uncritical and focuses on the collective wisdom and beliefs of African communities. Instead, they sought to integrate African philosophy into Western philosophical traditions.

- Reconstruction of African identity vis-à-vis integration of the same

- Sage philosophy emerged in response to ethnophilosophy

Odera Oruka's concept of *Sage Philosophy*, also known as Sagacity, emerged as a response to the limitations of ethnophilosophy, which had a communitarian orientation. For Odera Oruka, as for Paulin Hountondji, ethnophilosophy serves merely as a prelude to philosophy. While cultural beliefs may provide the foundation for thought, true philosophy emerges when one engages in critical interpretation and transformative reasoning. According to Oruka, philosophy is not simply the documentation of a people's worldview but the act of subjecting one's cultural world to the standard of reason.

While Oruka views philosophy as universal, he recognises that African philosophy originates in African culture. In different words, the historical and social realities of the continent shape the experiences upon which African sages and



- Philosophy originates in a culture but does not remain at the level of culture

philosophers reflect. However, he insists that philosophy must not remain at the level of culture; rather, it should scrutinise, critique, and, when necessary, reconstruct cultural traditions. Oruka's universalist perspective directly challenges the idea that philosophy can be geographically unique or culture-specific. He argues that while culture may influence philosophical thought, philosophy itself must be a personal, second-order activity that adheres to universal standards of critical inquiry and rational analysis.

c) Later Period (1980s–1990s)

- Reconstructionists opposed ethnophilosophy

The 'Great Debate' over the construction of an African epistemology led to the rise of two opposing schools, such as Critical Reconstructionists and Eclectics. The Critical Reconstructionists, represented by Paulin Hountondji and Henry Odera Oruka, sought to establish an African philosophy free from ethnophilosophy. However, excluding African cultural and historical elements entirely risked making African philosophy indistinguishable from Western philosophy. This limitation led to its decline, as the argument that a philosophy is 'African' simply because it is produced by Africans proved unsustainable.

- Synthesis of traditional African philosophy and modern African philosophy

The Eclectic school emerged as a more balanced approach by integrating African native traditions and insights with modern philosophical methods and advocating for a fusion that maintained African cultural identity while embracing rational critique. Scholars like Kwasi Wiredu and Kwame Gyekye upheld this approach, and sought a synthesis of logical rigor and cultural grounding. According to them, a synthesis of traditional African philosophy and modern African philosophy can create a philosophy that is both authentically African and modern.

- Original African philosophical discourse

d) New Era (since the 1990s)

The latest phase of African philosophy has focused on conversational philosophy, which promotes rigorous and original African philosophical discourse. It aims to create a dynamic and evolving philosophical framework that engages with both indigenous traditions and contemporary global issues. This phase also includes feminist perspectives in African philosophy, particularly the contributions of scholars like Ifeanyi Menkiti and Nkiru Nzegwu, who critique patriarchal biases in African traditional thought and advocate for a more inclusive ethical framework.

4.1.2 Ubuntu in African Philosophy

Ubuntu is a central concept in African philosophy that emphasizes interconnectedness, mutual care and shared humanity. It is a powerful critique of individualism, an alternative vision for justice, and a model for governance rooted in shared responsibility and mutual care. Rooted in the Nguni phrase “Umuntu ngumuntu ngabantu” - often translated as “I am because we are” - Ubuntu highlights values such as compassion, reciprocity, dignity, and community-building. This communitarian perspective underscores the idea that individual identity and well-being are deeply tied to social relationships. John Mbiti captures this sentiment in his well-known expression: “I am because we are, and since we are, therefore I am.” Another similar formulation states that “a person is a person through other people.” These expressions reflect the core of Ubuntu, where the self is not understood as an isolated entity but as fundamentally relational. Ubuntu thus provides an ethical framework that informs justice, governance, and social cohesion in African societies.

- Our identity and humanity are deeply rooted in our relationships with others and the community

One of the significant aspects of Ubuntu is how it contrasts with Western individualism. While many Western philosophical traditions, particularly those influenced by liberalism, emphasize autonomy, self-interest, and competition, Ubuntu advocates a communitarian approach where the good of the individual is inseparable from the good of the community. In this view, moral actions are those that strengthen relationships and promote unity, while immoral actions are those that create division or alienation. Philosophers like Kwame Gyekye and Thaddeus Metz have explored how Ubuntu provides an alternative moral foundation that prioritizes human interconnectedness over individual self-sufficiency. Unlike the idea of rights-based morality that dominates Western thought, Ubuntu-based ethics prioritize social harmony, inclusivity, and collective responsibility.

- Communitarian approach

This emphasis on relationality has profound implications for justice and governance. Ubuntu has played a crucial role in shaping African political thought, particularly in post-apartheid South Africa. It was a guiding principle in the Truth and Reconciliation Commission (TRC) led by Desmond Tutu, which sought to heal the wounds of apartheid through forgiveness and dialogue rather than retribution. Unlike retributive justice, which focuses on punishment, Ubuntu promotes restorative justice, aiming at reconciliation and

- Forgiveness and dialogue rather than retribution



healing. By encouraging acknowledgment, accountability, and collective healing, Ubuntu-based justice fosters a sense of belonging and repair rather than deepening divisions.

- Democracy and inclusivity

Ubuntu also offers a unique perspective on governance by advocating for non-partisan democracy and inclusive leadership. It suggests that political leadership should serve the entire community rather than cater to narrow party interests. Governance, in the Ubuntu perspective, should emphasize collective welfare, social cohesion, and participatory decision-making. In contrast to hierarchical power structures that concentrate authority in the hands of a few, Ubuntu envisions power as shared responsibility. Leadership is understood as a role of service, where authority is exercised through mutual respect and collaboration rather than dominance or coercion.

- Personal well-being is inseparable from the well-being of others

Beyond politics and justice, Ubuntu extends to everyday ethical relations. It insists that personal well-being is inseparable from the well-being of others, making kindness, generosity, and hospitality essential virtues. According to this worldview, what is right is what strengthens relationships, and what is wrong is what creates division. The moral life, therefore, is not just about adhering to abstract principles but about actively fostering community bonds and ensuring that all members of society are uplifted.

4.1.3 Ethnophilosophy vs. Professional Philosophy

- How should African philosophy be understood?

One of the central debates in African philosophy revolves around the distinction between ethnophilosophy and professional philosophy. This debate concerns how African philosophical thought should be understood, studied, and developed. Ethnophilosophy refers to the idea that African philosophy is primarily expressed through communitarian beliefs, oral traditions, myths, proverbs, and cultural practices rather than through systematic, written argumentation. It views African philosophy as an implicit worldview embedded in collective experiences rather than as an individual intellectual enterprise.

This approach was notably championed by Placide Tempels and John Mbiti. Tempels, in his seminal work *Bantu Philosophy* (1945), argued that African thought is fundamentally spiritual and holistic, with a unique ontology that emphasizes the interconnectedness of all beings. According to him, African metaphysics is rooted in the concept of *vital force*,

- Ethnophilosophy on collective experiences and interconnectedness of all

where everything in existence is seen as part of a dynamic, spiritual hierarchy. Similarly, Mbiti's *African Religions and Philosophy* (1969) highlighted the role of religious beliefs and communitarian identity in shaping African epistemology and ethics. He argued that African societies prioritize communitarian existence over individualism, captured in the well-known phrase: "I am because we are, and since we are, therefore I am."

- Philosophy must meet its critical and analytical standards

However, ethnophilosophy has been widely criticized by professional philosophers, who argue that it does not meet the critical and analytical standards of philosophy as a discipline. Scholars such as Paulin Hountondji and Kwasi Wiredu challenge the idea that African philosophy should be reduced to collective traditions, arguing instead that philosophy must involve individual critical reflection and argumentation. Hountondji, in *African Philosophy: Myth and Reality* (1976), critiques ethnophilosophy for treating African thought as static and homogeneous rather than as a dynamic and evolving intellectual tradition. He argues that by focusing only on cultural worldviews, ethnophilosophy denies African thinkers the space to engage in independent philosophical inquiry.

- Conceptual decolonization of African philosophy

Wiredu, on the other hand, calls for a conceptual decolonization of African philosophy. He contends that while African philosophy should engage critically with its indigenous traditions, it must do so without relying on Western philosophical categories and terminology. Instead of merely interpreting African beliefs through a Western philosophical lens, Wiredu advocates for a rigorous analysis of African concepts in their own terms. His work demonstrates how African philosophy can contribute to global philosophical discussions while maintaining its distinct identity.

- Is philosophy an individualistic enterprise or a collective wisdom?

The debate between ethnophilosophy and professional philosophy raises important questions about the nature and methodology of African philosophy. Is philosophy necessarily an individualistic, written enterprise, as seen in Western traditions? Or, can it be embedded in collective wisdom and oral traditions? Can African philosophy develop rigorous analytical methods while remaining true to its indigenous roots? While these discussions continue, it is clear that African philosophy is not a singular or monolithic tradition but a field of diverse and evolving perspectives that engage with both indigenous and global intellectual traditions.



Summarized Overview

African philosophy is a growing field that explores key questions about identity, knowledge, and existence from an African perspective. It has evolved from early ethnophilosophy, which focused on community wisdom and cultural traditions, to engaging with global philosophical ideas. Drawing from both oral traditions, like storytelling and proverbs, and written works by African thinkers, it reflects indigenous worldviews while responding to the impacts of colonialism and modernity. A major debate within African philosophy is whether it should remain rooted in ethnophilosophy or adopt a more critical and systematic approach, similar to Western philosophy. The development of African philosophy, from 'excavationism,' which aims to recover African intellectual traditions, to 'conversationalism,' which encourages dialogue with global ideas, shows its continuous effort to reclaim African identity while addressing modern challenges. This effort aims to resist the dominance of Western philosophical frameworks and foster a more inclusive and diverse intellectual space that values African experiences and traditions.

A core focus of African philosophy is communitarianism and humanism, best exemplified by the concept of Ubuntu. It conveys the idea that 'I am because we are,' highlighting the deep connection between individuals and the importance of collective well-being. This philosophy serves as a moral and social guide, encouraging compassion, empathy, and cooperation within communities. As a foundational principle in African thought, Ubuntu plays a key role in shaping contemporary discussions on justice, identity, and social harmony. By emphasising unity and respect for others, it challenges the individualistic mindset often found in Western philosophy, fostering a more inclusive and cooperative society.

Self-Assessment

1. What are the key characteristics of African philosophy, and how does it differ from Western philosophical traditions?
2. Explain the significance of oral traditions in African philosophy. How do they shape the understanding of knowledge and wisdom?
3. Discuss the concept of communitarianism in African philosophy. How does it influence social and ethical life in African societies?
4. Compare and contrast the ethno-philosophical approach and the critical approach to African philosophy.

Assignments

1. Discuss the history and development of African philosophy. Explain how African thinkers have engaged with both indigenous traditions and global philosophical ideas.
2. How does African philosophy address the relationship between individual identity and community life?
3. Evaluate the influence of Ubuntu philosophy on modern African social, political philosophy, governance and ethics.
4. Examine the impact of colonialism on African philosophical traditions and the efforts to reclaim indigenous knowledge.
5. Do ethnic, racial, or national commitments influence the way philosophy is conceived and practised? Discuss with reference to African Philosophy.
6. Write an essay on whether philosophy can ever be 'neutral' or 'universal' in the light of the arguments made in African philosophy.

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UNIT 2

Knowledge and Reality in African Thought

Learning Outcomes

After the completion of this unit, the learner will be able to:

- understand the unique epistemological and metaphysical perspectives in African philosophy
- analyse the role of oral traditions and communitarian knowledge in shaping African thought
- compare African and Western approaches to knowledge and reality
- evaluate contemporary debates on African epistemology and metaphysics

Background

The study of African philosophy has historically been shaped by efforts to reclaim indigenous thought from the influence of colonial and Western narratives. While Western philosophy tends to separate epistemology and metaphysics into distinct domains, African thought views them as interconnected. Knowledge in African traditions is often embedded in communitarian practices, oral literature, and spirituality, making it distinct from the individualistic and rationalist traditions of the West. Likewise, African metaphysics does not subscribe to the rigid materialism or dualism found in other philosophical traditions. Instead, it emphasises a holistic understanding of reality, where the spiritual and material worlds are deeply intertwined.

Afrocentricity challenges the dominance of Western epistemology by advocating for the re-centering of African perspectives in political and social analysis. Scholars like Molefi Kete Asante argue that colonialism marginalized African knowledge systems, necessitating their revival. This movement aligns with Ngũgĩ wa Thiong'o's call for linguistic decolonization, emphasizing that true liberation requires reclaiming African languages as vehicles of intellectual and cultural expression.

Keywords

Communitarian Ontology, Negritude, Embeddedness, Interconnectedness, Sage Philosophy

Discussion

4.2.1 African Epistemology: An Overview

- Knowledge embedded in society and lived reality

Epistemology, the branch of philosophy concerned with the nature and scope of knowledge, takes on distinct characteristics within African philosophical traditions. While Western epistemology, from Descartes to Kant, emphasises individual cognition (“I think, therefore I am”), abstract rationalism, and deductive reasoning. African epistemology is deeply embedded in communitarian experience and lived reality. Knowledge is acquired not in isolation but through collective engagement with historical narratives, spiritual traditions, and everyday interactions within society.

- Alternative epistemologies challenge the dominant knowledge systems

In recent times, there has been a growing recognition of alternative epistemologies that challenge dominant Western knowledge systems by foregrounding the importance of situated, embodied experiences. In African-American and Afro-Caribbean traditions, racial epistemologies draw attention to how knowledge production is historically shaped by colonization, enslavement and structural racism. In India, the rise of Dalit epistemology critiques upper-caste monopoly over philosophical discourse. In the co-authored work *The Cracked Mirror*, Gopal Guru and Sundar Sarukkai discuss the tension between experience-based epistemic authority (Guru) and the possibility of philosophical universalism (Sarukkai).

- Lived experience is central to knowledge claims

Dalit thinker Gopal Guru argues that lived experience is central to epistemic legitimacy - only those who have endured the structural violence of caste can authentically theorize Dalit social realities. He challenges the separation between the ‘theorist’ and the ‘subject of theory’ and asserts that epistemic responsibility must emerge from within the lived world. In contrast, philosopher Sundar Sarukkai, while sympathetic to the significance of experience, explores whether and how philosophical thinking can still aspire to universalism without erasing the specificity of marginalized voices. Their dialogue



opens up a critical space between embodied experience and abstract reflection, aligning with debates in African philosophy where community, justice and epistemology are deeply interconnected.

- Ambedkar's democratic and ethical epistemology

Similarly, B.R. Ambedkar, one of India's most original modern thinkers, developed a radically democratic and ethical epistemology, grounded in justice. He criticized the metaphysical foundations of Brahminical philosophy, particularly its justifications of hierarchy and proposed an alternative vision rooted in rationality, dignity and social equality. For Ambedkar, knowledge was never neutral - it had to serve the purpose of emancipation. His approach represents a unique intersection of epistemology, ethics and political action, much like African traditions where knowledge, community, and justice are deeply interlinked.

- Knowledge is produced through an integrative process

Philosophers like Kwasi Wiredu argue in *Cultural Universals and Particulars: An African Perspective* (1997) that African epistemology aligns with empirical observation but extends beyond it by acknowledging non-material dimensions of existence. Innocent Asouzu, in *Ibuanyidanda: New Complementary Ontology* (2009), introduces the notion of complementary reflection, where knowledge is developed through an integrative process that includes individual experiences, cultural traditions, and spiritual awareness.

- Oral traditions as epistemic sources

A distinguishing feature of African epistemology is its reliance on oral traditions as epistemic sources. Myths, proverbs, and folklore serve as repositories of wisdom, transmitting ethical principles, cosmological understandings, and practical knowledge. Proverbs such as "Wisdom is like a baobab tree; no one individual can embrace it" encapsulate the communitarian nature of knowledge transmission, reinforcing the idea that wisdom is accumulated intergenerationally rather than individually.

- Knowledge is shaped by historical consciousness

African philosophers critique the Western overemphasis on rationalism and individualism, arguing that knowledge cannot be reduced to logical deduction or propositional truths alone. John Mbiti's dictum, "I am because we are," underscores the relational foundation of African epistemology, emphasizing that knowledge is a shared, evolving resource shaped by communitarian dialogue, historical consciousness, and social responsibility. Wiredu, Gyekye, and Menkiti emphasize that knowledge is not merely an intellectual exercise but an ethical pursuit aimed at sustaining collective well-being.

- Criticism of instrumental knowledge and logocentrism in Western tradition

This communitarian dimension extends to critiques of Western epistemology, particularly its emphasis on instrumental knowledge, logocentrism, and written texts as the primary medium of philosophical discourse. D. A. Masolo, in *African Philosophy in Search of Identity* (1994), critiques the marginalization of oral traditions, arguing that they encode complex philosophical ideas and should be recognized as legitimate knowledge systems. Similarly, Ngũgĩ wa Thiong’o and V. Y. Mudimbe assert that Western epistemology has historically devalued indigenous African ways of knowing, imposing a Eurocentric framework that prioritizes material progress over holistic understanding.

4.2.2 Negritude and the African Epistemological Framework

- Negritude and the criticism against detached reasoning

One of the most philosophically stimulating perspectives within African epistemology is the concept of Negritude, a movement that reclaims African modes of knowing from the dominance of European rationalism. Samuel Oluoch Imbo describes Negritude as “the consciousness of the world through the medium of emotion”, highlighting its emphasis on intuition and participatory engagement rather than detached, analytical reasoning.

- ‘Reasoning eye’ of the western tradition vis-a-vis ‘reasoning-embrace’

Léopold Sédar Senghor, a leading figure of Negritude, contrasts African cognition with European thought. He argues that while Western epistemology is characterized by the “reasoning-eye”, which prioritizes observation, analysis, and classification, African epistemology operates through “the reasoning-embrace” or “the sympathetic reason”, a holistic, immersive engagement with the world. This approach does not separate subject from object, material from spiritual, or individual from community. Instead, it fosters a unified mode of knowing that integrates sensation, emotion, and relational understanding. African epistemology does not impose rigid conceptual categories but engages with the world through touch, texture, sound, and emotion.

- Africans inherited the soul and emotion

A key tenet of Negritude is the unity of Negro consciousness across continents, affirming an inherited epistemology and ancestral wisdom that values accommodation over the European preoccupation with difference. Senghor posits that just as Europeans have inherited reason and logic as dominant epistemic tools, Negro Africans have inherited soul and emotion. They have cultivated a distinct epistemological framework grounded in lived experience, intuition, and



interconnectedness for understanding natural and social phenomena. Senghor states:

From our ancestors we have inherited our own method of knowledge. . . . In contrast to the classic European, the Negro African does not draw a line between himself and the object; he does not hold it at a distance, nor does he merely look at it and analyze it. After holding it at a distance, after scanning it without analyzing it, he takes it vibrant in his hands, careful not to kill or fix it.

- Knowledge integrating the embodied experience

At the heart of Negritude lies a fundamental critique of Western knowledge traditions. The Enlightenment, with its focus on instrumental reason and technological progress, often neglects social and ethical considerations. Senghor and Aimé Césaire argue that this epistemological framework, rooted in colonial legacies, imposes rigid conceptual categories that fragment human experience. In contrast, African modes of knowing, as expressed through Negritude, emphasize relationality, embodied experience, and the unity of knowledge with life.

- Knowledge as a participatory process

Negritude, as an epistemological paradigm, resists Eurocentric definitions of rationality by centering embodied experience, oral traditions, and communitarian wisdom. It challenges the abstraction and detachment of Western knowledge systems, emphasizing that knowing is an active and participatory process. In this view, knowledge is not merely an intellectual pursuit but is deeply embedded in the historical, cultural, and social realities of African communities.

- Material and spiritual dimensions are interwoven

4.2.3 African Metaphysics and the Nature of Reality

African metaphysics is characterized by a holistic understanding of reality, where the material and spiritual dimensions are not distinct but interwoven. The metaphysical foundation of African philosophy lies in the unity of the material and spiritual worlds. Unlike Cartesian dualism, which strictly separates the mind and body, or Western materialism, which reduces reality to physical entities, African thought posits an ontological continuum in which visible and invisible forces interact dynamically.

- Existence and spiritual energy

Placide Tempels, in *Bantu Philosophy* (1945), introduces the concept of vital force, arguing that existence is not defined merely by physical presence but by the intensity of one's spiritual energy. For the Bantu, being is not static but hierarchical; entities exist in a graded structure, with divinities and ancestors possessing greater vital force than living humans, who in turn have more force than inanimate objects. This framework challenges Western metaphysical assumptions by asserting that reality is not merely composed of inert substances but of dynamic relationships among living and non-living entities.

- Holistic metaphysical framework

This metaphysical vision is reflected in traditional African healing practices, ancestral veneration, and religious rituals. In these practices, illness is not only understood as a biological dysfunction but as a disturbance in one's spiritual equilibrium. Similarly, moral transgressions are believed to weaken an individual's vital force, requiring ritual atonement to restore balance. This perspective underscores the inseparability of ethics, spirituality, and metaphysics in African thought. This holistic metaphysical framework extends beyond ontology to the understanding of human existence itself, particularly in the African conception of personhood.

- Personhood as a process, not as an inherent trait

The African conception of reality extends to its unique understanding of personhood. In contrast to the Western notion of the autonomous individual, African philosophy views personhood as a process rather than an inherent trait. Ifeanyi Menkiti argues that one is not born a person but becomes one through social integration and moral development. Personhood, therefore, is achieved rather than assumed. It is contingent upon fulfilling communitarian responsibilities and earning recognition within the social fabric.

- Communitarian ontology

While endorsing the communitarian foundation of identity, Kwame Gyekye offers a more moderate view, acknowledging the role of individual agency. His perspective suggests that although community shapes the self, individuals retain a degree of autonomy in defining their existential trajectory. The philosophy of *Ubuntu*, which proclaims, 'A person is a person through other persons,' encapsulates this communitarian ontology, emphasizing relational existence over atomistic individuality.

This understanding of personhood has deep metaphysical implications. It challenges the Western existentialist notion that being is self-contained and instead proposes an ontological



- Interconnectedness defines the existence

- Past, present and future generations are existentially linked

- Material world is shaped by unseen energies

relationality, where existence is fundamentally defined by interconnectedness. This view aligns with broader African cosmologies that see reality as a web of interdependent beings rather than as discrete, isolated entities. Beyond the human social world, African metaphysics also acknowledges a deeply interwoven spiritual dimension that continues to shape existence.

One of the most distinctive features of African metaphysics is its recognition of the spiritual realm as an active component of reality. In many African traditions, the ancestors do not merely exist as memories but continue to exert influence over the lives of the living. John Mbiti describes this belief system as ‘ontological continuity,’ where past, present, and future generations remain existentially linked. The living is thus in constant dialogue with the spirits of the deceased, whose wisdom and guidance shape moral and social decisions.

This spiritual ontology also includes the presence of deities, nature spirits, and supernatural forces that regulate cosmic order. Unlike the Western dichotomy between the natural and the supernatural, African thought does not view these realms as mutually exclusive. Instead, the material world is seen as permeable, continuously shaped by unseen energies. Such a framework challenges materialist assumptions and offers an alternative metaphysical model in which reality encompasses both empirical and non-empirical dimensions. This worldview has ethical and political implications. The belief in a spiritually interconnected cosmos reinforces a sense of moral accountability beyond human legal structures. Acts of injustice are not merely offences against individuals or societies but are seen as violations of cosmic harmony, necessitating spiritual restitution. This perspective promotes a form of moral realism, in which ethical norms are not merely human constructs but are woven into the very structure of existence.

4.2.4 Contemporary Debates in African Metaphysics

African metaphysics, like other philosophical traditions, has evolved through discussion, critique, and reinterpretation. One of the key debates in this field concerns how traditional African beliefs, especially those related to spiritual forces, communitarian identity, and the interconnectedness of life, should be understood in relation to modern philosophical reasoning. Some scholars argue that African metaphysics relies too much on oral traditions and spiritual concepts, making it

- Colonialism portrayed African thought as irrational and mystical

less systematic than Western philosophy. A well-known critique comes from V.Y. Mudimbe, who, in *The Invention of Africa* (1998), examines how colonialism shaped the perception of African thought, often portraying it as mystical or irrational. This raises a fundamental question: was African philosophy historically ignored because it lacked critical analysis, or because it did not fit into Western academic frameworks?

- Debates on how African philosophy should be considered

In response, philosophers like Kwasi Wiredu and Paulin Hountondji argue that African metaphysics is not uncritical or unstructured but instead requires reinterpretation through modern philosophical tools. Wiredu, for instance, calls for a ‘conceptual decolonization’ of African philosophy, meaning that African ideas should be analysed on their own terms rather than through Western philosophical categories. He suggests that while African and Western metaphysical traditions may differ, both are capable of rigorous reasoning and systematic analysis. However, there is a criticism against this rationalist approach as well. Philosophers like Henry Odera Oruka propose ‘sage philosophy,’ which values the insights of African elders and oral traditions while also engaging with contemporary philosophical discussions. Others, including Mudimbe, caution that excessive adaptation to Western frameworks risks distorting the distinctiveness of African thought, potentially erasing its unique epistemological and ontological contributions.

- Relation of African metaphysics with modern science and technology

Another significant debate in contemporary African metaphysics concerns its relationship with modern science and technology. Some scholars advocate for integrating African thought with scientific methods to ensure its relevance in contemporary intellectual discourse. Others argue that Western science itself is not neutral but carries historical and cultural biases that might not align with African philosophical perspectives. Ngũgĩ wa Thiong’o, in *Decolonising the Mind* (1986), argues that colonial education forced Africans to adopt European ways of thinking, systematically marginalizing indigenous knowledge. He calls for a reclamation of African intellectual traditions so that they can develop on their own terms rather than being judged through Eurocentric lenses.

On the other hand, thinkers like Kwame Anthony



- Can African metaphysical traditions coexist with modern science?

Appiah propose a synthesis between African metaphysics and modern scientific rationality. He suggests that while African thought provides valuable insights into human interconnectedness, ethics, and spirituality, it should also engage with empirical inquiry and scientific advancements. This balance, he argues, allows African philosophy to address pressing contemporary issues in fields such as medicine, governance, and technology without losing its core identity. This debate raises a crucial question: can African metaphysical traditions coexist with modern scientific thought, or must they adapt to fit global academic and technological developments? While there is no single answer, what remains clear is that African metaphysics is a dynamic and evolving field, actively engaging with historical and contemporary intellectual challenges.

Summarized Overview

The study of knowledge and reality in African philosophy presents a distinct approach that differs from Western traditions in many ways. African epistemology emphasizes communitarian knowledge, oral traditions, and the practical application of wisdom, rather than viewing knowledge as purely individual or abstract. In the same manner, African metaphysics sees reality as a network of relationships, often including both material and spiritual aspects. This holistic perspective challenges the more dualistic and reductionist tendencies found in many Western metaphysical traditions.

Over time, debates have emerged about how African philosophy should be studied and understood. Some scholars argue that colonial and Western academic traditions have misrepresented African thought, often dismissing it as uncritical or irrational. In response, thinkers like Kwasi Wiredu call for a conceptual decolonization of African philosophy, urging scholars to reinterpret African metaphysical concepts without relying on Western philosophical categories. Others, like Ngũgĩ wa Thiong'o, go further, advocating for a complete reclamation of indigenous African perspectives and intellectual frameworks. Another important discussion concerns the relationship between African metaphysics and modern science. While some philosophers believe that African thought should engage with scientific reasoning to remain relevant in contemporary discourse, others worry that doing so might dilute its uniqueness. The challenge, therefore, is to find a balance that allows African metaphysics to retain its originality while still contributing to global philosophical discussions.

Self-Assessment

1. How does African epistemology differ from Western epistemology, particularly in its approach to knowledge and truth?
2. What role do oral traditions play in African knowledge systems, and how do they shape philosophical discourse?
3. How does African metaphysics conceptualize the relationship between the material and spiritual worlds?
4. What are some key challenges and debates in contemporary African metaphysics?
5. How do African thinkers address the impact of colonialism on African philosophical traditions?

Assignments

1. Explain the concept of personhood in African philosophy and compare and contrast it with non-African views.
2. Analyze the impact of colonialism on African epistemology and metaphysics.
3. Examine the debate between modern scientific rationality and African metaphysics.
4. Discuss the role of communitarian knowledge and ethics in African epistemology. How does this perspective challenge Western individualistic approaches?
5. Explore the philosophical significance of Ubuntu in African metaphysics and epistemology. How does it shape understandings of reality, identity, and ethics?

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UNIT 3

Ethics and Social Philosophy in African Thought

Learning Outcomes

After the completion of this unit, learners will be able to:

- understand the foundational concepts of ethics and social philosophy in African thought.
- analyse the communitarian nature of African ethics and its contrast with Western individualist traditions
- examine key African philosophers and their contributions to ethics and social philosophy.
- evaluate the socio-political dimensions of African thought, particularly in the context of anti-colonialism and self-assertion
- appreciate the relevance of African ethics and social philosophy in the contemporary world

Background

African ethical and social philosophy is deeply rooted in indigenous worldviews that emphasise communitarian existence, relationality, and harmony. Unlike Western traditions, which often prioritise individual autonomy and rationality, African thought sees personhood as something cultivated through social relationships and moral responsibility toward the community. The imposition of colonial rule and Western philosophical frameworks marginalised these traditions, portraying African societies as lacking structured ethical reasoning. However, African thinkers have actively resisted this narrative, reclaiming and redefining their intellectual heritage. Through concepts like Ubuntu and figures such as Ifeanyi Menkiti, Kwame Gyekye, Mogobe Ramose, and Julius Nyerere, African philosophy has asserted itself as a rich and critical tradition, offering alternative models of ethics, governance, and social cohesion.

Keywords

Ubuntu, Communitarianism, Indigenous knowledge, Decolonization, Liberation, Postcolonial thought

Discussion

- Interconnectedness and collective responsibility in African philosophy

African ethics and social philosophy are deeply rooted in a metaphysical framework that emphasises harmony and interconnectedness, rather than rigid dualisms. Unlike Western traditions that define personhood through individual consciousness or rationality and prioritise individual autonomy and often separate the material and spiritual realms, African metaphysics envisions existence as an integrated whole. Moral responsibility extends not only to other humans but also to ancestors, nature, and spiritual beings. Thinkers like Innocent Asouzu and Jonathan Chimakonam highlight the principle of complementarity, which embraces contradictions as necessary for holistic understanding. This perspective informs African ethical and political thought, prioritizing dialogue, consensus-building, and collective responsibility over adversarial debate.

- Ubuntu as an ethical-political framework

Ubuntu, as a key ethical-political framework in African thought, rejects Cartesian and Hobbesian notions of an isolated, self-interested individual. Instead, it affirms that personhood is cultivated through social relationships and moral obligations to the community. African philosophers like Desmond Tutu and Mogobe Ramose have emphasised Ubuntu's role in fostering social harmony, moral responsibility, and collective identity. Politically, Ubuntu advocates for consensus-driven governance, as seen in many pre-colonial African societies, and informs restorative justice approaches, such as South Africa's Truth and Reconciliation Commission, which prioritised healing over retribution. By balancing individual rights with communitarian well-being, Ubuntu offers an alternative ethical-political vision that challenges Western liberalism's emphasis on competition and individualism.

4.3.1 Ethics in African Philosophy: The Communitarian Perspective

African ethical philosophy, rooted in a communitarian worldview, sees individual identity as inseparable from social and ethical obligations. Unlike Western moral traditions, which often emphasize individual autonomy and abstract principles, African ethics focuses on fostering harmony within



- The individual is inseparable from society

a shared moral world. Personhood is not an inherent trait but something developed through meaningful participation in communitarian life. Moral responsibility, in this framework, extends beyond personal choices to active engagement with the broader community. Ethical life is fundamentally relational—individuals thrive through cooperation, empathy, and collective well-being. This perspective challenges the competitive, self-centered ethos found in many other moral systems, instead promoting unity, mutual support, and social cohesion as the foundation of moral growth.

- Moral values are embedded within indigenous traditions

Ethnophilosophy that views philosophy as arising from the myths, language, and religious beliefs of different cultures underscores the uniqueness of African moral thought. It offers a valuable framework for understanding how ethical values are embedded within indigenous traditions, oral narratives, and communitarian worldviews. Proponents of this approach argue that African ethics is best understood through the study of proverbs, folklore, and spiritual customs, which serve as vehicles for transmitting moral values in an organic and culturally integrated manner. For example, concepts such as personhood, justice, and moral responsibility are not articulated through abstract reasoning alone but are expressed through storytelling, rituals, and lived experiences. In many African societies, moral knowledge is passed down through oral traditions, with elders playing a central role in ethical instruction, reinforcing the idea that morality is a collective endeavor rather than an individualistic pursuit.

- Personhood is earned through social integration

Philosophers such as Ifeanyi Menkiti and Kwame Gyekye have explored the implications of this communitarian framework. Menkiti defends radical communitarianism, arguing that personhood is not an inherent attribute but something that must be earned through social integration and communitarian recognition. In contrast, Gyekye advocates for a moderate communitarianism, which, while emphasising social ties, also recognises the importance of individual rights and autonomy.

- Moral reasoning is shaped by social relationships

This debate reflects a broader contrast between African and Western ethical traditions. Western philosophical frameworks, especially those influenced by Kantian ethics and liberal thought, place a strong emphasis on individual autonomy, rational deliberation, and universal moral principles that apply to all people regardless of context. In contrast, African ethical thought is deeply rooted in the social fabric of communities, where

moral reasoning is shaped by relationships, responsibilities, and collective well-being. Rather than viewing the individual as an isolated moral agent, African ethics sees personhood as something that develops through active participation in the community, where one's moral worth is recognized through fulfilling social roles and obligations.

- African ethics challenges the Western dualism

African ethics challenges the rigid dualisms often found in Western philosophy, such as the separation between the individual and society, reason and emotion, or mind and body. Instead of treating these as opposing categories, African thought embraces a holistic worldview in which they coexist in a complementary and interconnected manner. This perspective is particularly evident in the works of philosophers like Innocent Asouzu and Jonathan Chimakonam, who have developed ethical frameworks that emphasize relational harmony and interdependence.

- Dialogical approach to ethics

Innocent Asouzu, in his theory of *Ibuanyidanda* (a complementary reflection on being), critiques exclusivist thinking and argues for an inclusive, integrated approach to knowledge and ethics. He emphasizes the idea that reality is interconnected, and ethical decision-making must consider the broader web of human and social relationships. Asouzu's approach challenges rigid dichotomies by promoting what he calls complementary ontology, which sees human beings as deeply embedded in a network of mutual dependence. Similarly, Jonathan Chimakonam advances the idea of Conversational Thinking, which rejects absolutist moral positions in favor of a dynamic, dialogical approach to ethics. He argues that moral values should emerge from an ongoing conversation within a community, rather than being dictated by rigid, pre-established principles. Chimakonam's work builds on the idea that ethical reasoning must be flexible, context-sensitive, and grounded in lived experiences rather than abstract universalism.

- Unified ethical vision

One of the most influential concepts in this regard is Harmonious Monism, a philosophical framework that advocates for an inclusive moral perspective, integrating the individual within the broader communitarian existence. Harmonious Monism challenges the binary thinking often found in Western traditions and instead promotes a unified ethical vision where opposites, such as autonomy and community responsibility, are not seen as mutually exclusive but as complementary forces that sustain moral life. It recognizes that ethical life requires a balance between personal identity and collective responsibility,



ensuring that both the individual and the community thrive together.

4.3.2 Struggles for Autonomy and Identity

As discussed earlier, communitarian ethics not only provided a moral framework but also shaped African political philosophy, particularly in the context of anti-colonial resistance. The struggle against colonialism was not only a political act but also an ethical one, seeking to restore dignity, autonomy, and cultural identity. African social and political philosophy has been deeply shaped by the experience of colonialism, making it fundamentally an anti-colonial and self-assertive intellectual project. Unlike Western political philosophy, which emerged from debates on individualism and the social contract in thinkers like Hobbes, Locke, and Rousseau, African political thought is deeply rooted in the struggles for autonomy, dignity, and cultural reclamation. It is not merely a reaction to colonial rule but a broader philosophy of liberation, communitarianism, and political transformation.

- Social philosophy as a self-assertive intellectual project

One of the most influential intellectual movements in this regard was Negritude, developed in the 1930s by Léopold Sédar Senghor, Aimé Césaire, and Léon Damas. While originally framed as an epistemological response to colonialism, Negritude also became a powerful ethical and social movement aimed at reclaiming African identity and dignity. It countered the dehumanizing colonial discourse, which depicted African cultures as inferior, by celebrating African emotions, artistic expressions, and community values. Senghor famously contrasted the ‘civilization of logos’ (European reason) with the ‘civilization of rhythm’ (African intuition, emotion, and communitarianism) and argued that African ethics is deeply embedded in relationality, shared experience, and the primacy of human connection.

- Opposing the over-emphasis on reason in Western philosophy

However, Paulin Hountondji argued that Negritude essentialized African identity, reinforcing static cultural stereotypes rather than developing a dynamic framework for postcolonial thought. Frantz Fanon critiqued it as an insufficiently radical movement, viewing it as an intellectual affirmation of blackness rather than a concrete strategy for political and economic liberation. While these critiques exposed the limitations of Negritude, the movement undeniably shaped the trajectory of African thought by foregrounding cultural self-assertion as a political act. Its influence can be seen in later debates on African identity, postcolonial nationalism, and the

- Criticism against Negritude

philosophy of decolonization.

4.3.3 Ujamaa and African Socialism: Community as Political Resistance

Julius Nyerere's *Ujamaa* philosophy, meaning 'familyhood' was a model of African socialism that sought to rebuild African identity through communitarian traditions rather than Marxist class struggle. In *Uhuru na Ujamaa: Freedom and Socialism* (1964) and *Ujamaa: The Basis of African Socialism* (1968), he argued that true freedom (*Uhuru*) extended beyond political independence to economic self-reliance and cultural autonomy. As Barry Hallen notes, "Nyerere argued that there was a form of life and system of values indigenous to the culture of pre-colonial Africa, Tanzania in particular, that was distinctive if not unique and that had survived the onslaughts of colonialism sufficiently intact to be regenerated as the basis for an African polity."

- Ujamaa and economic self-reliance

Implemented through the Arusha Declaration (1967), *Ujamaa*, the philosophy of self-reliance, emphasized collective agriculture, nationalized industries, and an education system aligned with cooperative values. *Ujamaa villages* were created to promote shared economic responsibility, but forced relocations and excessive state control led to inefficiencies and local resistance. While *Ujamaa* succeeded in fostering national unity and cultural pride, economic stagnation and bureaucratic failures hindered its sustainability. By the 1980s, Tanzania transitioned to market-oriented policies, yet *Ujamaa* remains influential in African political thought, shaping debates on self-determination and development.

- Shared economic responsibility

4.3.4 Intellectual and Political Vision for African Philosophy

African philosophy has not only been a response to colonial domination but also a proactive intellectual movement envisioning new paradigms of thought, governance, and social organization. Thinkers, political theorists and activists such as Aimé Césaire, Frantz Fanon, Nnamdi Azikiwe, Obafemi Awolowo, Amílcar Cabral, and Julius Nyerere have advanced ideas that extend beyond resistance, offering philosophical blueprints for African autonomy, cultural reclamation, and ethical governance. Their contributions illustrate a shift from reacting to colonialism to constructing a forward-looking vision for African societies.

- Intellectual movements with a new vision for Africa



- Will Kymlicka on individual freedom in cultural contexts

While African thinkers have primarily approached liberation through the lens of decolonization, cultural affirmation and structural transformation, political theorists like Will Kymlicka offer a complementary perspective from within liberal democratic frameworks. In his work on multicultural citizenship, Kymlicka argues that true individual freedom depends on access to one's cultural context. Therefore, group-differentiated rights are essential to justice in plural societies. Though his work emerges from a different intellectual tradition, Kymlicka's emphasis on cultural recognition, minority rights and the political empowerment of marginalized communities resonates with African philosophical visions of liberation that seek not only freedom from domination, but the institutional conditions for flourishing.

- Constructing new self-consciousness based on indigenous cultural frameworks

Aimé Césaire's *Discourse on Colonialism* (1950) was not merely a critique of colonial oppression but a call for an intellectual revival rooted in African heritage. He argued that colonialism eroded indigenous epistemologies, reducing African societies to passive recipients of European domination. Through the Negritude movement, Césaire and Léopold Sédar Senghor emphasized that African identity had to be reaffirmed through artistic, linguistic, and philosophical self-expression. Their vision for African philosophy was not just about resisting Eurocentrism but about constructing a new self-consciousness based on indigenous cultural and ethical frameworks. They emphasised that African civilization had its own philosophical and aesthetic richness, which needed to be reasserted in opposition to the Eurocentric ideals imposed during colonization. However, scholars like Frantz Fanon critiqued Negritude for being too focused on cultural romanticism without addressing structural inequalities.

- Counter violence against colonialism

Frantz Fanon, in *The Wretched of the Earth* (1961), provided a more radical critique of colonial oppression and called for violent revolution as the means to true decolonization. Unlike Senghor's *Negritude*, which sought cultural recognition within the existing colonial framework, Fanon viewed colonial violence as a totalizing force that could only be undone through counter-violence. He argued that decolonization was not just a political transition but an ethical transformation, requiring the colonized to reject European epistemology and construct new modes of thought. For Fanon, the process of liberation was both material and psychological, as colonialism had not only dispossessed Africans economically but also conditioned them to internalize their subjugation. His emphasis on mental

decolonization remains influential in postcolonial and critical theory, where his work is often discussed alongside Amílcar Cabral's call for cultural reawakening.

- New models of governance for Africa

Nnamdi Azikiwe and Obafemi Awolowo provided alternative models of governance that balanced indigenous African values with modern democratic structures. Azikiwe's Zikism emphasized five key principles: spiritual balance, social regeneration, economic determinism, mental emancipation, and political resurgence. Through this, he envisioned an Africa where governance was rooted in ethical responsibility rather than colonial bureaucratic legacies. Awolowo's concept of democratic socialism similarly emphasized social welfare, education, and economic policies that aligned with African communitarian traditions rather than Western capitalist or Marxist models.

- New model of familyhood and villages for Africa

Julius Nyerere's *Ujamaa* extended this vision by advocating for an African socialism based on familyhood, collective labor, and economic self-sufficiency. While its implementation faced challenges, *Ujamaa* remains a reference point for discussions on self-reliant development. Its vision for African philosophy integrates ethics, politics and economics into a holistic framework that prioritizes community well-being over individual accumulation. This ideological shift reinforced the idea that governance in Africa should be an organic extension of its philosophical traditions rather than a mere adaptation of foreign structures.

- Documentation of African knowledge systems

Amílcar Cabral, in *Return to the Source* (1973), extended the intellectual vision for African philosophy beyond governance to epistemology itself. He argued that true liberation required not only political independence but also the reclamation of indigenous ways of knowing. According to him, colonialism had not only extracted resources but also distorted African consciousness, making self-determination impossible without an ideological reawakening. Thus, Cabral called for the documentation and institutionalization of African knowledge systems, asserting that philosophy must emerge from lived African experiences rather than imposed Western categories. His ideas align with contemporary scholars like Henry Odera Orika, whose *Sage Philosophy* approach emphasizes African oral traditions as sources of philosophical wisdom. The decolonization of knowledge remains an ongoing intellectual project aimed at reconstructing African epistemologies in ways that empower contemporary societies.



Summarized Overview

African ethics and social philosophy provide critical insights into contemporary debates on governance, human rights, and globalization. While some view African communitarianism as incompatible with liberal democracy, others see it as an alternative that fosters social cohesion over individual competition. The decolonial turn in philosophy, advanced by thinkers like Achille Mbembe and others, stresses the need to 'decolonize the mind' not merely by rejecting Western categories but by constructing intellectual and political frameworks rooted in African realities and histories. African philosophy challenges the dominance of individualism and rationalism in Western thought, offering instead an ethics of care, a politics of resistance, and a vision of communitarian responsibility.

Self-Assessment

1. How does African metaphysics shape its ethical and political philosophy, particularly in contrast to Western dualisms?
2. Explain the concept of 'decolonizing the mind' in African philosophy. How does it challenge Western intellectual traditions?
3. How is the interpretation of personhood different in African communitarian ethics?

Assignments

1. Discuss how African ethical and political philosophy challenges Western moral and political philosophy. Provide relevant concepts for the same.
2. Examine the philosophical contributions of African philosophers in advocating for the decolonization of knowledge and ethics.
3. Compare and contrast the communitarian perspectives of African ethics with Western liberal democratic principles. What are the strengths and limitations of each approach?

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4. Mbembe, A. (2001). *On the Postcolony*. University of California Press.
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Suggested Reading

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7. Irele, A. & M. Diagne, S. (Eds.). (2010). *The Oxford Encyclopedia of African Thought*. Oxford University Press.



Space for Learner Engagement for Objective Questions

Learners are encouraged to develop objective questions based on the content in the paragraph as a sign of their comprehension of the content. The Learners may reflect on the recap bullets and relate their understanding with the narrative in order to frame objective questions from the given text. The University expects that 1 - 2 questions are developed for each paragraph. The space given below can be used for listing the questions.

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MODEL QUESTION PAPER SETS



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THIRD SEMESTER - MA PHILOSOPHY EXAMINATION

DISCIPLINE SPECIFIC ELECTIVE - M23PH01DE - Asian African Philosophy
(CBCS - PG)

MODEL QUESTION PAPER- SET- I

2023 -24 Admission Onwards

Time: 3 Hours

Max Marks: 70

SECTION A

Answer any ten of the following. Each question carries one mark

(10X1 = 10 Marks)

1. Which Japanese Buddhist school, introduced from China in the 12th century, is known for its focus on meditation and simplicity?
2. Name the Islamic philosopher known for the Canon of Medicine.
3. What does the term 'li' stand for in Confucianism?
4. Name the four major schools of Tibetan Buddhism.?
5. What is the Legalist concept of 'wu-wei' or non-action in relation to the ruler's role?
6. Which school of African thought is based on collective cultural beliefs?
7. What is the Chinese term for the balance of opposing forces in nature?
8. Which concept in Ubuntu philosophy highlights shared humanity?
9. Which philosopher wrote The Book of Healing?
10. During which historical period was Buddhism introduced to Korea and adopted as



the official religion in its kingdoms?

11. What role does 'The Active Intellect' play in Islamic epistemology?
12. Who developed the Tibetan script for translating Buddhist texts?
13. Which school of Chinese philosophy advocated strict laws and harsh punishments?
14. Name one Chinese philosopher associated with the School of Names.
15. What is the metaphysical principle behind Taoism?

SECTION B

Answer any five questions in two or three sentences each. Each question carries two marks.

(5X2 =10 Marks)

16. Define the idea of 'non-action' (wu wei) in Taoist political philosophy.
17. What is the significance of the Lotus Sutra in East Asian Buddhism?
18. Briefly explain the contributions of Padmasambhava to Tibetan Buddhism.
19. Mention two characteristics of the Nyingma school in Tibetan Buddhism.
20. What are the key themes in Kwasi Wiredu's African philosophical approach?
21. State two important features of Legalist thought in Chinese philosophy.
22. Explain the role of rituals in Confucian social structure.
23. What does Ibn Sina say about hypothetical propositions in logic?
24. Write a note on the relationship between religion and philosophy in Al-Farabi's thought.
25. Mention two major criticisms raised against ethnophilosophy.

SECTION C

Answer any five questions in a paragraph. Each question carries four marks.

(5X4 = 20 Marks)

26. Evaluate Al-Farabi's political philosophy with reference to his concept of happiness.



27. Write a note on the ethical foundations of Ubuntu.
28. Compare the views of Confucianism and Mohism on the role of government.
29. How did Taoism influence Chinese arts and aesthetics?
30. Explain Ibn Sina's idea of scientific demonstration and syllogism.
31. Discuss the role of translation in the spread of Buddhism in East Asia.
32. How does the Active Intellect function in Al-Farabi's metaphysical hierarchy?
33. What were the impacts of colonialism on the development of African philosophy?

SECTION D

Answer any three questions in two pages. Each question carries ten marks.

(3X10 =30 Marks)

34. Examine the historical spread and cultural integration of Buddhism in Korea and Japan.
35. Analyse the concept of the Tao and its role in Taoist ethics and metaphysics.
36. Trace the development of African philosophy from the colonial period to the present.
37. Describe the major schools of Tibetan Buddhism and their philosophical doctrines.
38. Discuss the ethical and political ideas embedded in Confucianism.
39. Evaluate Al-Farabi's political philosophy with reference to his concept of happiness.



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DISCIPLINE SPECIFIC ELECTIVE - M23PH01DE - Asian African Philosophy
(CBCS - PG)

MODEL QUESTION PAPER- SET- II

2023 -24 Admission Onwards

Time: 3 Hours

Max Marks: 70

SECTION A

Answer any ten of the following. Each question carries one mark

(10X1 = 10 Marks)

1. Who is known as the 'Second Master' in Islamic philosophy?
2. What is the meaning of the phrase 'Umuntu ngumuntu ngabantu'?
3. Which Buddhist school in China emphasizes meditation and inner experience?
4. Who translated Buddhist texts into Chinese and influenced its development in China?
5. What is the central ethical idea in Ubuntu philosophy?
6. Which Islamic philosopher developed the theory of essence and existence?
7. What does 'Dao' mean in Taoism?
8. Name the Buddhist movement in Japan that emphasizes chanting the Lotus Sutra.
9. Who is the author of The Virtuous City?
10. What does the term 'Yin-Yang' represent in Chinese philosophy?



11. Which African philosopher is associated with Sage Philosophy?
12. What does 'ren' signify in Confucianism?
13. What is the significance of the 'Active Intellect' in Al-Farabi's philosophy?
14. Who were the key scholars involved in shaping modern African philosophy during the debate period?
15. Which Chinese philosophical text focuses on the patterns of change?

SECTION B

Answer any five questions in two or three sentences each. Each question carries two marks.

(5X2 =10 Marks)

16. Mention any two challenges faced by Buddhism in modern China.
17. What is the basic idea of Legalism in Chinese philosophy?
18. State two features of Mohist philosophy.
19. What is the relationship between essence and existence in Ibn Sina's philosophy?
20. Write briefly on Prince Shōtoku's contribution to Buddhism in Japan.
21. Define "Conception" according to Ibn Sina.
22. Mention two differences between Ubuntu and Western individualism.
23. Who are the key figures in the School of Names in Chinese philosophy?
24. What is meant by Sage Philosophy in African thought?
25. Briefly state the idea of "Five Agencies" in Chinese naturalism.

SECTION C

Answer any five questions in a paragraph. Each question carries four marks.

(5X4 = 20 Marks)

26. Discuss the main schools of Buddhism that developed in Japan.
27. Explain Al-Farabi's concept of the Virtuous City.
28. Describe the importance of the Book of Changes (Yijing) in Chinese philosophy.

29. Write a short note on the metaphysical contributions of Ibn Sina.
30. Discuss the significance of the Bodhisattva ideal in Mahayana Buddhism.
31. Explain the influence of Confucianism on Chinese governance.
32. Highlight the role of Ubuntu in the Truth and Reconciliation Commission.
33. What are the key features of Taoism as a philosophical tradition?

SECTION D

Answer any three questions in two pages. Each question carries ten marks.

(3X10 =30 Marks)

34. Analyse the main metaphysical and cosmological views of Al-Farabi.
35. Explain the main traditions of Chinese philosophy and their approaches to social harmony.
36. Evaluate the contributions of Illuminationist philosophy to Islamic intellectual tradition.
37. Discuss the development of African philosophy in response to colonialism and Western intellectual domination.
38. Explain the concept of Ubuntu and its ethical and political significance.
39. Describe the influence of Taoism and Yin-Yang theory on Chinese cosmology and ethics.



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